

Fundamental theorems of invariant theory for classical and quantum groups - 1

R. B. Zhang

University of Sydney

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SFT in endomorphism algebra setting

Based on the following joint papers with Gus Lehrer



[1] Gus Lehrer and Ruibin Zhang, The second fundamental theorem of invariant theory for the orthogonal group. *Annals of Math.* **176** (2012), no. 3, 2031-2054.



[2] Gus Lehrer and Ruibin Zhang, The Brauer category and invariant theory. *arXiv* (2012).

Introduction

Basic problem in classical invariant theory for, e.g., a group G :

One wants to describe

generators (FFT) & *relations* (SFT)

of invariants of G actions.

Typically, for some G -module M , find the generators & relations of

- M^G as vector space,
- $S(M^*)^G$ as a commutative algebra,
- $\text{End}_G(M) = \text{End}(M)^G$ as an associative algebra,
i.e., find a presentation for $\text{End}_G(M)$.

We mostly consider the third case, which involves some interesting algebras.

Classical groups

Work over field K of characteristic 0, e.g., $K = \mathbb{C}$.

V , finite dimensional vector space;

$$V^{\otimes r} = \underbrace{V \otimes \cdots \otimes V}_r.$$

The case of $G = GL(V)$.

$V^{\otimes r}$ is a G -module for any r , with the action defined, for any $g \in G$ and $\mathbf{v} = v_1 \otimes v_2 \otimes \cdots \otimes v_r \in V^{\otimes r}$, by

$$g \cdot \mathbf{v} = gv_1 \otimes gv_2 \otimes \cdots \otimes gv_r.$$

The symmetric group Sym_r of degree r acts on $V^{\otimes r}$ by

$$\sigma \cdot \mathbf{v} = v_{\sigma(1)} \otimes v_{\sigma(2)} \otimes \cdots \otimes v_{\sigma(r)}, \quad \sigma \in \text{Sym}_r.$$

Denote by ν_r this representation of Sym_r . Clearly

$$\begin{aligned} g \cdot (\sigma \cdot \mathbf{v}) &= gv_{\sigma(1)} \otimes gv_{\sigma(2)} \otimes \cdots \otimes gv_{\sigma(r)} \\ &= \sigma \cdot (gv_1 \otimes gv_2 \otimes \cdots \otimes gv_r) \\ &= \sigma \cdot (g \cdot \mathbf{v}), \quad \text{for all } g \in G, \sigma \in \text{Sym}_r. \end{aligned}$$

Hence $\nu_r(K\text{Sym}_r) \subseteq \text{End}_G(V^{\otimes r})$.

- FFT for $G = GL(V)$ (Schur, 1901): There is a surjection of K -algebras

$$\nu_r : K\text{Sym}_r \longrightarrow \text{End}_G(V^{\otimes r}).$$

Let $m = \dim_K V$. As $GL(V) \times \text{Sym}_r$ -module,

$$V^{\otimes r} = \bigoplus_{\lambda} L(\lambda) \otimes D_{\lambda},$$

where the sum is over partitions of r of the form

$$\lambda = (\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_m);$$

D_{λ} , simple Sym_r -module associated with λ ;

$L(\lambda)$, simple $GL(V)$ -module with highest weight λ .

$K\text{Sym}_r$ is semi-simple. Inspection of the simple Sym_r -modules appearing in the decomposition leads to:

if $r \leq m$ then $\text{Ker}(\nu_r) = 0$,

if $r > m$ then $\text{Ker}(\nu_r) = \text{sum of ideals corresponding to } D_\lambda \text{ with } \lambda = (\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_r), \quad \lambda_{m+1} > 0.$

Let

$$\Sigma(m+1) := \sum_{\sigma \in \text{Sym}_{m+1}} (-1)^{\ell(\sigma)} \sigma,$$

where $\ell(\sigma)$ is the length of σ . Then

$$\Sigma(m+1)(V^{\otimes(m+1)}) = \{0\}.$$

$\text{Sym}_{m+1} \hookrightarrow \text{Sym}_r$ as the subgroup permuting the first $m+1$ letters for any $r > m+1$.

•SFT: if $r \leq m$, then ν_r is bijective; if $r > m$, then $\text{Ker}(\nu_r)$ as a 2-sided ideal of $K\text{Sym}_r$ is generated by $\Sigma(m+1)$.

- FFT for $G = O(V)$ or $Sp(V)$ (Brauer, 1937): there is a surjection of K -algebras

$$\nu_r : B_r(\epsilon m) \longrightarrow \text{End}_G(V^{\otimes r}),$$

where $B_r(\epsilon m)$ is the Brauer algebra of degree r with parameter ϵm ,
 $m = \dim_K V$, $\epsilon = \epsilon(G)$, $\epsilon(O) = 1$, $\epsilon(Sp) = -1$

- SFT: describe generators of $\text{Ker}(\nu_r)$ as an ideal of $B_r(\epsilon m)$.
 This is only known recently [Lehrer-Zhang].

Remarks:

- (1). Weyl's SFT for $S(V \oplus \cdots \oplus V)^G$ is not enough for describing $\text{Ker}(\nu_r)$ as an ideal of $B_r(\epsilon m)$.
- (2). $B_r(\epsilon m)$ is not semi-simple unless r is very small [Rui-Si].

The Brauer diagrams

We describe the Brauer algebra categorically.

Definition

For any pair $k, \ell \in \mathbb{N}$, a (k, ℓ) Brauer diagram is a partitioning of the set $\{1, 2, \dots, k + \ell\}$ as a disjoint union of pairs.

This is thought of as a diagram where $k + \ell$ points are placed on two parallel horizontal lines, k on the lower line and ℓ on the upper, with arcs drawn to join points which are paired. The pairs will be called *arcs*.

A $(6, 4)$ Brauer diagram:

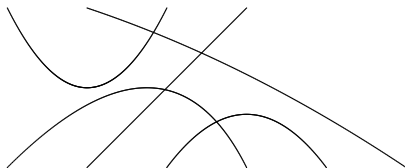


Figure :

There is just one Brauer $(0, 0)$ -diagram, the empty diagram.

Composition and tensor product

Let K be a commutative ring with identity, and fix $\delta \in K$.

$B_k^\ell(\delta)$, free K -module with basis consisting of (k, ℓ) diagrams.

$B_k^\ell(\delta) \neq 0$ if and only if $k + \ell$ is even,

$B_0^0(\delta) = K$.

Two K -bilinear operations on diagrams.

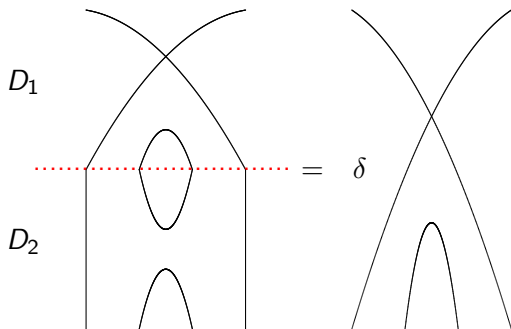
$$\text{composition} \quad \circ : B_\ell^p(\delta) \times B_k^\ell(\delta) \longrightarrow B_k^p(\delta),$$

$$\text{tensor product} \quad \otimes : B_p^q(\delta) \times B_k^\ell(\delta) \longrightarrow B_{k+p}^{q+\ell}(\delta)$$

Composition of diagrams:

The composite $D_1 D_2$ of the Brauer diagrams $D_1 \in B_\ell^p(\delta)$ and $D_2 \in B_k^\ell(\delta)$ is defined as follows. First, the concatenation $D_1 \# D_2$ is obtained by placing D_1 above D_2 , and identifying the ℓ lower nodes of D_1 with the corresponding upper nodes of D_2 . Then $D_1 \# D_2$ is the union of a Brauer (k, p) diagram D with a certain number, $f(D_1, D_2)$ say, of free loops. The composite $D_1 D_2$ is the element $\delta^{f(D_1, D_2)} D \in B_k^p(\delta)$.

$$D_1 D_2$$



Tensor product of diagrams:

The tensor product $D \otimes D'$ of any two Brauer diagrams $D \in B_p^q(\delta)$ and $D' \in B_k^\ell(\delta)$ is the $(p+k, q+\ell)$ diagram obtained placing D' on the right of D without overlapping.



Definition

The category $\mathcal{B}(\delta)$ of Brauer diagrams is the following tensor category (with tensor product denoted by \otimes):

1. the set of objects is $\mathbb{N} = \{0, 1, 2, \dots\}$;
 $\text{Hom}_{\mathcal{B}(\delta)}(k, l) = B_k^l(\delta)$ for any $k, l \in \mathbb{N}$;
composition of morphisms is given by the composition of Brauer diagrams;
2. the identity object is \emptyset ;
tensor product of objects: $k \otimes l = k + l$;
tensor product of morphisms is given by the tensor product of Brauer diagrams.

Remark

$\mathcal{B}(\delta)$ is a quotient category of the category of tangles defined by Turaev and others.

Involutions

Duality functor $*$: $\mathcal{B}(\delta) \rightarrow \mathcal{B}(\delta)^{\text{op}}$, taking each object to itself, and each diagram to its reflection in a horizontal line.

Involution \sharp : $\mathcal{B}(\delta) \rightarrow \mathcal{B}(\delta)$, taking objects to themselves, but a diagram D to its reflection in a vertical line.

Contravariant functor $D \mapsto *D := D^{*\circ\sharp}$.

Theorem

1. *The four elementary Brauer diagrams*



generate all Brauer diagrams by composition and tensor product.

Denote these generators by I , X , A and U respectively.

2. *A complete set of relations among these four generators is given by the following, and their transforms under $*$ and \sharp .*

$$I \circ I = I, \quad (I \otimes I) \circ X = X, \quad (1)$$

$$A \circ (I \otimes I) = A, \quad (I \otimes I) \circ U = U, \quad (2)$$

$$X \circ X = I \otimes I, \quad (3)$$

$$(X \otimes I) \circ (I \otimes X) \circ (X \otimes I) = (I \otimes X) \circ (X \otimes I) \circ (I \otimes X), \quad (4)$$

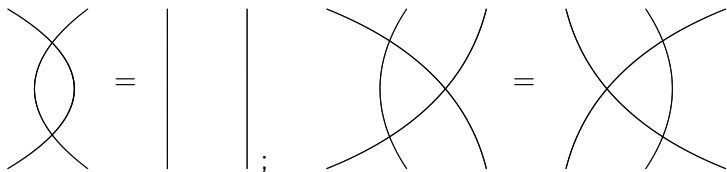
$$A \circ X = A, \quad (5)$$

$$A \circ U = \delta, \quad (6)$$

$$(A \otimes I) \circ (I \otimes X) = (I \otimes A) \circ (X \otimes I) \quad (7)$$

$$(A \otimes I) \circ (I \otimes U) = I. \quad (8)$$

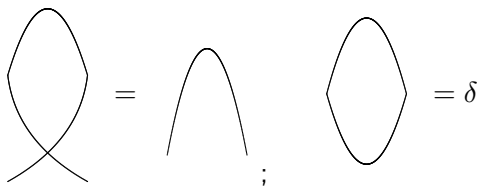
The relations (3)-(8) are depicted diagrammatically below.



Double crossing

Braid relation

Figure : Relations (3) and (4)



De-looping Loop Removal
 Figure : Relations (5) and (6)



Sliding Straightening
 Figure : Relations (7) and (8)

The Brauer algebra

The Brauer algebra $B_r(\delta)$ is $B_r^r(\delta)$ with multiplication given by composition of diagrams. For $i = 1, \dots, r-1$, let

$$s_i = \left[\begin{array}{c|c|c|c|c} & & & & \\ & \dots & & & \\ & i-1 & \text{X} & & \\ & & & \dots & \\ & & & & \end{array} \right];$$

$$e_i = \left[\begin{array}{c|c|c|c|c} & & & & \\ & \dots & & & \\ & i-1 & \text{U} & & \\ & & \text{A} & \dots & \\ & & & & \end{array} \right].$$

Figure :

$B_r(\delta)$ has the following presentation as K -algebra with anti-involution $*$:

The generators are $\{s_i, e_i \mid i = 1, 2, \dots, r-1\}$;

the relations are the following (and their images under $*$)

$$s_i s_j = s_j s_i, \quad s_i e_j = e_j s_i, \quad e_i e_j = e_j e_i, \quad \text{if } |i - j| \geq 2,$$

$$s_i^2 = 1, \quad s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1},$$

$$s_i e_i = e_i s_i = e_i,$$

$$e_i^2 = \delta e_i,$$

$$e_i e_{i\pm 1} e_i = e_i,$$

$$s_i e_{i+1} e_i = s_{i+1} e_i,$$

where the last five relations being valid for all applicable i .

Remarks:

The elements s_1, \dots, s_{r-1} generate a $K\text{Sym}_r$ subalgebra of $B_r(\delta)$.

If δ is integral, $B_r(\delta)$ is not semi-simple unless r is very small
[Rui-Si].

Category of tensor representations

Non-degenerate bilinear form $(-, -) : V \times V \longrightarrow K$, which is either symmetric or skew symmetric.

$G = \{g \in \mathrm{GL}(V) \mid (gv, gw) = (v, w), \forall v, w \in V\}$ is the orthogonal group $\mathrm{O}(V)$ if the form is symmetric, the symplectic group $\mathrm{Sp}(V)$ if the form is skew symmetric.

Definition

Denote by $\mathcal{T}_G(V)$ the full subcategory of G -modules with objects $V^{\otimes r}$ ($r \in \mathbb{N}$), where $V^{\otimes 0} = K$.

The usual tensor product of G -modules and of G -equivariant maps is a bi-functor $\mathcal{T}_G(V) \times \mathcal{T}_G(V) \longrightarrow \mathcal{T}_G(V)$.

Call $\mathcal{T}_G(V)$ the *category of tensor representations of G* .

This is a tensor category with K being the identity object.

Note that $\mathrm{Hom}_G(V^{\otimes r}, V^{\otimes t}) = 0$ unless $r + t$ is even; the zero module is not an object of $\mathcal{T}_G(V)$.

Some morphisms

G -module isomorphisms

$$\mathrm{End}_K(V) \xrightarrow{\sim} V \otimes V^* \xrightarrow{\sim} V \otimes V.$$

Let $c_0 \in V \otimes V$ be the image of id_V .

Define maps

$$\begin{aligned} P : V \otimes V &\longrightarrow V \otimes V, & v \otimes w &\mapsto w \otimes v, \\ \check{C} : K &\longrightarrow V \otimes V, & 1 &\mapsto c_0, \\ \hat{C} : V \otimes V &\longrightarrow K, & v \otimes w &\mapsto (v, w). \end{aligned} \tag{9}$$

Lemma

The maps P , \check{C} and \hat{C} are all G -equivariant, and

$$P^2 = \text{id}^{\otimes 2}, \quad (10)$$

$$(P \otimes \text{id})(\text{id} \otimes P)(P \otimes \text{id}) = (\text{id} \otimes P)(P \otimes \text{id})(\text{id} \otimes P), \quad (11)$$

$$P\check{C} = \epsilon\check{C}, \quad \hat{C}P = \epsilon\hat{C}, \quad (12)$$

$$\hat{C}\check{C} = \epsilon m, \quad (13)$$

$$(\hat{C} \otimes \text{id})(\text{id} \otimes \check{C}) = \text{id} = (\text{id} \otimes \hat{C})(\check{C} \otimes \text{id}), \quad (14)$$

$$(\hat{C} \otimes \text{id}) \circ (\text{id} \otimes P) = (\text{id} \otimes \hat{C}) \circ (P \otimes \text{id}), \quad (15)$$

$$(P \otimes \text{id}) \circ (\text{id} \otimes \check{C}) = (\text{id} \otimes P) \circ (\check{C} \otimes \text{id}). \quad (16)$$

A tensor functor

Theorem

There exists a tensor functor $F : \mathcal{B}(\epsilon m) \longrightarrow \mathcal{T}_G(V)$ such that F sends object r to $V^{\otimes r}$, morphism $D : k \rightarrow \ell$ to $F(D) : V^{\otimes k} \longrightarrow V^{\otimes \ell}$, where F is defined on the elementary diagrams by

$$\begin{aligned} F \left(\begin{array}{c} | \\ \hline \end{array} \right) &= \text{id}_V, & F \left(\begin{array}{c} \diagup \quad \diagdown \\ \hline \diagdown \quad \diagup \end{array} \right) &= \epsilon P, \\ F \left(\begin{array}{c} \diagdown \quad \diagup \\ \hline \diagup \quad \diagdown \end{array} \right) &= \check{C}, & F \left(\begin{array}{c} \diagup \quad \diagdown \\ \hline \diagup \quad \diagdown \end{array} \right) &= \hat{C}. \end{aligned} \tag{17}$$

As a tensor functor, F respects tensor product.
For any objects r, r' and morphisms D, D' in $\mathcal{B}(\epsilon m)$,

$$\begin{aligned} F(r \otimes r') &= V^{\otimes r} \otimes V^{\otimes r'} = F(r) \otimes F(r'), \\ F(D \otimes D') &= F(D) \otimes F(D'). \end{aligned}$$

Fundamental theorems

We assume that K is a field of characteristic zero.

Let $\Sigma_\epsilon(r) \in B_r(\delta)$ with $\epsilon = \pm 1$ be defined by

$$\Sigma_\epsilon(r) = \sum_{\sigma \in \text{Sym}_r} (-\epsilon)^{\ell(\sigma)} \sigma.$$

Represent $\Sigma_\epsilon(r)$ pictorially by

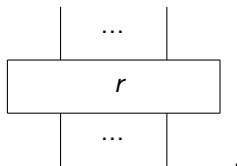


Figure : $\Sigma_\epsilon(r)$

Denote by $\langle \Sigma_\epsilon(m+1) \rangle$ the subspace of $\bigoplus_{k,\ell} B_k^\ell(\epsilon m)$ spanned by the morphisms generated by $\Sigma_\epsilon(m+1)$ by composition and tensor product.

Set $\langle \Sigma_\epsilon(m+1) \rangle_k^\ell = \langle \Sigma_\epsilon(m+1) \rangle \cap B_k^\ell(\epsilon m)$.

E.g., when $\epsilon = -1$,

$$D(p, q) =$$

belongs to $\langle \Sigma_\epsilon(m+1) \rangle_k^k$ with $k = 2n - p + q$.

Theorem

Assume that K has characteristic 0. Write $d = m$ if $G = O(V)$, and $d = \frac{m}{2}$ if $G = Sp(V)$.

1. The functor $F : \mathcal{B}(\epsilon m) \longrightarrow \mathcal{T}_G(V)$ is full. That is, F is surjective on Hom spaces.
2. The map $F_k^\ell = F|_{B_k^\ell(\epsilon m)}$ is bijective if $k + \ell \leq 2d$, and $\text{Ker} F_k^\ell = \langle \Sigma_\epsilon(m+1) \rangle_k^\ell$ if $k + \ell > 2d$.

Proof.

Part 1 follows from Brauer's result.

Part 2 is deduced from Weyl's SFT. □

Lecture 2

Summary of Lecture 1

- ▶ $G = O(V)$ or $Sp(V)$. There exists a surjection of algebras $\nu_r : B_r(\epsilon m) \longrightarrow \text{End}_G(V^{\otimes r})$. We want to describe $\text{Ker}(\nu_r)$.
- ▶ Introduced the category $\mathcal{B}(\delta)$ of Brauer diagrams such that $B_r(\delta) = \text{Hom}(r, r)$; constructed a tensor functor $F : \mathcal{B}(\epsilon m) \longrightarrow \mathcal{T}_G(V)$, where $\mathcal{T}_G(V)$ is the category of tensor modules for G .

▶ Theorem

1. The functor $F : \mathcal{B}(\epsilon m) \longrightarrow \mathcal{T}_G(V)$ is full. That is, F is surjective on Hom spaces.
2. The map $F_k^\ell = F|_{B_k^\ell(\epsilon m)}$ is bijective if $k + \ell \leq 2d$, and $\text{Ker} F_k^\ell = \langle \Sigma_\epsilon(m+1) \rangle_k^\ell$ if $k + \ell > 2d$.

Problem. The construction of $\langle \Sigma_\epsilon(m+1) \rangle_k^k$ used operations not defined in the Brauer algebra $B_k(\epsilon m)$.

Endomorphism algebras in $Sp(V)$ case

Take $G = Sp(V)$ with $m = \dim V = 2n$.

The element $\Phi \in B_{n+1}^{n+1}(-2n)$:

$$\Phi = \sum_{k=0}^{\lfloor \frac{n+1}{2} \rfloor} a_k \Xi_k \quad \text{with} \quad a_k = \frac{1}{(2^k k!)^2 (n+1-2k)!}, \quad (18)$$

$$\Xi_k =$$

Note that

$$\Xi_k = \Sigma_{-1}(n+1)E(k)\Sigma_{-1}(n+1),$$
$$E(k) = \prod_{j=1}^k e_{n+2-2j}, \quad E(0) = 1.$$

Lemma

The element Φ is the sum of all $(n+1, n+1)$ Brauer diagrams. It has the following properties:

1. $e_i\Phi = \Phi e_i = 0$ for all $e_i \in B_{n+1}^{n+1}(-2n)$;
2. $\Phi^2 = (n+1)!\Phi$;
3. $\Phi \in \text{Ker} F_{n+1}^{n+1}$.

For $s < r$, natural embedding of associative algebras:

$$B_s^s(-2n) \hookrightarrow B_r^r(-2n), \quad b \mapsto b \otimes I_{r-s}.$$

Regard $B_s^s(-2n)$ as the subalgebra of $B_r^r(-2n)$.

Definition

Let $\langle \Phi \rangle_r$ be the 2-sided ideal of $B_r^r(-2n)$ generated by Φ if $r > n$.

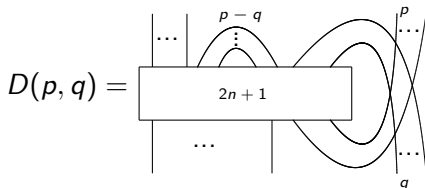
Theorem

- If $r \leq n$, $F_r^r : B_r^r(-2n) \longrightarrow \text{End}_{\text{Sp}(V)}(V^{\otimes r})$ is bijective.
- If $r > n$, $\text{Ker} F_r^r$ is generated by Φ as a 2-sided ideal of $B_r^r(-2n)$.

In particular, $\Sigma_{-1}(2n+1) \in \langle \Phi \rangle_{2n+1}$.

Sketch of proof of main theorem

$D(p, q) \in B_k^k(-2n)$ with $k = 2n + 1 - p + q$:



Proposition

Assume that $r > n$.

(I). As a two-sided ideal of the Brauer algebra $B_r^r(-2n)$, $\text{Ker} F_r^r$ is generated by $D(p, q)$ and $*D(p, q)$ with $p + q \leq r$ and $p \leq n$.

(II). $D(p, q)$ and $*D(p, q)$ all belong to $\langle \Phi \rangle_r$.

Endomorphism algebras in $O(V)$ case

$$\epsilon = +1$$

For $p = 0, 1, \dots, m+1$, let $E_{m+1-p} \in B_{m+1}^{m+1}(m)$ be defined by

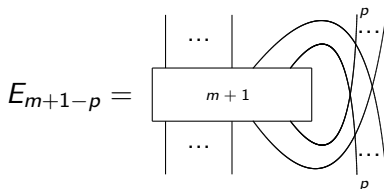


Figure :

The elements E_k are \mathbb{Z} -linear combinations of Brauer diagrams.

Formulae for E_k are given in next slide.

If $1 \leq k < l$, write $A(k, l) := \Sigma_{+1}(\text{Sym}_{\{k, k+1, \dots, l\}})$ for the total antisymmetriser in $\text{Sym}_{\{k, k+1, \dots, l\}}$. Let

$$F_p = A(1, m+1-p)A(m+2-p, m+1).$$

For $j = 0, 1, 2, \dots, i$, define

$$e_i(j) = e_{i, i+1} e_{i-1, i+2} \cdots e_{i-j+1, i+j}.$$

Lemma

For $i = 0, 1, \dots, m+1$, let $\min_i = \min(i, m+1-i)$. Then

$$E_i = \sum_{j=0}^{\min_i} (-1)^j c_i(j) \Xi_i(j) \quad \text{with} \quad \Xi_i(j) = F_i e_i(j) F_i, \quad (19)$$

where $c_i(j) = ((i-j)!(m+1-i-j)!(j!)^2)^{-1}$.

Lemma

1. $*E_p = E_{m+1-p}$ for all p .
2. $F_p E_p = E_p F_p = p!(m+1-p)!E_p$.
3. $e_i E_p = E_p e_i = 0$ for all $i \leq m$.
4. $E_p^2 = p!(m+1-p)!E_p$.

Note that $E_0 = E_{m+1} = \Sigma_{+1}(m+1)$.

Lemma

Let $\ell = \left\lceil \frac{m+1}{2} \right\rceil$. As two-sided ideals in $B_r^r(m)$,

$$\langle E_0 \rangle \subset \langle E_1 \rangle \subset \cdots \subset \langle E_{\ell-1} \rangle \subset \langle E_\ell \rangle \supset \langle E_{\ell+1} \rangle \supset \cdots \supset \langle E_m \rangle \supset \langle E_{m+1} \rangle.$$

The main theorem

Theorem

If $r \leq m$, $F_r^r : B_r^r(m) \longrightarrow \text{End}_{O(V)}(V^{\otimes r})$ is bijective.

If $r > m$, $\text{Ker} F_r^r$ as a two-sided ideal of $B_r^r(m)$ is generated by E_ℓ .

This follows from the Lemma and

Proposition

Assume $r > m$. $\text{Ker} F_r^r$, as a two-sided ideal of $B_r^r(m)$, is generated by E_p for all $0 \leq p \leq m + 1$.

Continue to invariant theory of quantum groups...