

# The Hecke Algebra and its Categorification

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## The formalism of Hecke algebras

- ▶  $G$  finite group,  $(\mathbb{Z}G, *)$  group ring
- ▶  $B \subset G$  subgroup,  ${}^B(\mathbb{Z}G)^B$  the  $B$ -biinvariant functions, stable under  $*$  and under  $*_B := */|B|$
- ▶  $\mathcal{H} = \mathcal{H}(G, B) := ({}^B(\mathbb{Z}G)^B, *_B)$  the **Hecke algebra**
- ▶ Unit element characteristic function  $\underline{B} = 1_{\mathcal{H}}$  the of  $B$
- ▶  $\mathbb{Z}$ -basis of  $\mathcal{H}$  are the characteristic functions  $\underline{D}$  for  $D$  running over all double cosets
- ▶ For  $V$  a  $G$ -module  $V^B$  is an  $\mathcal{H}$ -module

## The algebra of Hecke operators

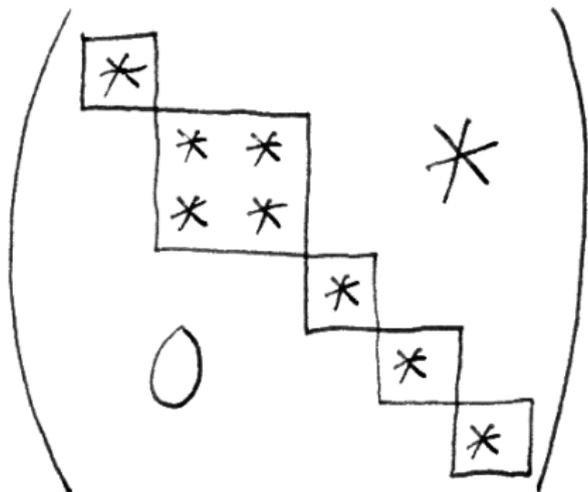
- ▶  $G = \mathrm{GL}(2; \mathbb{R})^+$  acts on the upper half plane  $\mathbb{H}^+$
- ▶  $G = \mathrm{GL}(2; \mathbb{R})^+$  acts on  $\mathcal{O}^{\mathrm{an}}(\mathbb{H}^+)(dz)^{\otimes k}$
- ▶ Take  $B = \mathrm{SL}(2; \mathbb{Z})$
- ▶  $\mathcal{H}(G, B)$  acts on  $(\mathcal{O}^{\mathrm{an}}(\mathbb{H}^+)(dz)^{\otimes k})^{\mathrm{SL}(2; \mathbb{Z})}$
- ▶ These are the classical Hecke operators acting on modular functions
- ▶ Sure these groups are infinite. Then should use the more general definition

$$\mathcal{H}(G, B) := \mathrm{End}_{\mathbb{Z}}^G(\mathrm{prod}_B^G \mathbb{Z})^{\mathrm{opp}}$$

## Towards the Iwahori-Matsumoto Hecke algebras

- ▶ Take  $G = \mathrm{GL}(n; \mathbb{F}_q)$  and  $B$  upper triangular matrices
- ▶ Bruhat decomposition  $G = \bigsqcup_{x \in W} BxB$  for  $W = \mathcal{S}_n$  the permutation matrices
- ▶ The  $T_x := \underline{BxB}$  form a basis of the Hecke algebra

For  $s \in S := \{(i, i + 1) \text{ transposition} \mid 1 \leq i < n\}$  know  
 $B \sqcup BsB := P_s \subset G$  is a subgroup and  $|P_s/B| = q + 1$   
 For example here is  $P_{(2,3)}$ :



## Towards generators and relations of Hecke algebras

- ▶ For  $s \in S$  know  $B \sqcup BsB := P_s \subset G$  is a subgroup and  $|P_s/B| = q + 1$
- ▶ Deduce  $(T_s + 1)^2 = (q + 1)(T_s + 1)$  and thus  $T_s^2 = (q - 1)T_s + q$  for  $s \in S$
- ▶ Let  $l(x)$  number of Fehlstände of  $x$
- ▶  $BxB \times_B ByB \xrightarrow{\sim} BxyB$  if  $l(x) + l(y) = l(xy)$
- ▶ Deduce  $T_x T_y = T_{xy}$  if  $l(x) + l(y) = l(xy)$
- ▶ Deduce  $T_x T_y = \sum_z c_{x,y}^z(q) T_z$  with  $c_{x,y}^z$  polynomial in  $q$

## Generic Hecke algebra

- ▶ Generic Hecke algebra  $\mathcal{H} := \bigoplus_{x \in W} \mathbb{Z}[q] T_x$  with  $T_s^2 = (q - 1) T_s + q$  for  $s \in S$  and  $T_x T_y = T_{xy}$  if  $l(x) + l(y) = l(xy)$
- ▶ Called **Iwahori-Matsumoto** Hecke algebra
- ▶ Specializes to group ring  $\mathbb{Z}S_n$  for  $q \mapsto 1$
- ▶  $\mathcal{H}$  might be thought of as quantization of  $\mathbb{Z}S_n$
- ▶ We want to discuss the categorification of  $\mathcal{H}$
- ▶ One application is to refine knot polynomials to knot homology

## Coxeter System

- ▶ A Coxeter System  $(W, S)$  is a group  $W$  with a finite subset  $S \subset W$  such that  $W$  is generated by  $S$  subject to the only relations

$$(st)^{m(s,t)} = e$$

for some symmetric matrix  $m : S \times S \rightarrow \mathbb{Z}_{\geq 1} \sqcup \{\infty\}$  which is 1 on the diagonal and  $> 1$  off the diagonal

- ▶ For  $x \in W$  put  $l(x)$  minimal number of  $s \in S$  needed to express  $x$
- ▶ Any finite group  $W$  generated by reflections is always part of a Coxeter system  $(W, S)$

- ▶ For any Coxeter System  $(W, S)$  there is a Hecke algebra  $\mathcal{H} = \mathcal{H}(W, S) := \bigoplus_{x \in W} \mathbb{Z}[q] T_x$  with

$$T_s^2 = (q - 1)T_s + q \text{ for } s \in S$$

$$T_x T_y = T_{xy} \text{ if } l(x) + l(y) = l(xy)$$

- ▶ Called **Iwahori-Matsumoto** Hecke algebra
- ▶ Specializes to group ring  $\mathbb{Z}W$  for  $q \mapsto 1$

Alternative description of the Hecke algebra  $\mathcal{H}(W, S)$  as  $\mathbb{Z}[q]$ -ringalgebra with generators  $T_s$  for  $s \in S$  subject to the relations  $T_s^2 = (q - 1)T_s + q$  and braid relations

$$T_s T_t \dots = T_t T_s \dots$$

with  $m(s, t)$  factors on both sides

## Categorification of Hecke algebra $\mathcal{H}(W, S)$ by bimodules

- ▶ Let  $(W, S)$  be a Coxeter system
- ▶ Choose a representation  $W \curvearrowright V$  which is
  - ▶ finite dimensional
  - ▶ over an infinite field  $k$  with  $\text{char } k \neq 2$
  - ▶ exactly the conjugates  $t = wsw^{-1}$  of elements of  $s \in S$  have fixed point spaces of codimension one
- ▶ Call such a representation **reflection faithful**
- ▶ Typical example: Symmetric group  $S_n$  permuting the coordinates of  $k^n$

## Categorification of Hecke algebra $\mathcal{H}(W, S)$ by bimodules

- ▶ Choose  $W \curvearrowright V$  reflection faithful representation
- ▶ Put  $R := \mathcal{O}(V)$  a polynomial ring
- ▶ Let  $R\text{-Mod}_{\mathbb{Z}}\text{-}R$  be the category of  $\mathbb{Z}$ -graded  $R$ -bimodules or more precisely  $R \otimes_k R$ -modules
- ▶ Let

$$R\text{-Modbf}_{\mathbb{Z}}\text{-}R$$

be the subcategory of graded bifinite bimodules

- ▶ Bifinite means finitely generated from the left and from the right

## Categorification of Hecke algebra $\mathcal{H}(W, S)$ by bimodules

- ▶ Let  $\langle R\text{-Modbf}_{\mathbb{Z}}\text{-}R \rangle$  be the split Grothendieck group
- ▶ It becomes a ring under  $\otimes_R$
- ▶ **Categorification Theorem:** There is exactly one ring homomorphism

$$\mathcal{E} : \mathcal{H} \rightarrow \langle R\text{-Modbf}_{\mathbb{Z}}\text{-}R \rangle$$

such that we have  $\mathcal{E}(T_s + 1) = \langle R \otimes_{R^s} R \rangle \forall s \in S$  and  $\mathcal{E}(q) = \langle R\langle -1 \rangle \rangle$

- ▶ Notation  $(M\langle n \rangle)_i = M_{i+n}$  for grading shift

## Sketch of proof of bimodule-categorification

- ▶ Recall quadratic relation  $T_s^2 = (q - 1)T_s + q$
- ▶ Rewrite to  $(T_s + 1)^2 = (q + 1)(T_s + 1)$
- ▶ Need  $\langle R \otimes_{R^s} R \rangle^2 = \langle R\langle -1 \rangle \oplus R \rangle \langle R \otimes_{R^s} R \rangle$
- ▶  $(R \otimes_{R^s} R) \otimes_R (R \otimes_{R^s} R) \cong (R\langle -1 \rangle \oplus R) \otimes_R (R \otimes_{R^s} R)$
- ▶  $R \otimes_{R^s} R \otimes_{R^s} R \cong (R \otimes_{R^s} R)\langle -1 \rangle \oplus (R \otimes_{R^s} R)$
- ▶ Follows from recalling in the middle left  
 $R = \alpha R^s \oplus R^s \cong R^s\langle -1 \rangle \oplus R^s$   
with  $\alpha \in V^*$  equation of  $V^s$
- ▶ So only need to check braid relations for bimodules
- ▶ Need only to argue for dihedral groups. Omitted.

## Categorification of Kazhdan-Lusztig basis

- ▶ Extend scalars in Hecke algebra  $\mathcal{H}$  from  $\mathbb{Z}[q]$  to  $\mathbb{Z}[v, v^{-1}]$  by  $q = v^{-2}$
- ▶ Kazhdan-Lusztig constructed a canonical basis  $(C_x)_{x \in W}$  of  $\mathcal{H}_v$  as a  $\mathbb{Z}[v, v^{-1}]$ -module
- ▶ Regrade  $R$  to sit only in even degrees to get categorification map  $\mathcal{E} : \mathcal{H}_v \rightarrow \langle R\text{-Modbf}_{\mathbb{Z}}\text{-}R \rangle$
- ▶ **Indecomposable Bimodule Theorem:** There exist indecomposable bimodules  $B_x \in R\text{-Modbf}_{\mathbb{Z}}\text{-}R$  such that  $\mathcal{E}(C_x) = \langle B_x \rangle$
- ▶ In words: The elements of the Kazhdan-Lusztig canonical basis correspond under the categorification theorem to indecomposable bimodules

## Definition of Kazhdan-Lusztig basis

- ▶ Put  $H_x = v^{l(x)} T_x$
- ▶  $C_x \in H_x + \sum_y v\mathbb{Z}[v]H_y$  and  $d(C_x) = C_x$  is selfdual, uniquely determines the canonical basis element  $C_x$
- ▶ Duality  $d : \mathcal{H}_v \rightarrow \mathcal{H}_v$  the unique ring automorphism, which fixes  $H_s + v$  for  $s \in S$  and maps  $d : v \mapsto v^{-1}$
- ▶ In particular  $C_s = H_s + v$  for  $s \in S$  a simple reflection

## Discussion of categorification of KL-basis

- ▶ Take simple reflections  $s, t, \dots, u \in S$
- ▶ Form the bimodules  $R \otimes_{R^s} R \otimes_{R^t} R \dots \otimes_{R^u} R$
- ▶ Krull-Schmid decompose those bimodules: Get very special indecomposable bimodules  $B_x$  categorifying the Kazhdan-Lusztig basis
- ▶ Call the graded bimodules  $R \otimes_{R^s} R \otimes_{R^t} R \dots \otimes_{R^u} R$  and all you get from them by taking finite direct sums, direct summands and grading shifts **special bimodules** and denote the monoidal category of those

$$R\text{-SMod}_{\mathbb{Z}}\text{-}R$$

Its indecomposables are precisely the  $B_x\langle n \rangle$ .

## Positivity Corollaries of categorification

- ▶  $C_x C_y \in \sum_z \mathbb{N}[v, v^{-1}] C_z$  since  $B_x \otimes_R B_y$  is an actual bimodule, decomposes as

$$B_x \otimes_R B_y = \bigoplus_{z,n} B_z \langle n \rangle^{m(z,n)}$$

- ▶  $C_x = \sum_y P_{x,y}(v) H_y$  with  $P_{x,y}(v) \in \mathbb{Z}[v]$  the **Kazhdan-Lusztig polynomials**
- ▶ Coefficients of Kazhdan-Lusztig-Polynomials are non-negative, since they can be interpreted as  $\text{rk Hom}_{R-R}(\mathcal{O}(\Gamma(x)), B_y)$
- ▶ Here  $\Gamma(x) \subset V \times V$  is the graph of  $x$  and  $\mathcal{O}(\Gamma(x))$  the regular functions on  $\Gamma(x)$ , a quotient of  $\mathcal{O}(V \times V) = R \otimes R$ . Put another way,  $\mathcal{O}(\Gamma(x)) = R$  as left  $R$ -module with the right  $R$ -action twisted by  $x$

- ▶ Example:  $w_0 \in W$  longest element of finite reflection group.  $C_{w_0} = v^{l(w_0)} \sum_{x \in W} T_x = \sum_{x \in W} v^{l(w_0) - l(x)} H_x$

$$B_{w_0} = \mathcal{O} \left( \bigcup_{x \in W} \Gamma(x) \right)$$

is the bimodule of all regular functions on the union of the graphs of all Weyl group elements  $\Gamma(x) \subset V \times V$

- ▶ In general  $B_x$  is still supported on  $\bigcup_{y \leq x} \Gamma(y)$
- ▶ If  $C_x = \sum_{y \leq x} v^{l(x) - l(y)} H_y$ , then  $B_x = \mathcal{O} \left( \bigcup_{y \leq x} \Gamma(y) \right)$

## Application to representation theory

- ▶  $\mathfrak{g}$  a semisimple complex Lie algebra,  $Z \subset U(\mathfrak{g})$  the center of its enveloping algebra
- ▶  $\mathcal{M} \subset \mathfrak{g}\text{-Mod}$  the category of all representations of  $\mathfrak{g}$  locally finite under  $Z$
- ▶  $\mathcal{P}$  the category of all functors  $\mathcal{M} \rightarrow \mathcal{M}$  isomorphic to a direct summand of some functor  $E \otimes_{\mathbb{C}}$  for  $E$  finite dimensional representation, so-called **projective functors**
- ▶ Equivalence of categories between {indecomposable projective functors starting and ending with the trivial central character} and  $\{\hat{B}_x \mid x \in W\} \subset \hat{R}\text{-Mod-}\hat{R}$

## Application to representation theory, variant

- ▶  $\mathcal{O}_\circ \subset \mathfrak{g}\text{-Mod}$  principal block of BGG-category  $\mathcal{O}$
- ▶ Equivalence of categories between {indecomposable projectives of  $\mathcal{O}_\circ$ } and  $\{B_x \otimes_R \mathbb{C} \mid x \in W\} \subset R\text{-Mod}$
- ▶ Gives new proof of KL-conjecture on Jordan-Hölder multiplicities of Verma modules



$$\text{Der}^b(\mathcal{O}_\circ) \cong \text{Hot}^b(\text{proj } \mathcal{O}_\circ) \cong \text{Hot}^b(R\text{-SMod})$$

for  $R\text{-SMod} \subset R\text{-Mod}$  the subcategory of all  $B \otimes_R \mathbb{C}$   
for  $B \in R\text{-SMod}$

- ▶ Can define graded version  $\mathcal{O}_\circ^{\mathbb{Z}}$  of  $\mathcal{O}_\circ$  formally such that  $\text{proj } \mathcal{O}_\circ^{\mathbb{Z}} = R\text{-SMod}_{\mathbb{Z}}$

## Categorification of $\mathbb{N}$

- ▶  $k$  a field
- ▶  $\dim : \text{Modf}_k \rightarrow \mathbb{N}$  “decategorification”
- ▶ Multiplication corresponds to tensor product

$$\dim(V \otimes W) = (\dim V)(\dim W)$$

Categorification of  $\text{Ens}(X, \mathbb{N}) = \text{Maps}(X, \mathbb{N})$  for  $X$  a set

- ▶  $k$  a field and  $\text{Mod}_k / X \supset \text{Modf}_k / X$  sheaves on the discrete set  $X$  alias families  $(\mathcal{F}_x)_{x \in X}$  of vector spaces respectively finitely generated vector spaces
- ▶  $\text{Dim} : \text{Modf}_k / X \rightarrow \text{Ens}(X, \mathbb{N})$  “decategorification”
- ▶ Multiplication corresponds to tensor product

$$\text{Dim}(\mathcal{F} \otimes \mathcal{G}) = (\text{Dim } \mathcal{F})(\text{Dim } \mathcal{G})$$

## Categorification of maps

- ▶  $f : X \rightarrow Y$  map of finite sets leads to morphisms

$$\text{Ens}(X, \mathbb{N}) \begin{array}{c} \xrightarrow{f_!} \\ \xleftarrow{f^*} \end{array} \text{Ens}(Y, \mathbb{N})$$

called pull-back and integration along the fibres

- ▶  $|X|1 = c_!c^*1$  for  $c : X \rightarrow \text{pt}$  constant map

- ▶  $f : X \rightarrow Y$  map of finite sets leads to functors

$$\text{Modf}_k / X \begin{array}{c} \xrightarrow{f_!} \\ \xleftarrow{f^*} \end{array} \text{Modf}_k / Y$$

called pull-back and integration along the fibres

- ▶  $(f^*\mathcal{G})_x := \mathcal{G}_{f(x)}$  and  $(f_!\mathcal{F})_y := \bigoplus_{x \in f^{-1}(y)} \mathcal{F}_x$
- ▶ Commutative diagrams

$$\begin{array}{ccc} \text{Modf}_k / X & \begin{array}{c} \xrightarrow{f_!} \\ \xleftarrow{f^*} \end{array} & \text{Modf}_k / Y \\ \text{Dim} \downarrow & & \downarrow \text{Dim} \\ \text{Ens}(X, \mathbb{N}) & \begin{array}{c} \xrightarrow{f_!} \\ \xleftarrow{f^*} \end{array} & \text{Ens}(Y, \mathbb{N}) \end{array}$$

## Grothendieck function-sheaf correspondence

- ▶ To  $X_0$  variety over  $\mathbb{F}_q$  and  $\ell$  prime  $\neq \text{char } \mathbb{F}_q$  associate  $\text{Der}^c(X_0; \mathbb{Q}_\ell)$  triangulated  $\mathbb{Q}_\ell$ -category
- ▶ Called „cohomologically constructible complexes of étale sheaves on  $X_0$ “
- ▶ Define map

$$\text{Tr} : \text{Der}^c(X_0; \mathbb{Q}_\ell) \rightarrow \text{Ens}(X_0(\mathbb{F}_q), \mathbb{Q}_\ell)$$

- ▶  $\text{Tr}(\mathcal{F}_0) : x \mapsto \sum_i (-1)^i \text{Tr}(F_g^* | \mathcal{H}^i \mathcal{F}_x)$  with  $\mathcal{F} := \mathcal{F}_0 \times_{\mathbb{F}_q} \mathbb{F}$  sheaf on  $X := X_0 \times_{\mathbb{F}_q} \mathbb{F}$  and  $F_g$  Frobenius

## Grothendieck function-sheaf correspondence

- ▶ To  $f : X_{\circ} \rightarrow Y_{\circ}$  morphism of varieties over  $\mathbb{F}_q$  associate triangulated functors  $f_!, f^*$  fitting into a commutative diagram

$$\begin{array}{ccc}
 \mathrm{Der}^c(X_{\circ}; \mathbb{Q}_l) & \begin{array}{c} \xrightarrow{f_!} \\ \xleftarrow{f^*} \end{array} & \mathrm{Der}^c(Y_{\circ}; \mathbb{Q}_l) \\
 \mathrm{Tr} \downarrow & & \downarrow \mathrm{Tr} \\
 \mathrm{Ens}(X_{\circ}(\mathbb{F}_q), \mathbb{Q}_l) & \begin{array}{c} \xrightarrow{f_!} \\ \xleftarrow{f^*} \end{array} & \mathrm{Ens}(Y_{\circ}(\mathbb{F}_q), \mathbb{Q}_l)
 \end{array}$$

- ▶ For  $c : X_{\circ} \rightarrow \mathrm{pt}_{\circ}$  this specializes to
 
$$\begin{aligned}
 |X_{\circ}(\mathbb{F}_q)| \mathbf{1} &= c_! c^* \mathbf{1} = c_! c^* \mathrm{Tr}(\mathbb{Q}_l) = \mathrm{Tr}(c_! c^* \mathbb{Q}_l) = \\
 &= \sum_i (-1)^i \mathrm{tr}(F_g | H_c^i(X; \mathbb{Q}_l)) \text{ Grothendieck-Lefschetz}
 \end{aligned}$$

- ▶ Let  $G$  be a finite group. The multiplication in the group ring could for  $f, g \in \text{Ens}(G, \mathbb{Z})$  be written as

$$f * g = \text{mult}_!((\text{pr}_1^* f)(\text{pr}_2^* g))$$

with  $\text{pr}_1, \text{pr}_2, \text{mult} : G \times G \rightarrow G$  the projections and the multiplication.

- ▶ A natural candidate for the categorification of the group ring in case  $G = G_o(\mathbb{F}_q)$  is thus  $\text{Der}^c(G_o; \mathbb{Q}_l)$  with the convolution functor

$$\mathcal{F} * \mathcal{G} := \text{mult}_!((\text{pr}_1^* \mathcal{F}) \otimes (\text{pr}_2^* \mathcal{G}))$$

- ▶ Recall  $G = \mathrm{GL}(n; \mathbb{F}_q)$  and  $B$  upper triangular matrices and

$$\mathcal{H}_q = ({}^B\mathrm{Ens}(G, \mathbb{Z})^B, * / |B|)$$

functions on the group,  $B$ -invariant from both sides

- ▶ So a natural categorification ought to be some both sides equivariant derived category of étale sheaves

$$\mathrm{Der}_{B_\circ \times B_\circ}^c(G_\circ; \mathbb{Q}_l)$$

- ▶ Let's be a bit less perfect and try for the usual topology version of the equivariant derived category

$$\mathrm{Der}_{B \times B}^c(G; \mathbb{Q})$$

with  $G = \mathrm{GL}(n; \mathbb{C})$  and metric topology

## First discuss equivariant cohomology

- ▶  $G \curvearrowright X$  topological group acting on topological space
- ▶  $H^*(X/G)$  not a good concept
- ▶ For  $f : X \rightarrow Y$  is a morphism of  $G$ -spaces, which is a fibration with contractible fibers, need not have  $H^*(Y/G) \xrightarrow{\sim} H^*(X/G)$
- ▶ Example:  $\mathbb{R} \rightarrow \text{pt}$  with  $\mathbb{Z}$ -action
- ▶ Better concept  $H_G^*(X) := H^*(EG \times_G X)$  equivariant cohomology
- ▶  $EG$  contractible with topologically free  $G$ -action, the universal bundle over the classifying space

## Examples for equivariant cohomology

- ▶  $H_G^*(X) := H^*(EG \times_G X)$
- ▶  $G \curvearrowright X$  topological group acting freely on topological space, then  $H_G^*(X) = H^*(X/G)$
- ▶  $H_G^*(\text{pt}) = H^*(EG \times_G \text{pt}) = H^*(EG/G) = H^*(BG)$  the ring of characteristic classes
- ▶  $H_{\mathbb{C}^\times}^*(\text{pt}) = H^*(\mathbb{P}^\infty \mathbb{C}) = \mathbb{Z}[t]$  with  $\deg t = 2$
- ▶  $H_B^*(\text{pt}) = \mathbb{Z}[t_1, \dots, t_n]$  with  $\deg t_i = 2$  for  $B \subset GL(n; \mathbb{C})$  upper triangular matrices
- ▶ For  $P \rightarrow X$  a principal  $G$ -bundle, pullback  $H_G^*(\text{pt}) \rightarrow H_G^*(P) = H^*(P/G) = H^*(X)$  gives its characteristic classes

## Derived category for $X$ a topological space

- ▶  $\text{Der}(X) = \text{Der}(\text{Ab} / X)$  derived category of abelian sheaves on  $X$
- ▶  $f : X \rightarrow Y$  continuous map of locally compact Hausdorff spaces gives triangulated functors  $f_! : \text{Der}(X) \rightarrow \text{Der}(Y)$  and  $f^* : \text{Der}(Y) \rightarrow \text{Der}(X)$
- ▶ For  $c : X \rightarrow \text{pt}$  constant map, get  $c_! c^* \mathbb{Z} = H_c^*(X)$
- ▶  $c^* \mathbb{Z} =: \underline{X}$  the constant sheaf on  $X$
- ▶  $\text{Der}_X(\underline{X}, \underline{X}[*]) = H^*(X)$  the cohomology ring of  $X$
- ▶  $\text{Der}_X(\underline{X}, \mathcal{F}[*]) = H^*(X; \mathcal{F}) = \mathbb{H}\mathcal{F}$  (hyper)cohomology of the sheaf(complex)  $\mathcal{F}$
- ▶  $\mathbb{H}\mathcal{F}$  is a  $H^*(X)$ -module

## Equivariant derived category

- ▶  $G \curvearrowright X$  topological space with  $G$ -action
- ▶  $\text{Der}_G(X) = \{ \mathcal{F} \in \text{Der}(EG \times_G X) \mid \exists \mathcal{G} \in \text{Der}(X) \text{ such that } p^* \mathcal{F} \cong q^* \mathcal{G} \}$

$$\text{with } EG \times_G X \xleftarrow{p} EG \times X \xrightarrow{q} X$$

- ▶ For  $\mathcal{F} \in \text{Der}_G(X)$  get  $\mathbb{H}_G \mathcal{F} \in H_G^*(X)\text{-Mod}$
- ▶  $f^*$  and  $f_!$  for equivariant maps of locally compact Hausdorff spaces
- ▶  $\text{Der}_G(X) = \text{Der}(X/G)$  in the case of a topologically free action
- ▶  $\text{Der}_G(\text{pt}) \subset \text{dgDer}-(H_G^*(\text{pt}), d=0)$  for  $G$  a complex connected algebraic group
- ▶  $\text{Der}_B(\text{pt}) \subset \text{dgDer}-\mathbb{Z}[t_1, \dots, t_n]$

The natural categorification of the Hecke algebra

- ▶ Again  $G = \mathrm{GL}(n; \mathbb{C})$  with  $B$  the upper triangular matrices
- ▶ The natural categorification of the Hecke algebra  $\mathcal{H} = ({}^B(\mathbb{Z}G)^B, *_B)$  is the constructible equivariant derived category with convolution

$$(\mathrm{Der}_{B \times B}^c(G), *_B)$$

- ▶ The convolution is

$$\mathcal{F} *_B \mathcal{G} := \mathrm{mult}_! \mathrm{desc}((\mathrm{pr}_1^* \mathcal{F}) \otimes (\mathrm{pr}_2^* \mathcal{G}))$$

$$\mathrm{pr}_j : G \times G \rightarrow G$$

$$\mathrm{desc} : \mathrm{Der}_{B \times B \times B \times B}^c(G \times G) \rightarrow \mathrm{Der}_{B \times B}^c(G \times_B G)$$

$$\mathrm{mult} : G \times_B G \rightarrow G$$

## Now need intersection cohomology

- ▶ For  $X \subset \mathbb{P}^n \mathbb{C}$  a smooth irreducible complex projective algebraic variety the cohomology  $H^*(X)$  has remarkable properties:
  - ▶ Poincaré duality
  - ▶ Hard Lefschetz
  - ▶ Hodge Diamond
  - ▶ Positivities
- ▶ For  $X \subset \mathbb{P}^n \mathbb{C}$  an non-smooth irreducible complex projective algebraic variety **intersection cohomology**  $IH^*(X)$  continues to have these properties
- ▶ For  $X$  smooth,  $IH^*(X) = H^*(X)$
- ▶ In general  $IH^*(X)$  is an  $H^*(X)$ -module

## Intersection cohomology complex

- ▶ For  $X$  irreducible complex algebraic variety can still define intersection cohomology  $IH^*(X)$
- ▶ Formally  $IH^*(X) = \mathbb{H}IC_X$  for  $IC_X \in \text{Der}(X)$  the **intersection cohomology complex**
- ▶ Aside: For  $\mathcal{D}$ -modules have the Riemann-Hilbert correspondence, a fully faithful triangulated functor

$$\text{RH} : \text{Der}_{\text{hol,reg}}^b(\mathcal{D}_X\text{-Mod}^{\text{qc}}) \hookrightarrow \text{Der}(X)$$

- ▶ The unique simple  $\mathcal{D}_X$ -module restricting to  $\mathcal{O}_U$  on any open smooth subset  $U \subseteq X$  gets mapped by RH to  $IC_X$

- ▶ Back to  $G = \mathrm{GL}(n; \mathbb{C}) \supset B$  with  $G = \bigsqcup_{x \in W} BxB$  for  $W = \mathcal{S}_n$  the permutation matrices
- ▶ Consider  $\mathcal{IC}_x =: i_{x!} \mathcal{IC}_{\overline{BxB}}$  for  $i_x : \overline{BxB} \hookrightarrow G$   
intersection cohomology complex of Schubert variety
- ▶ All finite direct sums of shifts of  $\mathcal{IC}_x$  form an additive subcategory  $\mathrm{Der}_{B \times B}^{\mathrm{ss}}(G) \subset \mathrm{Der}_{B \times B}(G)$  of “perversely semisimple complexes”
- ▶ This subcategory is even stable under convolution, due to the so-called decomposition theorem
- ▶ **Theorem:** The functor of hypercohomology gives an equivalence of monoidal categories

$$\begin{array}{ccc} \mathbb{H}_{B \times B} : (\mathrm{Der}_{B \times B}^{\mathrm{ss}}(G), *_B) & \xrightarrow{\sim} & (R\text{-SMod}_{\mathbb{Z}}\text{-}R, \otimes_R) \\ \mathcal{IC}_x & \mapsto & B_x[\dim B] \end{array}$$

Here  $\mathbb{H}_{B \times B} : (\text{Der}_{B \times B}^{\text{ss}}(G), *_B) \xrightarrow{\approx} (R\text{-SMod}_{\mathbb{Z}}\text{-}R, \otimes_R)$  is defined using the identifications

$$\begin{array}{ccc}
 H_{B \times B}^*(\text{pt}) & \rightarrow & H_{B \times B}^*(G) \\
 \wr \downarrow & & \downarrow \wr \\
 \mathcal{O}(V \times V) & \rightarrow & \mathcal{O}(\bigcup_{x \in W} \Gamma(x)) \\
 \wr \downarrow & & \downarrow \wr \\
 R \otimes R & \rightarrow & R \otimes_{R^W} R
 \end{array}$$

for  $V = \text{Lie } T$  and  $T \subset B$  a maximal torus and degrees on  $\mathcal{O}$  doubled to match cohomological degrees.

# COMMERCIAL FOR TWO THEOREMS 6

- ▶ in [S, *Universelle. . .*, Math. Ann. **284** (1989)] tdo-case
- ▶ in [S, *The prime. . .*, Math. Z. **204** (1990)] general

$G$  be a connected complex affine algebraic group,  
 $B$  a closed subgroup,  $X = G/B$  the homogeneous space,  
 $n = \dim X$  its dimension,  $x \in G/B$  the natural base point,  
 $V, W$  finite dimensional rational representations of  $B$ ,  
 $\mathcal{V}, \mathcal{W}$  the sheaves of sections of the associated bundles.

Then the action leads to an  $G$ -equivariant isomorphism

$$\Gamma(X; \text{Dif}(\mathcal{V}, \mathcal{W})) \xrightarrow{\sim} \text{Hom}_{\mathbb{C}}(H_x^n(X; \mathcal{V}), H_x^n(X; \mathcal{W}))_B^{G\text{-alg}}$$

- ▶ Have  $U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} (V \otimes_{\mathbb{C}} \wedge^{\max}(\mathfrak{g}/\mathfrak{b})) \xrightarrow{\sim} H_x^n(X; \mathcal{V})$
- ▶ Can replace  $G$ -alg by  $\mathfrak{g}$ -finite if  $G$  is simply connected
- ▶  $B$ -compatibility is automatic for  $B$  connected

Summing up:

$$\begin{array}{ccc}
 \langle \text{Der}_{B \times B}^{\text{ss}}(G), *_{B} \rangle & \xrightarrow{\sim} & \langle R\text{-SMod}_{\mathbb{Z}}\text{-}R, \otimes_R \rangle & \xleftarrow{\sim} & \mathcal{H}_v \\
 \mathcal{IC}_x[-\dim B] & \mapsto & B_x & \leftrightarrow & C_x \\
 \text{intersection} & & \text{special} & & \text{canonical} \\
 \text{cohomology} & & \text{bimodule} & & \text{basis}
 \end{array}$$

Original motivation: Sheaf-function-correspondence

$$\begin{array}{ccc}
 (\text{Der}_{B_0 \times B_0}(G_0; \mathbb{Q}_\ell), *_{B_0}) & \rightarrow & \mathcal{H}_q \\
 \mathcal{IC}_x & \mapsto & v^? C_x
 \end{array}$$

This was the starting point of Kazhdan-Lusztig

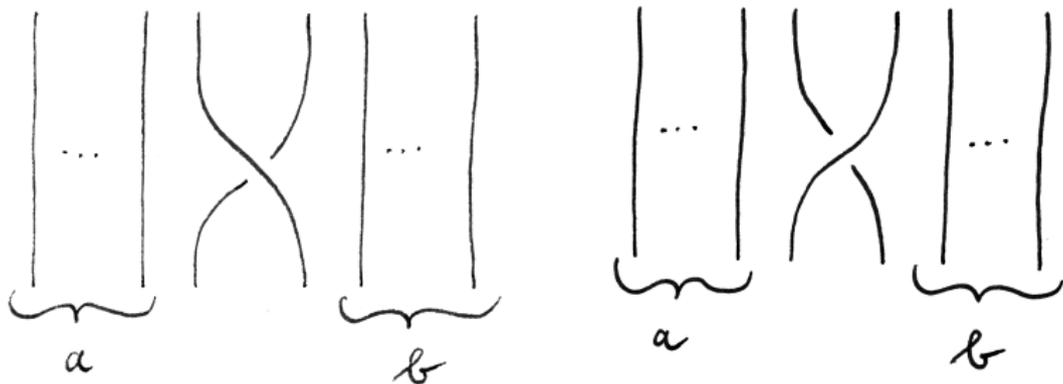
## More categorification of the Hecke algebra

- ▶ Given  $X$  a complex algebraic variety can define variant  $\text{MDer}(X)$  of  $\text{Der}(X)$  with functors  $f^*, f_!$  as before such that  $\text{MDer}(\text{pt}) = \text{Der}(\mathbb{C}\text{-Modf}_{\mathbb{Z}})$
- ▶ Joint with Matthias Wendt, Rahbar Virk, work in progress
- ▶ Based on new progress in motives by Ayoub, Cisinski-Deglise, Drew, . . .
- ▶ Variant of Hodge theory

Our old equivalence can be upgraded further to

$$\begin{array}{ccc}
 (\mathrm{Der}_{B \times B}^{\mathrm{ss}}(\mathbf{G}), *B) & \xrightarrow{\cong} & (R\text{-SMod}_{\mathbb{Z}}\text{-}R, \otimes_R) \\
 \wr \downarrow & & \downarrow \\
 (\mathrm{MDer}_{B \times B}(\mathbf{G})_{w=0}, *B) & & \downarrow \\
 \downarrow & & \downarrow \\
 (\mathrm{MDer}_{B \times B}(\mathbf{G}), *B) & \xrightarrow{\cong} & (\mathrm{Hot}^b(R\text{-SMod}_{\mathbb{Z}}\text{-}R), \otimes_R)
 \end{array}$$

## Back to knot invariants (variation on Webster-Williamson)



$$i_{s!} \underline{BsB} := T_s^!$$

$$i_{s*} \underline{BsB} := T_s^*$$

- ▶ Take  $s = (a + 1, a + 2) \in \mathcal{S}_n = W$  the transposition
- ▶  $T_s^!, T_s^* \in \text{MDer}_{B \times B}(G)$
- ▶ Recall  $\underline{BsB} \mapsto s$  under  $\mathcal{H} \mapsto \mathbb{Z}W$  given by  $q \mapsto 1$

- ▶ Given a braid  $Z$ , scan it from the top and convolve corresponding  $T_s^!$ ,  $T_s^*$  with  $*$  :=  $*_B$  to get an object  $M(Z) \in \text{MDer}_{B \times B}(G)$
- ▶ For  $M(Z)$  to be well-defined, use braid relations  $T_s^! * T_t^! * T_s^! \cong T_t^! * T_s^! * T_t^!$  for  $sts = tst$  and similarly for  $st = ts$  and ! replaced by \*
- ▶ These are geometrically clear, since  $BsB \times_B BtB \times_B BsB \xrightarrow{\sim} BstsB$  by multiplication, so  $T_s^! * T_t^! * T_s^! \cong i_{sts!} \underline{BstsB} = i_{tst!} \underline{BtstB} \cong T_t^! * T_s^! * T_t^!$  etc
- ▶ Also need  $T_s^! * T_s^* \cong T_s^* * T_s^! \cong i_{e!} \underline{B} = i_{e*} \underline{B}$  unit object  
Calculation, but not so hard: only on  $\mathbb{P}^1\mathbb{C}$

## Calculation in bimodules

- ▶  $\text{MDer}_{B \times B}(G) \xrightarrow{\sim} \text{Hot}^b(R\text{-SMod}_{\mathbb{Z}}\text{-}R)$
- ▶  $T_s^!$  maps to  $\dots \rightarrow 0 \rightarrow R \otimes_{R^s} R \twoheadrightarrow R \rightarrow 0 \rightarrow \dots$   
multiplication map
- ▶  $T_s^*$  maps to  $\dots \rightarrow 0 \rightarrow R \hookrightarrow R \otimes_{R^s} R \rightarrow 0 \rightarrow \dots$ 
  - ▶ Geometrically, need  $\mathcal{O}(\Gamma(e)) \hookrightarrow \mathcal{O}(\Gamma(e) \cup \Gamma(s))$
  - ▶ Given by choosing linear function on  $V \times V$ , whose zero set intersects  $\Gamma(e) \cup \Gamma(s)$  precisely in  $\Gamma(s)$
  - ▶ Multiply a function on  $\Gamma(e)$  with this linear function and extend by zero to  $\Gamma(e) \cup \Gamma(s)$
- ▶  $M(Z)$  corresponds to  $B(Z) \in \text{Hot}^b(R\text{-SMod}_{\mathbb{Z}}\text{-}R)$  the tensor product of these elementary complexes

To get an invariant of the knot  $K(Z)$  obtained closing the braid  $Z$  procede as follows:

- ▶ Take at each stage of the bimodule complex  $\dots \rightarrow B(Z)^q \rightarrow B(Z)^{q+1} \rightarrow \dots$  of bimodules the Hochschild homology
- ▶ Get for each  $j$  a complex of (graded) vector spaces  $\dots \rightarrow \mathrm{HH}_j(B(Z)^q) \rightarrow \mathrm{HH}_j(B(Z)^{q+1}) \rightarrow \dots$
- ▶ Take its cohomology groups  $\mathcal{H}^q(\mathrm{HH}_j(B(Z)^*))$
- ▶ This is **Khovanov's triply graded knot homology**:
  - ▶ Chosen and fixed degree  $j$  of Hochschild homology
  - ▶ Degree  $q$  of cohomology of the resulting complex
  - ▶ Internal degree, the bimodules being graded
- ▶ It categorifies the HOMFLYPT polynomial, which can be gotten as some Euler characteristic

I am still lacking full geometric understanding of why this has to give a knot invariant. Webster-Williamson seem to understand it better. And the construction of MDer is very recent.

## Recall relation to representation theory

- ▶  $\mathfrak{g}$  a semisimple complex Lie algebra,  $Z \subset U(\mathfrak{g})$  the center of its enveloping algebra
- ▶  $\mathcal{M} \subset \mathfrak{g}\text{-Mod}$  the category of all representations of  $\mathfrak{g}$  locally finite under  $Z$
- ▶  $\mathcal{P}$  the category of all functors  $\mathcal{M} \rightarrow \mathcal{M}$  with split embedding in some functor  $E \otimes_{\mathbb{C}}$  for  $\dim_{\mathbb{C}} E < \infty$
- ▶  $\mathcal{M} = \prod_{\chi \in \text{Max } Z} \mathcal{M}_{\chi}$  and  $\mathcal{P} = \prod_{\chi, \psi \in \text{Max } Z} \psi \mathcal{P}_{\chi}$
- ▶ Equivalence of monoidal categories for  $\chi = \text{Ann}_Z \mathbb{C}$

$$\hat{V} : {}_{\chi} \mathcal{P}_{\chi} \xrightarrow{\cong} \hat{S}\text{-SMod-}\hat{S}$$

- ▶  $\mathfrak{g} \supset \mathfrak{b} \supset \mathfrak{h}$  as usual,  $S := U(\mathfrak{h})$  polynomial ring

## Construction of $\hat{\mathbb{V}} : {}_x\mathcal{P}_x \xrightarrow{\approx} \hat{\mathcal{S}}\text{-SMod-}\hat{\mathcal{S}}$

- ▶ Abbreviate  $U := U(\mathfrak{g})$ , recall  $\chi = Z^+ = \text{Ann}_Z \mathbb{C}$
- ▶  $U/U\chi^n$  form an inverse system in  $\mathcal{M}_x$
- ▶ They also are of finite length as a  $U$ -bimodules
- ▶ For  $P \in {}_x\mathcal{P}_x$  still  $P(U/U\chi^n)$  naturally is a bimodule
- ▶ The  $P(U/U\chi^n)$  are **Harish-Chandra bimodules**:  
By definition, these are the bimodules of finite length, which are in addition locally finite for the adjoint action of  $\mathfrak{g}$ .
- ▶ Call HCH the category of Harish-Chandra bimodules
- ▶  ${}_x\text{HCH}_x$  has a unique simple object  $L$  of maximal Gelfand-Kirillov dimension
- ▶ There is an exact functor  $\mathbb{V} : {}_x\text{HCH}_x \rightarrow \mathbb{C}\text{-Modf}$  with  $L \mapsto \mathbb{C}$  and killing the other simples. It is essentially unique.

## Construction of $\hat{\mathbb{V}} : {}_X \mathcal{P}_X \xrightarrow{\sim} \hat{S}\text{-SMod-}\hat{S}$ , continued

- ▶ By functoriality, our exact functor  $\mathbb{V}$  is even a functor  $\mathbb{V} : {}_X \text{HCH}_X \rightarrow Z\text{-Modf-}Z$
- ▶ Looking closer, our exact functor  $\mathbb{V}$  is even a functor  $\mathbb{V} : {}_X \text{HCH}_X \rightarrow \hat{Z}\text{-Modf-}\hat{Z}$  for  $\hat{Z} = Z_X^\wedge$
- ▶ Set

$$\hat{\mathbb{V}}P := \varprojlim_n \mathbb{V}(P(U/U_X^n))$$

- ▶ Use natural isomorphism  $\hat{Z} \xrightarrow{\sim} \hat{S}$  induced by unnormalized Harish-Chandra isomorphism  $Z \xrightarrow{\sim} S^{(W \cdot)} \subset S$  with  $S = \mathcal{O}(\mathfrak{h}^*)$  and  $W$ -action shifted to fix  $-\rho$  determined by  $\mathbb{C}_{-2\rho} \cong \bigwedge^{\max}(\mathfrak{g}/\mathfrak{b})$  over  $\mathfrak{h} \dots$

- ▶ Consider  ${}_x\text{HCH}_x^n := \{M \in {}_x\text{HCH}_x \mid M\chi^n = 0\}$
- ▶ Has enough projectives: The  $P(U/U\chi^n)$  for  $P \in {}_x\mathcal{P}_x$
- ▶ Get by the above also equivalence  

$$\mathbb{V} : \text{proj}({}_x\text{HCH}_x^n) \xrightarrow{\sim} S\text{-SMod-} S/(S^+)^n$$
- ▶ In the case  $n = 1$  have  ${}_x\text{HCH}_x^1 \xrightarrow{\sim} \mathcal{O}_\circ$  equivalence with principal block of BGG-category by tensoring with dominant Verma  $\otimes_U \Delta(0)$
- ▶ Proof of KL-conjectures using bimodules:

$$\begin{array}{ccccccc}
 P_x & \mapsto & B_x \otimes_S \mathbb{C} & \leftarrow & B_x & & \\
 Q \in \text{proj}(\mathcal{O}_\circ) & \xrightarrow{\sim} & S\text{-SMod} & \leftarrow & S\text{-SMod}_{\mathbb{Z}}\text{-}S & \ni & B_x \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 \sum_y (Q : \Delta_y)y \in \mathbb{Z}[W] & \xleftarrow{y=1} & \mathcal{H}_v & \xleftarrow{} & \mathcal{H}_v & \ni & C_x
 \end{array}$$

$\Rightarrow \sum_y (P_x : \Delta_y)y = C_x(1) \Rightarrow [\Delta_y : L_x] = (P_x : \Delta_y) = P_{yx}(1)$

## Graded versions and Koszul duality

- ▶ Construct  $\mathbb{Z}$ -graded version  $\mathcal{O}_\circ^{\mathbb{Z}}$  of  $\mathcal{O}_\circ$  by declaring  $\text{proj}(\mathcal{O}_\circ^{\mathbb{Z}}) = \mathcal{S}\text{-SMod}_{\mathbb{Z}}$
- ▶ Then  $\sum_i [\Delta_y^{\mathbb{Z}} : L_x^{\mathbb{Z}}\langle i \rangle] v^i = \sum_i (P_x^{\mathbb{Z}} : \Delta_y^{\mathbb{Z}}\langle i \rangle) v^i = P_{yx}(v)$
- ▶ Characterization in joint recent work with Rottmaier:  $\mathcal{O}_\circ^{\mathbb{Z}}$  is “the essentially unique  $\mathbb{Z}$ -graded version of the artinian category  $\mathcal{O}_\circ$  compatible with the action of the center”
- ▶ Deduce  $\text{Hot}^b(\text{proj}(\mathcal{O}_\circ^{\mathbb{Z}})) = \text{Hot}^b(\mathcal{S}\text{-SMod}_{\mathbb{Z}})$
- ▶ Thus get Koszul duality  $K$  triangulated functor

$$\begin{array}{ccccc}
 \text{Der}^b(\mathcal{O}_\circ^{\mathbb{Z}}) & \xrightarrow{\sim} & \text{Hot}^b(\mathcal{S}\text{-SMod}_{\mathbb{Z}}) & \xrightarrow{\sim} & \text{MDer}_{N \times B}(G) \\
 K \downarrow & & & & \downarrow \wr \\
 \text{Der}^b(\mathcal{O}_\circ^{\mathbb{Z}}) & \xleftarrow{\sim} & & \xleftarrow{\sim} & \text{MDer}_N(G/B) \\
 \downarrow & & & & \downarrow \\
 \text{Der}^b(\mathcal{O}_\circ) & \xleftarrow{\sim} & \text{Der}_N^b(\mathcal{D}_{G/B}\text{-Mod}^{\text{qc}}) & \xleftarrow{\sim} & \text{Der}_N(G/B)
 \end{array}$$

Koszul duality  $K$  preceded by  $\mathcal{O}$ -duality  $d$ , properties:

- ▶  $Kd : \text{Der}^b(\mathcal{O}_{\circ}^{\mathbb{Z}}) \rightarrow \text{Der}^b(\mathcal{O}_{\circ}^{\mathbb{Z}})$  triangulated contravariant
- ▶  $\Delta_x^{\mathbb{Z}} \mapsto \Delta_{w_{\circ}x}^{\mathbb{Z}}$
- ▶  $L_x^{\mathbb{Z}} \mapsto P_{w_{\circ}x}^{\mathbb{Z}}$
- ▶  $P_x^{\mathbb{Z}} \mapsto L_{w_{\circ}x}^{\mathbb{Z}}$
- ▶  $Kd(M[n]) \cong (KdM)[-n]$
- ▶  $Kd(M\langle n \rangle) \cong (KdM)[n]\langle n \rangle$
- ▶ Funny formulas  $\sum_i \dim \text{Ext}_{\mathcal{O}}^i(\Delta_x, L_y) = [\Delta_{w_{\circ}x} : L_{w_{\circ}y}]$
- ▶  $Kd$  gives  $\text{Der}(\Delta_x^{\mathbb{Z}}, L_y^{\mathbb{Z}}[i]\langle j \rangle) = \text{Der}(P_{w_{\circ}y}^{\mathbb{Z}}[-i+j]\langle j \rangle, \Delta_{w_{\circ}x}^{\mathbb{Z}})$
- ▶ This explains these funny formulas

## Other things on Koszul duality

- ▶ Variant exchanging parabolic and singular category  $\mathcal{O}$
- ▶ Variant from parabolic-singular to singular-parabolic
- ▶ BGG-resolution of simple Verma corresponds to Verma flag of antidominant projective
- ▶ More natural from Langlands philosophy point of view

## Variant for Harish-Chandra modules

- ▶ Consider  $\overline{\text{HCH}}$  the category of  $U$ -bimodules  $M$  such that every vector is killed by some  $\chi^n$  from right and left and  $\{v \in M \mid \chi v = 0\}$  is of finite length
- ▶ Has enough injectives and finite homological dimension
- ▶ Using  $\mathbb{V}$  and some duality get contravariant equivalence  $\text{inj } \overline{\text{HCH}} \xrightarrow{\sim} S\text{-SMod-}S$
- ▶ Define  $\mathbb{Z}$ -**graded version**  $\overline{\text{HCH}}_{\mathbb{Z}}$  of  $\overline{\text{HCH}}$  by declaring  $\text{inj } \overline{\text{HCH}}_{\mathbb{Z}} := (S\text{-SMod}_{\mathbb{Z}}\text{-}S)^{\text{opp}}$
- ▶ Deduce  $\text{Hot}^b(\text{inj } \overline{\text{HCH}}_{\mathbb{Z}}) \xrightarrow{\sim} \text{Hot}^b(S\text{-SMod}_{\mathbb{Z}}\text{-}S)^{\text{opp}}$
- ▶ Get  $\text{Der}^b(\overline{\text{HCH}}_{\mathbb{Z}}) \xrightarrow{\sim} \text{MDer}_{B^{\vee} \times B^{\vee}}(G^{\vee})^{\text{opp}}$  Koszul duality
- ▶ Need dual group  $G^{\vee}$  since  $S = \mathcal{O}(\mathfrak{h}^*)$  but  $R = \mathcal{O}(\mathfrak{h})$