

# Dirac cohomology of Harish-Chandra modules

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## Background.

$G$  a Lie group acting on a manifold  $X$

$\Rightarrow G$  acts on functions on  $X$ , via  
$$(g \cdot f)(x) = f(g^{-1} \cdot x).$$

E.g.:

$C^\infty(X)$  - a smooth representation of  $G$

$L^2(X)$  wrt  $G$ -invt  $dx$  - a unitary rep. of  $G$

$\Delta$  a  $G$ -invariant diff. op. on  $X$

$\Rightarrow$  any eigenspace of  $\Delta$  is  $G$ -invariant.

Conversely,  $\Delta$  typically acts by scalars on irreducible  $G$ -subspaces.

So decomposing the representation is related to finding  $\Delta$ -eigenspaces.

$G$ : connected real reductive Lie group  
(e.g.  $SL(n, \mathbb{R})$ ,  $U(p, q)$ ,  $Sp(2n, \mathbb{R})$ , ...)

$\Theta$ : Cartan involution ( $\Theta(g) = {}^t \bar{g}^{-1}$ )

$K = G^\Theta$ : maximal compact subgroup  
( $SO(n)$ ,  $U(p) \times U(q)$ ,  $U(n)$ , ...)

Representation of  $G$ :

a complex topological vector space  $V$   
with a continuous  $G$ -action  
by linear operators

$V_K$ : the space of  $K$ -finite vectors in  $V$

$V_K$  has an action of the Lie algebra  $\mathfrak{g}_0$   
( $K$ -finite  $\Rightarrow$  smooth)

$\mathfrak{g} = (\mathfrak{g}_0)_{\mathbb{C}}$  also acts

Get a  $(\mathfrak{g}, K)$ -module; an algebraic version of  $V$ .

A  $(\mathfrak{g}, K)$ -module is a vector space  $M$   
with a Lie algebra action of  $\mathfrak{g}$   
and a locally finite action of  $K$ ,  
which are compatible.

(I.e., induce the same action of  
 $\mathfrak{k}_0 =$  the Lie algebra of  $K$ .)

Such  $M$  can be decomposed under  $K$  as

$$M = \bigoplus_{\delta \in \hat{K}} m_{\delta} V_{\delta}.$$

$M$  is a Harish-Chandra module if it is finitely  
generated and all  $m_{\delta} < \infty$ .

**Example:**  $G = SU(1, 1) \cong SL(2, \mathbb{R})$ .

The Lie algebra is

$\mathfrak{g} = \mathfrak{sl}(2, \mathbb{C}) = 2 \times 2$  matrices of trace 0

$\mathfrak{g}$  has a basis

$$h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

The commutation relations are

$$[h, e] = 2e, \quad [h, f] = -2f, \quad [e, f] = h.$$

Since  $h$  spans  $\mathfrak{k}$ , it diagonalizes on  $(\mathfrak{g}, K)$ -modules and has integer eigenvalues. The possible modules are

$$\begin{array}{cccc} \bullet & & \bullet & \bullet & \dots \\ k & & k+2 & k+4 & \dots \end{array} \quad (1)$$

$$\begin{array}{cccc} \dots & & \bullet & \bullet & \bullet \\ \dots & & -k-4 & -k-2 & -k \end{array} \quad (2)$$

$$\begin{array}{cccc} \bullet & & \bullet & \dots & \bullet \\ -n & & -n+2 & \dots & n \end{array} \quad (3)$$

$$\begin{array}{cccc} \dots & & \bullet & \bullet & \bullet & \dots \\ \dots & & i-2 & i & i+2 & \dots \end{array} \quad (4)$$

where  $k > 0$  and  $n \geq 0$  are integers.

Each dot represents a 1-dim eigenspace for  $h$ . Numbers are the corresponding eigenvalues.

In each picture,  $e$  raises the eigenvalue by 2, and  $f$  lowers the eigenvalue by 2.

Since  $ef-fe=h$  and since we know  $ef$  at each lowest weight space and  $fe$  at each highest weight space, pictures (1), (2) and (3) define unique modules.

For picture (4), it is enough to determine  $ef + fe$ . We use

$$\text{Cas}_{\mathfrak{g}} = \frac{1}{2}h^2 + ef + fe,$$

which commutes with  $\mathfrak{g}$  and so acts by a scalar on any irreducible module.

Fixing this scalar determines the module.

(Not all values are allowed, the module may break up.)

In general, can define  $\text{Cas}_{\mathfrak{g}} \in Z(\mathfrak{g}) \subset U(\mathfrak{g})$ :

Fix a nondegenerate invariant bilinear form  $B$  on  $\mathfrak{g}$  (e.g.  $\text{tr } XY$ )

Take dual bases  $b_i, d_i$  of  $\mathfrak{g}$  w.r.t.  $B$

Write  $\text{Cas}_{\mathfrak{g}} = \sum b_i d_i$ .

In general,  $Z(\mathfrak{g})$  has generators other than  $\text{Cas}_{\mathfrak{g}}$ ;

all of them act as scalars on irred. modules;

get infinitesimal character  $\chi_M : Z(\mathfrak{g}) \rightarrow \mathbb{C}$ .

Harish-Chandra proved that  $Z(\mathfrak{g}) \cong P(\mathfrak{h}^*)^W$ ,  
so inf. chars correspond to  $\mathfrak{h}^*/W$ .

Here  $\mathfrak{h}$  is a CSA of  $\mathfrak{g}$  and  $W$  is the Weyl group  
of  $(\mathfrak{g}, \mathfrak{h})$ .

Dirac operator on  $\mathbb{R}^n$ :

Look for  $D$  such that  $D^2 = -\sum \partial_i^2$ .

If  $D = \sum e_i \partial_i$ , get

$$e_i^2 = -1; \quad e_i e_j + e_j e_i = 0, \quad i \neq j$$

So the coefficients should belong to the Clifford algebra  $C(\mathbb{R}^n)$ .

Identifying  $\partial_i \leftrightarrow e_i$ , get

$$D = \sum e_i \otimes e_i \in D_{cc}(\mathbb{R}^n) \otimes C(\mathbb{R}^n),$$

where  $D_{cc}(\mathbb{R}^n)$  denotes the algebra of constant coefficient diff. ops on  $\mathbb{R}^n$ .

Back to  $G$ :

Let  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  be the Cartan decomposition  
( $\mathfrak{p} = \mathfrak{k}^\perp$ )

$C(\mathfrak{p})$ : Clifford algebra of  $\mathfrak{p}$  wrt  $B$

**Dirac operator:**

$$D = \sum_i b_i \otimes d_i \in U(\mathfrak{g}) \otimes C(\mathfrak{p})$$

( $b_i$  a basis of  $\mathfrak{p}$ ,  $d_i$  dual basis)

$D$  is independent of choice of basis and  $K$ -invariant.

$$D^2 = -\text{Cas}_{\mathfrak{g}} \otimes 1 + \text{diag}(\text{Cas}_{\mathfrak{k}}) + \text{const.}$$

Parthasarathy - used  $D$  to construct the discrete series.

Let  $M$  be a  $(\mathfrak{g}, K)$ -module

Let  $S$  be a spin module for  $C(\mathfrak{p})$

( $S = \Lambda(U)$ ,  $U \subset \mathfrak{p}$  max. isotropic.)

$M \otimes S$  is a  $(U(\mathfrak{g}) \otimes C(\mathfrak{p}), \tilde{K})$ -module

( $\tilde{K} =$  spin double cover of  $K$ )

In particular,  $D$  acts on  $M \otimes S$

**Dirac cohomology:**

$$H_D(M) = \text{Ker } D / \text{Im } D \cap \text{Ker } D$$

(introduced by Vogan)

## Properties:

1.  $H_D(M)$  is a  $\tilde{K}$ -module

2. For  $M$  unitary,  $D$  is symmetric, so

$$H_D(M) = \text{Ker } D = \text{Ker } D^2$$

and  $D^2 \geq 0$  (Parthasarathy's Dirac inequality)

For  $SL(2)$ : exactly (1)-(3) have  $H_D \neq 0$ .

$H_D(M)$  is equal to h.wt.+1 and/or l.wt.-1

## More notation:

$\mathfrak{h} = \mathfrak{t} \oplus \mathfrak{a}$ : a fundamental CSA of  $\mathfrak{g}$

$\Lambda \in \mathfrak{h}^*$ : infinitesimal character of  $M$   
(assume  $\mathfrak{g}$ -dominant)

$\mu \in \mathfrak{t}^* \subset \mathfrak{h}^*$ : the h.wt. of  $E_\mu \subset H_D(M)$

## Main fact:

$$\Lambda = \mu + \rho_{\mathfrak{k}} \quad \text{up to } W$$

(conjectured by Vogan; proved by Huang-P.; generalized to other settings:

Kostant: replace  $\mathfrak{k}$  by quadratic  $\mathfrak{r} \subset \mathfrak{g}$

Kumar, Alexeev-Meinrenken: noncommutative equivariant cohomology

Huang-P.:  $\mathfrak{g}$  superalgebra of Riemannian type

Kac-Moseneder-Frajria-Papi:  $\mathfrak{g}$  affine)

## Partial converse:

If  $M$  is unitary and if  $\mu = w\Lambda - \rho_{\mathfrak{k}}$  is the h.wt. of some  $E_{\mu} \subset M \otimes S$ , then  $E_{\mu} \subset H_D(M)$ .

## Problems:

- 1) Classify irreducible unitary  $M$  with  $H_D \neq 0$
- 2) Calculate  $H_D(M)$  for given  $M$

## Motivation:

1. unitarity - sharpening the Dirac inequality
2. irred. unitary  $M$  with  $H_D \neq 0$  are interesting:
  - discrete series - Parthasarathy;
  - $A_q(\lambda)$  modules - Huang-Kang-P.;
  - unitary h.wt. modules - Enright, Huang-P.-Renard; more directly by Huang-P.-Protsak in special cases;

- some unipotent reps - Barbasch-P.
  - also fd mod. - Kostant, Huang-Kang-P.
3. irred. unitary  $M$  with  $H_D \neq 0$  should form a nice part of the unitary dual
  4.  $H_D$  is related to  $\mathfrak{n}$ -cohomology (Huang-P.-Renard)
  5.  $H_D$  is related to  $(\mathfrak{g}, K)$ -cohomology (Huang-Kang-P)
  6. can construct reps with  $H_D \neq 0$  via Dirac induction (P.-Renard)

## Example: Wallach modules of $\mathfrak{sp}(2n, \mathbb{R})$

(with Huang and Protsak)

$\mathfrak{t} = \text{CSA}$  in  $\mathfrak{g} = \mathfrak{sp}(2n, \mathbb{C})$  and  $\mathfrak{k} = \mathfrak{gl}(n, \mathbb{C})$ .

Positive roots:

compact:  $e_i - e_j, 1 \leq i < j \leq n$ .

noncompact:  $e_i + e_j, i < j; 2e_i, i = 1, \dots, n$ .

$$\rho = (n, n - 1, \dots, 1)$$

$$\rho_{\mathfrak{k}} = \left( \frac{n-1}{2}, \frac{n-3}{2}, \dots, -\frac{n-1}{2} \right)$$

$W_{\mathfrak{k}}$ : permutations of coordinates

$W_{\mathfrak{g}}$ : permutations and arbitrary sign changes

fund. ch. for  $\mathfrak{g}$ :  $x_1 \geq x_2 \geq \cdots \geq x_n \geq 0$

fund. ch. for  $\mathfrak{k}$ :  $x_1 \geq x_2 \geq \cdots \geq x_n$ .

(open chambers:  $>$  in place of  $\geq$ )

Let  $W^1 = \{w \in W_{\mathfrak{g}} \mid w\rho \text{ is } \mathfrak{k}\text{-dominant}\}$

Then  $W^1 \leftrightarrow \mathbb{Z}_2^n$

( $\forall$  sign change,  $\exists!$  rearrangement.)

Wallach modules:  $V_k$ ,  $k \in \{1, 2, \dots, n\}$ .

$V_k$  has lowest weight  $(\frac{k}{2}, \frac{k}{2}, \dots, \frac{k}{2})$ .

Infinitesimal character ( $\mathfrak{g}$ -dominant representative):

$$\Lambda = \left( \frac{k}{2} + n - k, \frac{k}{2} + n - k - 1, \dots, \frac{k}{2} + 1, \frac{k}{2}, \right. \\ \left. \frac{k}{2} - 1, \frac{k}{2} - 1, \frac{k}{2} - 2, \frac{k}{2} - 2, \dots \right),$$

ending with  $1, 1, 0$  if  $k$  is even and with  $\frac{1}{2}, \frac{1}{2}$  if  $k$  is odd.

$K$ -types (all of multiplicity 1):

$$\left( \frac{k}{2}, \frac{k}{2}, \dots, \frac{k}{2} \right) + (d_1, d_2, \dots, d_k, 0, \dots, 0),$$

$d_1 \geq d_2 \geq \dots \geq d_k \geq 0$  integers of the same parity.

All  $d_i$  even:  $V_k^+ \subset V_k$ , irreducible

All  $d_i$  odd:  $V_k^- \subset V_k$ , irreducible

$k = 1$ : Weil representation

These modules are nice and small and appear in many situations (dual pairs, invariant theory, important in classification...)

To calculate  $H_D$ , we need to match

$$w\Lambda - \rho_{\mathfrak{k}} = [\text{h.wt. in } S + \text{l.wt. in } V_k]'$$

where  $[\ ]'$  denotes the  $\mathfrak{k}$ -dominant  $W_K$ -conjugate.

Highest weights in  $S$  are  $\sigma\rho - \rho_k$ ,  $\sigma \in W^1$ .

Lowest weights in  $V_k$  are

$$\left(\frac{k}{2}, \frac{k}{2}, \dots, \frac{k}{2}\right) + (0, \dots, 0, d_k, \dots, d_1).$$

$w\Lambda - \rho_{\mathfrak{k}}$  is  $\mathfrak{k}$ -dominant  $\Rightarrow$  up to  $\text{Stab}_{W_{\mathfrak{g}}}(\Lambda)$ ,

$$w \in W_{\Lambda} = \left\{ w \in W^1 \mid w \leftrightarrow \epsilon_1 \dots \epsilon_{n-k+1} (+ -) \dots (+ -) (+) \right\},$$

where the last  $+$  appears only when  $k$  is even.

For each such  $w$ ,  $\exists!$  matching  $\sigma$  and  $d_1, \dots, d_k$ :

$$\sigma = - - \dots - \epsilon_1 \epsilon_2 \dots \epsilon_{n-k};$$

$d_1 = \dots = d_k = \#$  pluses among  $\epsilon_1, \dots, \epsilon_{n-k+1}$ .

Hence

$$H_D(V_k) = \bigoplus_{w \in W_{\Lambda}} w\Lambda - \rho_{\mathfrak{k}}.$$

Ongoing work with Barbasch:

1. many computations of  $H_D$  for unipotent reps of complex groups, some for real
2. e.g. for  $GL(n, \mathbb{C})$ , a unip. rep has  $H_D \neq 0$  iff the corresponding Young diagram has only two columns, of opposite parity.  $H_D$  is a single K-type with multiplicity. We can write it down explicitly.
3. we also have some more general (but still special) results of the following type: suppose  $\mathfrak{m}$  is a Levi factor of a parabolic and suppose  $\pi$  is induced from  $\pi_{\mathfrak{m}}$ . Then  $\pi$  has  $H_D \neq 0$  iff  $\pi_{\mathfrak{m}}$  has  $H_D \neq 0$ .