

# Compactification, boundary calculus, and applications: Hypersurface and boundary calculus

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background:

**G-**, Waldon, arXiv:1506.02723, and Boundary calculus for conformally compact manifolds. *Indiana U.M.J.* **63** (2014).

Curry, **G-**. . . . Conformal Geometry . . . . GR . . . ., LMS Series, Cambridge, arXiv:1412.7559

Čap, **G-**. Hammerl: Holonomy reductions etc, *Duke Math. J.* **163** (2014) 1035–1070.

**G-**. *J. Geom. Phys.*, **60** (2010), 182–204.

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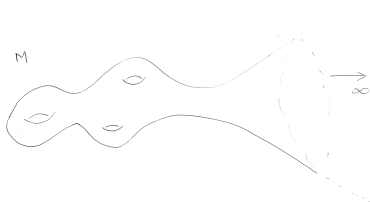
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# Part I: Compactification

Some motivation (partly naïve) via a general question:

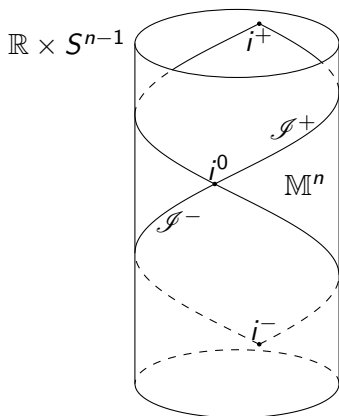
**Taming big spaces:** Suppose we have an infinite space (i.e. non-compact manifold, geodesically complete):



How do we deal with the “far region”? Can we make a notion of “infinity” that is mathematically useful? If so what geometry does it have? Are there many ways to do such things, or is any success essentially unique?

A **Compactification** of a non-compact (“large”) topological space  $M$  is an embedding of  $M$  as a dense subset of a compact (“small”) space  $\tilde{M}$ : So  $M \hookrightarrow \tilde{M}$  injective cts and a homeo. onto its image.

# Example: A compactification of Minkowski space



**Figure:** The standard embedding of  $n$ -dimensional Minkowski space  $M^n$  into the Einstein cylinder. This is **conformal**:  $g_{\text{Mink}} = \Omega^2 g_{\text{Lorentzian cyl}}$

**Questions:** Is this essentially the only way to conformally compactify  $M$ ? Is it forced that  $i^\pm$  and  $i^0$  are points? That  $\mathcal{I}$  is an open subset of  $\partial M$ ?

## Definition

A smooth (time- and space-orientable) spacetime  $(M_+, g_+)$  is called **asymptotically simple** if there exists another smooth Lorentzian manifold  $(M, g)$  such that

- 1  $M_+$  is an open submanifold of  $M$  with smooth boundary  $\partial M_+ = \mathcal{I}$ ;
- 2 there exists a smooth scalar field  $\Omega$  on  $M$ , such that  $g = \Omega^2 g_+$  on  $M_+$ , and so that  $\Omega = 0$ ,  $d\Omega \neq 0$  on  $\mathcal{I}$ ;
- 3 every null geodesic in  $\overline{M}$  acquires a future and a past endpoint on  $\mathcal{I}$ .

An asymptotically simple spacetime is called *asymptotically flat* if in addition  $\text{Ric}^{g_+} = 0$  in a neighbourhood of  $\mathcal{I}$ .

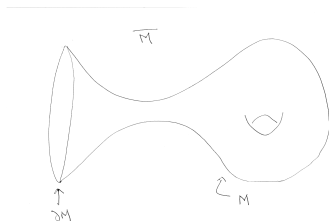
**Questions:** How would we re-discover the Einstein cylinder compactification or this useful definition? Treat other geometries similarly?

# Compactification, boundary calculus, and applications

**Compactification:**  $M \hookrightarrow \overline{M}$  smooth injective,  $M$  open dense. (In general  $M$  may be a manifold with boundary, a manifold with corners,) . . .

**Question:** What is a right way to do this when geometry is involved?

In many simple cases the result is a manifold with boundary  $\overline{M}$  so that  $M$  is the interior and  $\partial M$  has codimension 1.



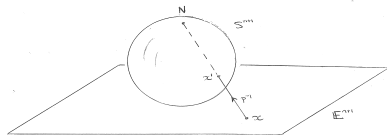
**Questions:** How do we find the geometry on  $\partial M$ ?

**Boundary calculus:** Relate the geometries/fields on  $\partial M$  and  $M$ ?

**Applications:** 1. Discovery new links between different geometries; geometric tools PDE boundary problems; invariant theory and invariant operators; representation theory; scattering and non-local operators; AdS/CFT correspondence in physics

# A flat model – compactifying Euclidean space

Stereographic projection  $p : S^{n+1} \setminus \{N\} \rightarrow \mathbb{E}^{n+1}$  is a diffeomorphism (even  $C^\infty$ )



and  $p^{-1} : \mathbb{E}^{n+1} \rightarrow S^{n+1}$  is a **conformal embedding**. That is it preserves angles and circles are mapped to circles.

**BUT:** the **conformal infinity** of this compactification is the one point  $N \in S^{n+1}$  – so this cannot encode much information about  $\mathbb{E}^{n+1}$ , or analysis on  $\mathbb{E}^{n+1}$ . For example:

- The **Euclidean group** action on  $\mathbb{E}^{n+1}$  extends to  $S^{n+1}$ , but it acts trivially on  $N$ .
- It is clearly a bad compactification for **Euclidean scattering**.

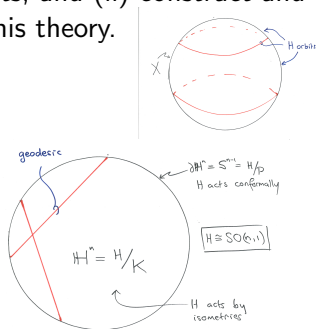
Q: Other ways to compactify?

# Making models

Answering these questions has several steps. The first step is linked to another problem:

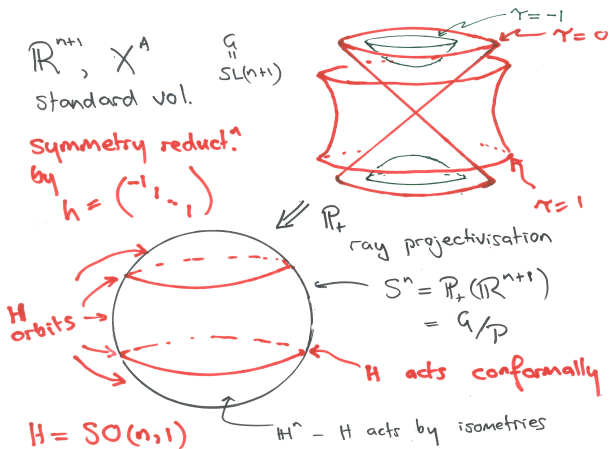
**Problem:** Suppose a Lie group  $H$  acts on a manifold  $X$  with a finite number of orbits. Then: (i) understand and relate the different (Klein) geometries on the orbits; and (ii) construct and treat a well defined curved version of this theory.

E.g. **Example**  $H := SO(n, 1)$  orbits  
 $X =$  the **Klein Ball**:



**Note:** The Klein ball is a **compactification** of  $\mathbb{H}^n$  linked to projective geometry.

# $H = SO(n, 1)$ orbits on the sphere



$S^n = \mathbb{P}_+(\mathbb{R}^{n+1} \setminus \{0\})$  is model of flat projective geometry.

Symmetry reduction by  $h$  (plus time $\uparrow$ ):  $\Rightarrow$  North polar cap is projective compactification of  $\mathbb{H}^n$ ;  $\tau = 0$  projective  $\infty$  with conformal str.

**NB:** Embeddings relate the orbits – but these encoded in  $H \hookrightarrow G$ .



# Conformal compactification of $\mathbb{H}^{n+1}$ – the Poincaré ball

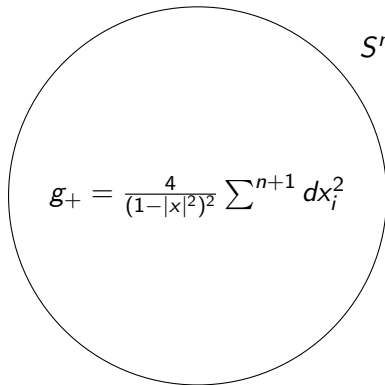
Escher's circle limit



$$\overline{\mathbb{H}^2} = \mathbb{H}^2 + \partial\mathbb{H}^2$$

The embedding gives the compactification

$\mathbb{H}^{n+1}$  embedded conformally  
in Euclidean  $\mathbb{E}^{n+1}$



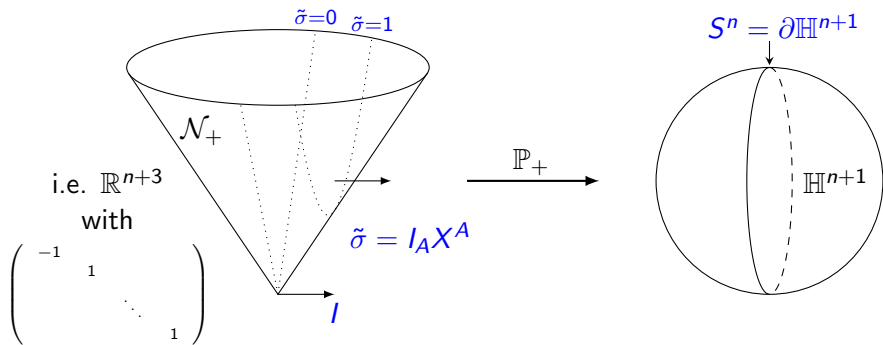
$$S^n = \partial\mathbb{H}^{n+1}$$

$$g_+ = \frac{4}{(1-|x|^2)^2} \sum^{n+1} dx_i^2$$

$$\overline{\mathbb{H}^{n+1}} = \mathbb{H}^{n+1} + \partial\mathbb{H}^{n+1}$$

# Poincaré compactification via $\mathbb{P}_+$ (nullcone)

Conformal compactification of  $\mathbb{H}^{n+1}$  by **symmetry breaking**:



$S^{n+1} = \mathbb{P}_+(\mathcal{N}_+ \subset \mathbb{R}^{n+3} \setminus \{0\})$  is model of flat conformal geometry.

$G := SO_o(n+2, 1)$  acts transitively.  $I \in \mathbb{R}^{n+3}$ , spacelike  $h(I, I) = 1$

Symmetry reduction by  $I: \Rightarrow H = SO_o(n+1, 1)$  orbits. Right hemi. is conformal compactification  $\overline{M}_c$  of  $\mathbb{H}^{n+1}$ ;  $\sigma = 0$  conformal  $\infty$  with conformal str.

# Bigger groups: $H$ vs $G$

In each example above there is implicitly a larger group  $G \supset H$ :

- **Poincaré ball and compactifying boundary** arise as two  $H = SO_+(n+1, 1)$  orbits on  $S^{n+1} = G/P$  where

$$G = SO_+(n+2, 1) \text{ and } P \text{ maximal parabolic in } G.$$

The larger homogeneous space  $G/P$  encodes how the orbits **smoothly** fit together – i.e. the conformal compactification. Similarly:

- **Stereographic (conformal) compactification** of  $\mathbb{E}^{n+1}$  arises as two  $H = \text{Euclidean group}$  orbits on  $S^{n+1} = G/P$  – with same  $G$  and  $P$ . I.e.  $\boxed{\text{Stereo. encoded by } H \hookrightarrow G}$
- **Klein (projective) compactification** of  $\mathbb{H}^{n+1}$  arises as two  $H = SO_+(n+1, 1)$  orbits on  $S^{n+1} = G/P$  where now  $\boxed{G = SL(n+2) \text{ and } P \text{ maximal parabolic in } SL(n+2).}$
- Projective **compactification** of  $\mathbb{E}^{n+1}$  arises as two  $\dots$  (**Exercise!**)

# Curving homogeneous spaces

For a Lie group  $G$  and closed Lie group  $P$ , homogeneous spaces  $G/P$  are **geometries** in the sense of **Klein**. There are often canonical curved generalisations:

Theorem (Cartan, Tanaka, ...)

If  $P$  is a parabolic subgroup of a semisimple Lie group  $G$  then there is a **canonical** notion of geometry

$$\begin{array}{ccc} \mathcal{G} & \leftarrow & P \\ \downarrow & & \\ M & & \end{array} \quad \text{modelled on} \quad \begin{array}{ccc} G & \leftarrow & P \\ \downarrow & & \\ G/P & & \end{array}$$

where  $\mathcal{G}$  is equipped with a Cartan connection  $\omega$  – viz. a suitably equivariant  $\text{Lie}(\tilde{G})$ -valued 1-form, cf. Maurer-Cartan form on  $\tilde{G}$ .

E.g. Conformal geometry, projective DG, CR geometry, ...

For **conformal DG**:  $G = SO_o(p+1, q+1)$ , and  $P$  subgroup stabilising a ray in  $\mathbb{R}^{p+q+2}$ .

# Tractor bundles

If we have a representation  $\mathbb{V}$  of the group  $G$  then we have an associated **vector bundle**  $\mathcal{G} \times_P \mathbb{V}$  with a **linear connection**  $\nabla$ . This is the associated **tractor connection**. In fact for  $(\mathcal{G}, \omega)$  modelled on  $(G, P)$ , with  $G$  semi-simple,  $P$  parabolic:

## Theorem (Čap+G.)

*Cartan bundle  $\mathcal{G}$  + connection  $\omega \Leftrightarrow$  Tractor bundle and tractor connection.*

Then:

parallel tractors lead to curved analogues of orbit decompositions.

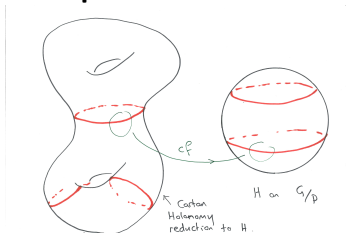
The point is that even on the model we can think of the orbit decomposition as arising from a parallel tractor of some type. Then the corresponding parallel tractor leads to a corresponding stratification of the curved manifold.

## Theorem (Curved orbit decomposition - Čap, G., Hammerl)

Suppose  $(\mathcal{G}, \omega) \rightarrow M$  is a Cartan geometry (modelled on  $G \rightarrow G/P$ ) endowed with a parallel tractor field  $h$  giving a Cartan holonomy reduction with **holonomy group**  $H$ . Then:

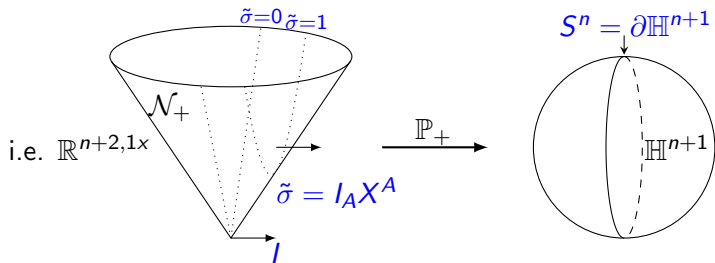
- (1)  $M$  is canonically stratified  $M = \bigcup_{i \in H \backslash G/P} M_i$  in a way locally diffeomorphic to the  $H$ -orbit decomposition of  $G/P$ ; and
- (2) there  $\exists$  a **Cartan geometry on**  $M_i$  of the same type as the model.

Thus there is a **general way to define a curved analogue of an orbit decomposition of a homogeneous space.**



# Curving the conformal compactification of $\mathbb{H}^{n+1}$

Recall the  $H = SO_o(n+1, 1)$  orbits on conformal sphere  $G/P$ , where  $G = SO_o(n+2, 1)$ ,  $H$  fixes  $I \in \mathbb{R}^{n+3}$  spacelike:



Curved: A conformal manifold has a canonical Cartan bundle  $\mathcal{G}$  modeled on  $(G, P)$ . If this supports a **parallel spacelike tractor**  $I$  then the **curved orbit theorem** (plus some interpretation) states either  $M$  Einstein or  $M$  stratifies into disjoint union  $M = M_- \cup M_0 \cup M_+$  and  $M_0$  is a separating hypersurface. Moreover  $M \setminus M_{\mp}$  is a **compactification** of the **Einstein**  $M_{\pm}$ .



# Compactification Programme

Given some non-compact geometry of interest (e.g. pseudo-Riemannian):

**Part 1 (homogeneous):** Identify a homogeneous model  $X_i = H/K$  of the geometry as an open  $H < G$  orbit  $M$  in a compact homogeneous space  $X = G/P$ . (E.g.  $G$  semi-simple and  $P$  parabolic.) Then the topological closure  $\overline{X}_i \subset X$  is a compactification of  $X_i$ .

**Part 2 (curved I):** Given a compact Cartan geometry  $(\mathcal{G}, \omega) \rightarrow M$  modelled on  $G \rightarrow G/P$ , with a Cartan holonomy reduction with **holonomy group**  $H$  and an open curved orbit  $M_i$  (with same Cartan geometry type as  $X_i$ ), then  $\overline{M}_i$  is its compactification.

The Cartan/tractor machinery relates geometries of  $M_i$  &  $\partial M_i$  etc

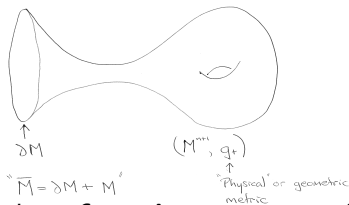
**Part 3 (curved II):** Typically the geometry on  $M_i$  has restrictions on e.g. Einstein or symmetries, . . . (as a **normal solution of a BGG equation holds on  $M$** ). In some cases we can drop restrictions yet still exploit the Cartan/tractor machinery.



# Curving Poincaré II: conformal compactification

Henceforth in these talks, **conformal compactification** of pseudo-Riemannian manifold  $(M^{n+1}, g_+)$  is a manifold  $\bar{M}$  with boundary  $\partial M$  s.t.:

- $\exists \bar{g}$  on  $\bar{M}$ , with
- $g_+ = r^{-2}\bar{g}$ , where  $r$  a defining function for  $\partial M$ .



$\Rightarrow$  canonical **conformal structure on boundary**:  $(\partial M, [\bar{g}|_{\partial M}])$   
(where  $dr$  not null).

- Called a **Poincaré-Einstein** metric if also  $g_+$  negative Einstein.  
**This is the case rediscovered above by a parallel spacelike tractor  $l$  on  $\bar{M} = M_+ \cup M_0$ .**

# Generalisations and applications

It is natural to seek generalising (even) less rigid notions than holonomy reductions.

Above we saw the Poincaré Ball compactification of  $\mathbb{H}^{n+1}$  generalises via curved orbit decomposition methods to a notion of conformal compactification of complete **Einstein** spaces – this recovers the usual notion of Poincaré-Einstein spaces, but in a new and very useful way.

We want to drop the “Einstein” in this and similar cases.

But we want to do this in a way that retains the **Cartan tractor** picture – as **this encodes deep information**.

Here in particular we will attempt to treat conformally compact manifolds in general (almost).

## Part II: Conformal geometry and the geometry of scale

A conformal  $n + 1$ -manifold ( $n \geq 2$ ) is the structure  $(M, \mathbf{c})$  where

- $M$  is an  $d = n + 1$ -manifold,
- $\mathbf{c}$  is a conformal equivalence class of signature  $(p, q)$  metrics, i.e.  $g, \hat{g} \in \mathbf{c} \stackrel{\text{def}}{\iff} \hat{g} = \Omega^2 g$  and  $C^\infty(M) \ni \Omega > 0$ .

Because there is no distinguished metric on  $(M, \mathbf{c})$  an important role is played by the **density bundles**. Note  $(\Lambda^{n+1} TM)^2$  is an oriented real line bundle  $\mathcal{K}$ . We write  $\mathcal{E}[w]$  for the roots

$$\mathcal{E}[w] = \mathcal{K}^{\frac{w}{2n+2}}, \quad \text{so} \quad \mathcal{K} = \mathcal{E}[2n + 2],$$

$\mathcal{E}[0] := \mathcal{E}$  (the trivial bundle with fibre  $\mathbb{R}$ ), and  $\mathcal{E}_+[w]$  for the positive elements. With this notation there is tautologically a **conformal metric**

$$\mathbf{g} \in S^2 T^* M[2], \quad \text{so that} \quad g^\sigma := \sigma^{-2} \mathbf{g} \in \mathbf{c}, \quad \sigma \in \Gamma(\mathcal{E}_+[1]),$$

and

$$\otimes^{n+1} \mathbf{g} : (\Lambda^{n+1} TM)^2 \xrightarrow{\simeq} \mathcal{E}[2n + 2].$$

In view of the 1-1 relation between sections  $\sigma$  of  $\mathcal{E}_+[1]$  and metrics  $g^\sigma$  in  $\mathbf{c}$  (via  $g^\sigma := \sigma^{-2}\mathbf{g} \in \mathbf{c}$ ) we call

$$\sigma \in \Gamma(\mathcal{E}_+[1])$$

a **strict scale**. Such sections provide the “symmetry breaking” which reduces us from conformal geometry to pseudo-Riemannian. Since any Levi-Civita connection  $\nabla^g$ , for  $g \in \mathbf{c}$ , acts on  $\mathcal{E}[2n+2]$  via the isomorphism  $\otimes^{n+1}\mathbf{g} : (\Lambda^{n+1}TM)^2 \xrightarrow{\cong} \mathcal{E}[2n+2]$  it follows easily that

$$\nabla^{g^\sigma}\sigma = 0.$$

So  $\sigma$  is parallel for the Levi-Civita connection it determines.

# The tractor connection

On a conformal manifold  $(\overline{M}, \mathbf{c})$  there is no distinguished connection on  $TM$ . But we have the conformally invariant **tractor bundle**  $\mathcal{T}$  and **connection**  $\nabla^{\mathcal{T}}$ . Given  $\overline{g} \in \mathbf{c}$  this is given by

$$\mathcal{T} \stackrel{\overline{g}}{=} \mathcal{E}[1] \oplus T^*M[1] \oplus \mathcal{E}[-1], \quad \mathcal{E}[1] := (\Lambda^{n+1} TM)^{\frac{2}{2(n+1)}}$$

$$\nabla_a^{\mathcal{T}}(\sigma, \mu_b, \rho) = (\nabla_a \sigma - \mu_a, \nabla \mu_b + P_{ab} \sigma + \mathbf{g}_{ab} \rho, \nabla_a \rho - P_{ab} \mu^b),$$

and  $\nabla^{\mathcal{T}}$  preserves a conformally invariant **tractor metric**  $h$

$$\mathcal{T} \ni V = (\sigma, \mu_b, \rho) \mapsto 2\sigma\rho + \mu_b \mu^b = h(V, V).$$

There is also a second order **Thomas operator**:

$$\Gamma(\mathcal{E}[w]) \in f \mapsto D_A f \stackrel{\overline{g}}{=} \begin{pmatrix} (n+2w-2)wf \\ (n+2w-2)\nabla_a f \\ -(\Delta f + wJf) \end{pmatrix}$$

where  $J$  is  $\text{trace}^{\overline{g}}(P_{ab})$ , so a number times  $\text{Sc}(\overline{g})$ .

# Parallel standard tractors

Note that from the formula

$\nabla_a^T(\sigma, \mu_b, \rho) = (\nabla_a \sigma - \mu_a, \nabla \mu_b + P_{ab} \sigma + \mathbf{g}_{ab} \rho, \nabla_a \rho - P_{ab} \mu^b)$ ,  
if  $I_A \stackrel{\text{g}}{=} (\sigma, \mu_a, \rho)$  is a parallel tractor then  $\mu_a = \nabla_a \sigma$ , and  
 $\rho = -(\Delta \sigma + w \mathcal{J} \sigma)$ . This gives the first statement of:

## Proposition

*$I$  parallel implies  $I_A = \frac{1}{d} D_A \sigma$ . So  $I \neq 0 \Rightarrow \sigma$  is nonvanishing on an open dense set  $M_{\sigma \neq 0}$ . On  $M_{\sigma \neq 0}$ ,  $g^o = \sigma^{-2} g$  is Einstein. Conversely if  $g^o = \sigma^{-2} g$  is Einstein then  $I := \frac{1}{d} D \sigma$  is parallel.*

## Proof.

On  $M_{\sigma \neq 0}$  we have locally  $\pm \sigma \in \Gamma(\mathcal{E}_+[1])$  so  $\mu_a = \nabla_a \sigma = 0$  for  $\nabla = \nabla^{g^o}$ . Thus

$$P_{ab} + \rho \mathbf{g}_{ab} = 0.$$

The converse is easy. □

So we say  $(M, \mathbf{c})$  with parallel  $I \neq 0$  is **almost Einstein**.

# The Curved orbits.

Concerning  $M_0 = \mathcal{Z}(\sigma)$ . (Here and throughout  $I^2 = I^A I_A$ .)

## Theorem

*The curved orbit decomposition of an almost Einstein manifold  $(M, \mathbf{c}, I)$  is according to the strict sign of  $\sigma = I_A X^A$ . The zero locus satisfies:*

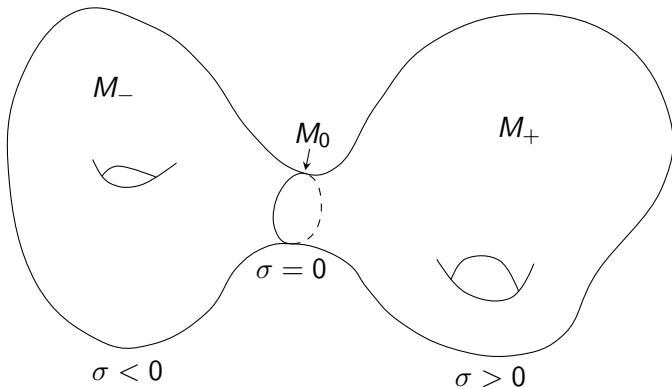
- *If  $I^2 \neq 0$  (i.e.  $g^\circ$  Einstein and not Ricci flat) then  $\mathcal{Z}(\sigma)$  is either empty or is a smoothly embedded separating hypersurface.*
- *If  $I^2 = 0$  (i.e.  $g^\circ$  Ricci flat) then  $\mathcal{Z}(\sigma)$  is either empty or, after excluding isolated points from  $\mathcal{Z}(\sigma)$ , is a smooth embedded hypersurface.*

## Proof.

The local aspects follow from the general curved orbit theorem. Using the above formulae they are also easily recovered directly and one sees the separating statement. □

# The picture so far

Thus if  $I^2 \neq 0$  we have the picture:



$M \setminus M_{\pm}$  is evidently conformally compact and hence **Poincaré-Einstein**. Conversely all Poincaré-Einstein manifolds arise this way.



# Almost pseudo-Riemannian geometry

We want now to drop the Einstein condition and understand e.g. general conformally compact manifolds.

For convenience we say that a structure

$$(M^d, \mathbf{c}, \sigma) \quad \text{where} \quad \sigma \in \Gamma(\mathcal{E}[1])$$

is **almost pseudo-Riemannian** if the scale tractor

$$I_A := \frac{1}{d} D_A \sigma \quad \text{is nowhere zero.}$$

Note then that  $\sigma$  is non-zero on an open dense set, since  $D_A \sigma$  encodes part of the 2-jet of  $\sigma$ . So on an almost pseudo-Riemannian manifold there is the pseudo-Riemannian metric  $g^o = \sigma^{-2} \mathbf{g}$  on the same open dense set. In the following the notation  $I$  will always refer to a scale tractor, so  $I = \frac{1}{d} D\sigma$ , for some  $\sigma \in \Gamma(\mathcal{E}[1])$ . Then we often mention  $I$  instead of  $\sigma$  and refer to  $(M, \mathbf{c}, I)$  as an almost pseudo-Riemannian manifold. Evidently:

## Lemma

*A conf. compact mfl'd is an almost Riemannian manifold  $(\overline{M}, \mathbf{c}, \sigma)$  with boundary  $(\overline{M} = M_+ \cup \partial M_+)$  such that  $\sigma$  defines  $\partial M_+$*

# Generalised scalar curvature

Now recall from the formula for  $I$  and the metric we have

$$I^A I_A =: I^2 \stackrel{g}{=} \mathbf{g}^{ab} (\nabla_a \sigma) (\nabla_b \sigma) - \frac{2}{d} \sigma (J + \Delta) \sigma \quad (1)$$

where  $g$  is any metric from  $\mathbf{c}$  and  $\nabla$  its Levi-Civita connection. This is well-defined everywhere on an almost pseudo-Riemannian manifold, while where  $\sigma$  is non-zero, it computes

$$I^2 = -\frac{2}{d} J^{g^\circ} = -\frac{Sc^{g^\circ}}{d(d-1)} \quad \text{where} \quad g^\circ = \sigma^{-2} \mathbf{g}.$$

Thus  $I^2$  gives a **generalisation of the scalar curvature** (up to a constant factor  $-1/d(d-1)$ ); it is canonical and smoothly extends the scalar curvature to include the zero set of  $\sigma$ . We shall use the term *ASC manifold* (where ASC means almost scalar constant) to mean an almost pseudo-Riemannian manifold with  $I^2 = \text{constant}$ . Since the tractor connection preserves  $h$ , then  $I$  parallel implies  $I^2 = \text{constant}$ . So an almost Einstein manifold is ASC, just as Einstein manifolds have constant scalar curvature.

# Non-zero generalised scalar curvature.

Much of the almost Einstein curved orbit picture remains in the almost pseudo-Riemannian setting when  $I^2$  is non-vanishing:

## Theorem

*Let  $(M, \mathbf{c}, I)$  be an almost pseudo-Riemannian manifold with  $I^2$  **nowhere zero**. Then  $\mathcal{Z}(\sigma)$ , if not empty, is a smooth embedded separating hypersurface. This has a spacelike (resp. timelike) normal if  $g^\circ$  has negative scalar (resp. positive) scalar curvature. If  $\mathbf{c}$  has Riemannian signature and  $I^2 < 0$  then  $\mathcal{Z}(\sigma)$  is empty.*

## Key aspect of Proof.

From  $I^2 \stackrel{g}{=} \mathbf{g}^{ab}(\nabla_a \sigma)(\nabla_b \sigma) - \frac{2}{d}\sigma(\mathbf{J} + \Delta)\sigma$ : Along  $\mathcal{Z}(\sigma)$  we have

$$I^2 = \mathbf{g}^{ab}(\nabla_a \sigma)(\nabla_b \sigma).$$

in particular  $\nabla \sigma$  is nowhere zero on  $\mathcal{Z}(\sigma)$ , and so  $\sigma$  is a **defining density**. Thus  $\mathcal{Z}(\sigma)$  is a smoothly embedded hypersurface by the implicit function theorem.

# Conformally compact manifolds

**Summary:** A conformal manifold equipped with a scale tractor  $I = \frac{1}{d}D\sigma$ , with  $I^2$  nowhere zero has  $I$  nowhere zero and so is almost pseudo-Riemannian. Where  $\sigma = X^A I_A$  is nonzero (almost everywhere) there is the pseudo-Riemannian metric  $g^\sigma = \sigma^{-2}\mathbf{g}$ , and  $\sigma$  is a defining density for the separating hypersurface  $M_0 = Z(\sigma)$ .

Thus we again have a stratification

$$M = M_- \cup M_0 \cup M_+.$$

Moreover  $(M, \mathbf{c}, I) \setminus M_\mp$  is conformally compact, as any scale  $\tau \in \Gamma(\mathcal{E}_+[1])$  gives  $\bar{g} = \tau^{-2}\mathbf{g} \in \mathbf{c}$ , and  $r := \tau^{-1}\sigma$  is a defining function for  $M_0$  in  $\bar{M}_\pm = M_\pm \cup M_0$ . It is clear all conformally compact manifolds with scalar curvature bounded away from zero arise arise this way.

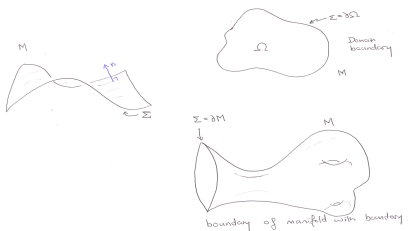
We want to develop a boundary calculus for these conformally compact manifolds. First we digress to understand hypersurfaces.



Part III: Hypersurfaces in conformal manifolds

Part IV: Geometry of conformal infinity

# Part III: Hypersurfaces in conformal geometry - a digression



To treat boundary calculus we need to understand the mathematics of hypersurfaces.

**Defn:** *hypersurface*  $\Sigma$  in a manifold  $M$  means a smoothly embedded codimension 1 submanifold of  $(M, \mathbf{c})$ .

- we restrict to  $\Sigma$  with the property that the any conormal field along  $\Sigma$  is nowhere null (i.e. to nondegenerate hypersurfaces).

Then:

- restriction of any  $g \in \mathbf{c}$  gives metric  $\bar{g}$  on  $\Sigma \rightsquigarrow \mathbf{c}$  induces  $\bar{\mathbf{c}}$  on  $\Sigma$ .
- It is natural to work with a weight 1 co-normal  $n_a$  along  $\Sigma$  satisfying  $\mathbf{g}^{ab} n_a n_b = \pm 1$ .

# Basic hypersurface invariants

For  $g \in \mathbf{c}$ , the **second fundamental form**  $L_{ab}$  is the restriction of  $\nabla_a n_b$  to  $T\Sigma \times T\Sigma \subset (TM \times TM)|_\Sigma$ , where  $\nabla = \nabla^g$ ; i.e.

$$L_{ab} := \nabla_a n_b \mp n_a n^c \nabla_c n_b \quad \text{along } \Sigma.$$

This is not conformally invariant. But under a conformal rescaling,  $g \mapsto \hat{g} = e^{2\omega} g$ ,  $L_{ab}$  transforms according to

$$L_{ab}^{\hat{g}} = L_{ab}^g + \bar{\mathbf{g}}_{ab} \Upsilon_c n^c, \quad \text{where} \quad \Upsilon = d\omega$$

Thus:

## Proposition

*The trace-free part of the second fundamental form*

$$\dot{L}_{ab} = L_{ab} - H \bar{\mathbf{g}}_{ab}, \quad \text{where,} \quad H := \frac{1}{d-1} \bar{\mathbf{g}}^{cd} L_{cd}$$

*is conformally invariant.*

Here  $d = n + 1$  is the dimension of the ambient manifold  $M$ .



# The normal tractor

Evidently, under a conformal rescaling  $g \mapsto \hat{g} = e^{2\omega}g$ , the **mean curvature**  $H^g$  transforms to  $H^{\hat{g}} = H^g + n^a \Upsilon_a$ . Thus we obtain a conformally invariant section  $N$  of  $\mathcal{T}|_{\Sigma}$

$$N_A \stackrel{g}{=} \begin{pmatrix} 0 \\ n_a \\ -H^g \end{pmatrix},$$

and  $h(N, N) = \pm 1$  along  $\Sigma$ . This is the **normal tractor** of Bailey-Eastwood-G. Differentiating  $N$  tangentially along  $\Sigma$  using  $\nabla^{\mathcal{T}}$ , we obtain the following result.

## Proposition (Conformal Shape operator)

$$\mathbb{L}_{aB} := \underline{\nabla}_a N_B \stackrel{g_{cb}}{=} \begin{pmatrix} 0 \\ \dot{L}_{ab} \\ -\frac{1}{d-2} \nabla^b \dot{L}_{ab} \end{pmatrix}$$

where  $\underline{\nabla}$  is the pullback to  $\Sigma$  of the ambient tractor connection. Thus  $\Sigma$  is **totally umbilic** iff  $N$  is parallel along  $\Sigma$ .

# Conformal hypersurface calculus

The classical **Gauss formula**

$$\underline{\nabla}_a v^b = \overline{\nabla}_a v^b \mp n^b L_{ac} v^c \quad v \in T\Sigma \subset TM,$$

is the basis of Riemannian hypersurface calculus.

We want the conformal analogue. First we need this:

**Proposition (Branson-G., Grant)**

*There is a natural conformally invariant (isometric) isomorphism*

$$\mathcal{T}|_\Sigma \supset N^\perp \xrightarrow{\cong} \overline{\mathcal{T}} = \text{std tractor bundle of } (\Sigma, \bar{c}).$$

**Proof.**

Calculating in a scale  $g$  on  $M$  the tractor bundle  $\mathcal{T}$ , and hence also  $N^\perp$ , decomposes into a triple. Then the mapping of the isomorphism is

$$[N^\perp]_g \ni \begin{pmatrix} \sigma \\ \mu_b \\ \rho \end{pmatrix} \mapsto \begin{pmatrix} \sigma \\ \mu_b \mp H n_b \sigma \\ \rho \pm \frac{1}{2} H^2 \sigma \end{pmatrix} \in [\overline{\mathcal{T}}]_{\bar{g}}.$$

# The tractor Gauss equation

The above reveals two connections on  $\bar{\mathcal{T}} \cong N^\perp$  that we can compare. Namely the **intrinsic tractor connection**  $\bar{\nabla}^{\bar{\mathcal{T}}}$  determined by  $(\Sigma, \bar{c})$ , and the **projected ambient tractor connection**  $\tilde{\nabla}$ . The latter is defined by

$$\tilde{\nabla}_a U^B := \Pi_C^B (\Pi_a^c \nabla_c U^C) \quad U \in \Gamma(N^\perp) \text{ extended arb. off } \Sigma$$

where  $\Pi_C^B$  and  $\Pi_a^c$  are the orthog. projections due to  $N$  and  $n$ . Including the tractor derivative of  $\Pi_C^B$  gives:

**Proposition (Tractor Gauss formula – Stafford, Vyatkin)**

$$\underline{\nabla}_a V^B = \bar{\nabla}_a V^B \mp S_a^B{}^C V^C \mp N^B \mathbb{L}_{aC} V^C,$$

where  $S_{aBC} = \bar{\mathbb{X}}_{BC}{}^c \mathcal{F}_{ac}$ , ( $\bar{\mathbb{X}}_{BC}{}^c$  an invariant bundle injector), and

$$\mathcal{F}_{ab} = \frac{1}{n-2} \left( W_{acbd} n^c n^d + \dot{L}_{ab}^2 - \frac{|\dot{L}|^2}{2(n-1)} \bar{g}_{ab} \right).$$

Recall  $\mathbb{L}_{aC} = \underline{\nabla}_a N_C$ . This shows that  $\mathcal{F}_{ab}$  is a conformal invariant of hypersurfaces. It is the so-called **Fialkow tensor**.

The above results and tools provide the first steps in an **invariant calculus for conformal hypersurfaces** that is somewhat analogous to the local invariant calculus for Riemannian hypersurfaces. In particular combining these with usual tractor calculus it is easy to proliferate **hypersurface conformal invariants** and **conformally invariant operators**. E.g.:

**Families of boundary operators** along a conformal hypersurface.

**Background:** In **Riemannian geometry** the Neumann operator is  $n^a \nabla_a$ . Higher transverse order transverse boundary operators similarly given:  $n^a n^b \nabla_a \nabla_b$  etc.

**Conformal Robin op:** The tools above allow an immediate analogue. Recall  $\delta_1 := n^a \nabla_a - wH^g$ , is the conformal Cherrier-Robin operator – it gives a conformal boundary Robin operator for the conformal Laplacian. This is recovered by

$$(n + 2w - 2)\delta_1 = N^A D_A \quad \text{along } \Sigma^{n-1} \text{ in } M^n.$$

# Families of boundary/symmetry breaking operators

Higher order analogues are important for PDE boundary problems, and the construction of higher order conformal Dirichlet-Neumann operators. **Juhl, Kobayashi et al** have sought continuous families of such, which in the flat case they describe as **symmetry breaking operators** and interpret as **intertwinors of the spherical principal series** representations of the conformal group. Here is an **immediate construction** of such:

## Lemma (G.-Peterson)

Given a conformal hypersurface embedding  $\Sigma \hookrightarrow (M, \mathbf{c})$ ,

$$\delta_{j+1} := N^{A_1} N^{A_2} \dots N^{A_j} \delta_1 D_{A_1} D_{A_2} \dots D_{A_j}$$

constructs a family of natural conformally invariant hypersurface operators  $\delta_K : \mathcal{T}^\Phi[w] \rightarrow \mathcal{T}^\Phi[w - K]|_\Sigma$  along  $\Sigma$ .

This observation can be **refined significantly** (G.-Peterson). The key is identify and treat the **special weights**  $w$ .

# Hidden problems, hidden treasures

It would **appear** from the formula

$$\delta_K := N^{A_1} N^{A_2} \dots N^{A_{K-1}} \delta_1 D_{A_1} D_{A_2} \dots D_{A_{K-1}} \quad \text{along } \Sigma$$

that the operator has “high” transverse order and is always at least of transverse order 1. But e.g.: (where  $\bar{n} = \dim(\Sigma)$  etc)

$$\delta_2 f = -\left(\bar{\Delta} - \frac{\bar{n} - 2}{4(\bar{n} - 1)} \bar{S}_C\right) f + \frac{\bar{n} - 2}{4(\bar{n} - 1)} \mathring{L}^{ab} \mathring{L}_{ab} f, \quad \text{for } f \in \mathcal{E} \left[1 - \frac{\bar{n}}{2}\right].$$

This is the **intrinsic to  $\Sigma$  Yamabe operator** of  $(\Sigma, \mathbf{c}_\Sigma)$  (plus the conformal invariant  $\mathring{L}^{ab} \mathring{L}_{ab}$ ). So:

**at this weight  $\delta_2$  has transverse order 0.**

At the **interior Yamabe weight  $1 - \frac{n}{2}$**  we have instead

$$\delta_2 = -\left(\Delta - \frac{n - 2}{4(n - 1)} S_C\right) \quad \text{along } \Sigma.$$

– i.e. the **interior Yamabe operator**.

## Part IV: Geometry of conformal infinity

We return now to **conformally compact geometries**  $(M, \mathbf{c}, I)$ . Recall the **scale tractor**  $I$  is given  $I = (\sigma, \nabla\sigma, -\frac{1}{d}(\Delta\sigma + J\sigma))$ . We will consider in particular  $(M, \mathbf{c}, I)$  which near the conformal infinity are **asymptotically of constant nonzero scalar curvature**. By imposing a constant dilation we may assume that  $I^2$  approaches  $\pm 1$ .

The  $\sigma$ , equivalently scale tractor  $I$ , strongly links the geometry of  $\Sigma = \mathcal{Z}(\sigma)$  to the ambient by a beautiful agreement of  $I$  and the normal tractor:

### Proposition

*Let  $(M^d, \mathbf{c}, I)$  be an almost pseudo-Riemannian structure with scale singularity set  $\Sigma \neq \emptyset$  and  $I^2 = \pm 1 + \sigma^2 f$  for some smooth (weight  $-2$ ) density  $f$ . Then  $\Sigma$  is a smoothly embedded hypersurface and, with  $N$  denoting the normal tractor for  $\Sigma$ , we have  $N = I|_{\Sigma}$ .*

## Proof.

For simplicity assume the case  $l^2 = \pm 1$  (so  $f = 0$  and the structure is ASC). As usual let us write  $\sigma := h(X, l)$ . Along  $\mathcal{Z}(\sigma)$

$$l_A = \frac{1}{d} D_A \sigma \stackrel{g}{=} \begin{pmatrix} 0 \\ \nabla_a \sigma \\ -\frac{1}{d} \Delta \sigma \end{pmatrix} \Rightarrow \mathbf{g}^{ab} (\nabla_a \sigma) \nabla_b \sigma = \pm 1$$

so  $n_a := \nabla_a \sigma$  is the unit conormal and a computation gives  $\frac{1}{d} \Delta \sigma = -\frac{1}{d-1} \mathbf{g}^{ab} L_{ab}^g = -H^g$ . □

## Corollary

*Let  $(M^d, \mathbf{c}, l)$  be an almost pseudo-Riemannian structure with scale singularity set  $\Sigma \neq \emptyset$ , and that is asymptotically Einstein in the sense that  $l^2|_{\Sigma} = \pm 1$ , and  $\nabla_a l_B = \sigma f_{aB}$  for some smooth (weight  $-1$ ) tractor valued 1-form  $f_{aB}$ . Then  $\Sigma$  is a **totally umbilic hypersurface**.*



# Agreement of tractor connections

If we assume the stronger asymptotics:  $l^2|_{\Sigma} = \pm 1$ , and  $\nabla_a l_B = \sigma^2 f_{aB}$ . Then along  $\Sigma$ ,  $l_B$  is parallel to the given order, and so the tractor curvature satisfies

$$\kappa_{ab}{}^C{}_D l^D = \kappa_{ab}{}^C{}_D N^D = 0 \quad \text{along } \Sigma.$$

This implies

$$\boxed{W_{ab}{}^c{}_d n^d = 0}, \quad \text{along } \Sigma = \mathcal{Z}(\sigma)$$

$\therefore$  Fialkow  $\mathcal{F}_{ab} = \frac{1}{n-2}(W_{acbd} n^c n^d + \dot{L}_{ab}^2 - \frac{|L|^2}{2(n-1)} \bar{g}_{ab})$  vanishes, &

## Theorem

*Let  $(M^{d \geq 4}, \mathbf{c}, l)$  be an almost pseudo-Riemannian structure with scale singularity set  $\Sigma \neq \emptyset$ , and that is asymptotically Einstein in the sense that  $l^2|_{\Sigma} = \pm 1$ , and  $\nabla_a l_B = \sigma^2 f_{aB}$ . Then the tractor connection of  $(M, \mathbf{c})$  preserves the intrinsic tractor bundle of  $\Sigma$ , where the latter is viewed as a subbundle of the ambient tractors:  $\mathcal{T}_{\Sigma} \subset \mathcal{T}$ . Furthermore the restriction of the parallel transport of  $\nabla^{\mathcal{T}}$  coincides with the intrinsic tractor parallel transport of  $\nabla^{\mathcal{T}_{\Sigma} = \bar{\mathcal{T}}}$ .*

# Summary to this point

Any almost pseudo-Riemannian manifold with **non-zero generalised scalar curvature** (i.e.  $I^2$  nowhere zero) has zero locus  $\Sigma = \mathcal{Z}(\sigma)$  a smoothly embedded hypersurface.

If  $g_{\pm} = \sigma^{-2}\mathbf{g}$  is (asymptotically) Einstein in that  $\nabla I$  vanishes (sufficiently quickly) along  $\Sigma$  then for  $\Sigma$  the conformal invariants  $\mathring{L}_{ab}$  and  $\mathcal{F}_{ab}$  both vanish everywhere along  $\Sigma$ . This:

- gives agreement of the ambient and intrinsic tractor connections;
- **excludes interesting embeddings of  $\Sigma$**  – i.e. such  $\Sigma$  are not useful for studying general hypersurface geometry.

This is the classical Poincaré-Einstein setting. From the work of Fefferman-Graham and others we know that if  $g_{\pm} = \sigma^{-2}\mathbf{g}$  is (asymptotically) Einstein (to sufficiently high order) then the conformal geometry of  $(\Sigma, \bar{\mathbf{c}})$  actually formally determines the full geometry of  $(M, g_{\pm})$ , at least up to order approximately  $d - 1$ . Thus a **powerful link** intrinsic geometry of  $(\Sigma, \bar{\mathbf{c}})$  to  $(M, g_{\pm})$  – **little freedom at all in the embedding** of  $\Sigma$ .



Part V: Boundary calculus

Part VI: The Loewner-Nirenberg problem and higher Willmore

Recall: By embedding a conformal manifold  $(\Sigma, \bar{c})$  as the boundary at infinity of a Poincaré-Einstein manifold  $(M, g_+)$ , the Fefferman-Graham programme led to powerful tools: New approaches to the construction of conformal invariants (of  $(\Sigma, \bar{c})$ ), The GJMS operators and  $Q$ -curvature, scattering theory,  $\dots$ , Applications to AdS/CFT conjecture,  $\dots$

From the early discussion we will see that there is an **analogous program** in for conformally embedded hypersurfaces. In part the **generalises** the FG program but in other ways it is a **different program**.

We work on  $M^{d=n+1}$ .

# Differential operators by prolonged coupling

On an almost pseudo-Riemannian manifold  $(M, \mathbf{c}, I)$  there is a canonical differential operator by **coupling**  $I^A$  to  $D_A$ , namely

$$I \cdot D := I^A D_A.$$

This acts on any weighted tractor bundle, preserving its tensor type but lowering the weight:

$$I \cdot D : \mathcal{E}^\Phi[w] \rightarrow \mathcal{E}^\Phi[w - 1].$$

It will be useful to define the *weight operator*  $\mathbf{w}$ : if  $\beta \in \Gamma(\mathcal{B}[w_0])$  we have

$$\mathbf{w} \beta = w_0 \beta.$$

Then on  $\mathcal{E}^\Phi[w]$  we have

$$\begin{aligned} I \cdot D &\stackrel{g}{=} \left( -\frac{1}{d}(\Delta\sigma + J\sigma) \quad \nabla^a \sigma \quad \sigma \right) \begin{pmatrix} \mathbf{w}(d + 2\mathbf{w} - 2) \\ \nabla_a(d + 2\mathbf{w} - 2) \\ -(\Delta + J\mathbf{w}) \end{pmatrix}. \\ &= -\sigma\Delta + (d + 2w - 2)[(\nabla^a \sigma)\nabla_a - \frac{w}{d}(\Delta\sigma)] - \frac{2w}{d}(d + w - 1)\sigma J \end{aligned}$$

# The canonical degenerate Laplacian

Now on  $M \setminus \mathcal{Z}(\sigma)$  in the metric  $g_{\pm} = \sigma^{-2}g$ , with densities trivialised accordingly, we have

$$I \cdot D \stackrel{g_{\pm}}{=} \mp \left( \Delta^{g_{\pm}} + \frac{2w(d+w-1)}{d} J^{g_{\pm}} \right).$$

In particular if  $g_{\pm}$  satisfies  $J^{g_{\pm}} = \mp \frac{d}{2}$  (i.e.  $Sc^{g_{\pm}} = \mp d(d-1)$  or equivalently  $I^2 = \pm 1$ ) then, relabeling  $d+w-1 =: s$  and  $d-1 =: n$ , we have

$$I \cdot D \stackrel{g_{\pm}}{=} \mp \left( \Delta^{g_{\pm}} \pm s(n-s) \right).$$

so solutions are **eigenvectors of the Laplacian** (and  $s$  is called the **spectral parameter**) as in **scattering theory**.

But on  $\Sigma = \mathcal{Z}(\sigma) \neq \emptyset$ , the conformal infinity,  $I \cdot D$  degenerates and there the operator is first order. In particular if the structure is asymptotically ASC in the sense that  $I^2 = \pm 1 + \sigma f$ , for some smooth  $f$ , then along  $\Sigma$

$$I \cdot D = (d + 2w - 2)\delta_n, \quad \delta_1 \stackrel{g}{=} n^a \nabla_a^g - wH^g = \text{conformal Robin}$$

Thus  $I \cdot D$  is a **degenerate Laplacian**, natural to  $(M, \mathbf{c}, I)$ . 

# The $\mathfrak{sl}(2)$ -algebra

$(M, \mathbf{c})$  be a conformal structure of dimension  $d \geq 3$ ,  $\sigma \in \Gamma(\mathcal{E}[1])$  and  $I_A = \frac{1}{d} D_A \sigma$  (as usual). Then a direct computation gives

## Lemma

*Acting on any section of a weighted tractor bundle we have*

$$[I \cdot D, \sigma] = I^2(d + 2\mathbf{w}),$$

*where  $\mathbf{w}$  is the weight operator.*

Thus with **only the restriction that generalised scalar curvature is non-vanishing** we have:

## Proposition (G.-Waldron)

*Suppose that  $(M, c, \sigma)$  is such that  $I^2$  is nowhere vanishing. Setting  $x := \sigma$ ,  $y := -\frac{1}{I^2} I \cdot D$ , and  $h := d + 2\mathbf{w}$  we obtain the commutation relations*

$$[h, x] = 2x, \quad [h, y] = -2y, \quad [x, y] = h,$$

*of standard  $\mathfrak{sl}(2)$ -algebra generators.*



# Application: Conformal Laplacian powers

## Theorem

Let  $\mathcal{E}^\Phi$  be any tractor bundle and  $k \in \mathbb{Z}_{\geq 1}$ . Then, for each  $k \in \mathbb{Z}_{\geq 1}$ , along  $\Sigma = \mathcal{Z}(\sigma)$

$$P_k : \mathcal{E}^\Phi\left[\frac{k-n}{2}\right] \rightarrow \mathcal{E}^\Phi\left[\frac{-k-n}{2}\right] \quad \text{given by} \quad P_k := \left(-\frac{1}{l^2} \mathcal{H}D\right)^k \quad (2)$$

is a tangential differential operator, and so determines a canonical differential operator  $P_k : \mathcal{E}^\Phi\left[\frac{k-n}{2}\right]|_\Sigma \rightarrow \mathcal{E}^\Phi\left[\frac{-k-n}{2}\right]|_\Sigma$ . For  $k$  even this takes the form

$$P_k = \overline{\Delta}^k + \text{lower order terms.} \quad (3)$$

## Proof.

From the  $\mathfrak{sl}(2)$ -identities we have  $[x, y^k] = y^{k-1}k(h - k + 1)$ . Thus on  $\mathcal{E}^\Phi\left[\frac{k-n}{2}\right]$

$$P_k(f + \sigma h) = y^k(f + xh) = P_k f + \sigma \tilde{P}_k h.$$

So  $P_k$  is **tangential**. Expanding the  $l$ -Ds yields (3). □

# Natural boundary problems

Suppose on a conformally compact manifold  $M_+$  (with  $M_+ \cup \partial M_+ = \overline{M}$ ) we wish to study solutions to

$$Pf := \left( \Delta^{g_+} + \frac{2w(d+w-1)}{d} J^{g_+} \right) f = 0.$$

E.g. this is what is studied in the usual Poincaré-Einstein scattering program.

Then one needs to fix suitable boundary conditions. E.g. in the case of Riemannian signature one wants some elliptic boundary problem. Since the boundary  $\partial M_+$  is at infinity, with  $g_+$  singular along  $\partial M_+$ , this is non-trivial.

But if we view  $f$  as the trivialisation of a density of weight  $w$  then  $Pf \stackrel{g_+}{=} I \cdot Df$  and  $I \cdot D$  is well defined on all of  $\overline{M}$  (and its smooth extension to  $M$  beyond  $\partial M_+$ ). Thus it is natural to study the  $I \cdot D$  problem. We do this **formally**.

First we treat an obvious Dirichlet-like problem where we view  $f|_{\Sigma}$  as the initial data.

# Asymptotic solutions of the first kind

## Problem

Given  $f|_{\Sigma}$ , and an arbitrary extension  $f_0$  of this to  $\mathcal{E}^{\Phi}[w_0]$  over  $M$ , find  $f_i \in \mathcal{E}^{\Phi}[w_0 - i]$  (over  $M$ ),  $i = 1, 2, \dots$ , so that

$$f^{(\ell)} := f_0 + \sigma f_1 + \sigma^2 f_2 + \dots + O(\sigma^{\ell+1})$$

solves  $I \cdot Df = O(\sigma^{\ell})$ , off  $\Sigma$ , for  $\ell \in \mathbb{N} \cup \infty$  as high as possible.

$I \cdot Df = 0 \Leftrightarrow -\frac{1}{l^2} I \cdot Df = 0$  so we recast this via  $\mathfrak{sl}(2) = \langle x, y, h \rangle$ .

**Set**  $h_0 = d + 2w_0$ . By the identity  $[x^k, y] = x^{k-1}k(h + k - 1)$ :

$$yf^{(\ell+1)} = yf^{(\ell)} - x^{\ell}(\ell + 1)(h + \ell)f_{\ell+1} + O(x^{\ell+1}).$$

Now  $hf_{\ell+1} = (h_0 - 2(\ell + 1))f_{\ell+1}$ , thus

$$yf^{(\ell+1)} = yf^{(\ell)} - x^{\ell}(\ell + 1)(h_0 - \ell - 2)f_{\ell+1} + O(x^{\ell+1}). \quad (4)$$

By assumption  $yf^{(\ell)} = O(x^{\ell})$ , thus if  $\boxed{\ell \neq h_0 - 2}$  we can solve

$yf^{(\ell+1)} = O(x^{\ell+1})$  and this **uniquely determines**  $f_{\ell+1}|_{\Sigma}$ .

# The obstruction on conformally compact manifolds

So we can solve to all orders provided we do not hit  $\ell = h_0 - 2$  i.e. provided  $w_0 \notin \{\frac{k-n}{2} : k \in \mathbb{Z}_{\geq 1}\}$ . Otherwise (4) shows that

$$\ell = h_0 - 2 \quad \Rightarrow \quad yf^{(\ell)} = y(f^{(\ell)} + x^{\ell+1}f_{\ell+1}), \quad \text{modulo } O(x^{\ell+1}),$$

regardless of  $f_{\ell+1}$ . It follows that the map  $f_0 \mapsto x^{-\ell}yf^{(\ell)}$  is tangential and  $x^{-\ell}yf^{(\ell)}|_{\Sigma}$  is the obstruction to solving  $yf^{(\ell+1)} = O(x^{\ell+1})$ . Then by a simple induction this is seen to be a non-zero multiple of  $y^{\ell+1}f_0|_{\Sigma}$ :

## Proposition

*If  $\ell = h_0 - 2$  then the smooth extension is (in general) obstructed by  $P_{\ell+1}f_0|_{\Sigma}$ , where  $P_{\ell+1} = (-\frac{1}{l^2}I \cdot Df)^{\ell+1}$  is the tangential operator on densities of weight  $w_0$  given by Theorem 27.*

If  $\ell = h_0 - 2$  then the extension can be continued with **log terms**. If  $\bar{M}$  is almost Einstein to sufficiently high order then:

- the **odd order**  $P_{\ell+1}$  **vanish identically**; and
- the **even order**  $P_{\ell+1}$  are the **GJMS operators** on  $(\partial M_+, \bar{c})$ .

# (Formal) solutions of the second kind

Now we consider the more general type of solution:

## Problem

Given  $\bar{f}_0|_{\Sigma} \in \Gamma\mathcal{E}^{\Phi}[w_0 - \alpha]|_{\Sigma}$  and an arbitrary extension  $\bar{f}_0$  of this to  $\Gamma\mathcal{E}^{\Phi}[w_0 - \alpha]$  over  $\bar{M}$ , find  $\bar{f}_i \in \mathcal{E}^{\Phi}[w_0 - \alpha - i]$  (over  $\bar{M}$ ),  $i = 1, 2, \dots$ , so that

$$\bar{f} := \sigma^{\alpha}(\bar{f}_0 + \sigma \bar{f}_1 + \sigma^2 \bar{f}_2 + \dots + O(\sigma^{\ell+1})) \quad (5)$$

solves  $I \cdot D\bar{f} = O(\sigma^{\ell+\alpha})$ , off  $\partial M_+$ , for  $\ell \in \mathbb{N} \cup \infty$  as high as possible.

Now  $\alpha$ , if not integral, this Problem takes us outside the realm of the universal enveloping algebra  $\mathcal{U}(\mathfrak{g})$  and its modules. But it is straightforward to show that for any  $\alpha \in \mathbb{R}$ :

$$[x^{\alpha}, y] = x^{\alpha-1} \alpha(h + \alpha - 1). \quad (6)$$

It follows immediately from (6) that  $I \cdot D\bar{f} = 0$  has:

- no solution if  $\alpha \notin \{0, h_0 - 1\}$ , where  $h\bar{f} = h_0\bar{f}$ ; and
- if  $\alpha = h_0 - 1$  and  $\bar{f} = \sigma^\alpha f$  then

$$I \cdot D\bar{f} = \sigma^\alpha I \cdot Df$$

So  $\bar{f}$  is a solution iff  $f$  is!

So in this way **second solutions** arise from **first** and vv.

For  $w_0 \notin \{\frac{k-n}{2} : k \in \mathbb{Z}_{\geq 1}\}$ , and writing  $F = f$ ,  $G = \sigma^{-\alpha}\bar{f}$  we can combine these to a general solution

$$F + \sigma^{h_0-1}G = F + \sigma^{n+2w_0}G$$

or, trivialising the densities on  $M_+$  using the generalised scale  $\sigma$ :

$$f = \sigma^{n-s}F + \sigma^sG = \sigma^{-w_0}(F + \sigma^{h_0-1}G)$$

where  $s := w_0 + n$ . Which is the form of solution used in the scattering theory (of Graham-Zworski, Mazzeo-Melrose, ...). The  $\mathfrak{sl}(2)$  approach above solves the asymptotics of  $F$  and  $G$ .

# Part VI: The Loewner-Nirenberg problem and higher Willmore

The Poincaré-Einstein construction is a tool for studying a conformal manifold  $(\Sigma, \bar{\mathbf{c}})$  **holographically**. That is for obtaining the invariants and invariant operators of  $(\Sigma, \bar{\mathbf{c}})$  in terms (pseudo-)Riemannian objects on the manifold  $M_+$  of 1 greater dimension that has  $\Sigma = \partial M_+$ .

**Conversely** the scattering theory of  $(M, g_+)$  can be understood in terms of non-local conformal operators on the boundary  $(\Sigma, \bar{\mathbf{c}})$ .

**But** requiring  $g_+$  to be Einstein (even asymp. near  $\partial M_+$ ) is **highly restrictive**. It means that the conformal manifold with boundary  $(\bar{M}, \mathbf{c})$  has  $\Sigma = \partial M_+$  totally umbilic, Fialkow vanishes, etcetera.

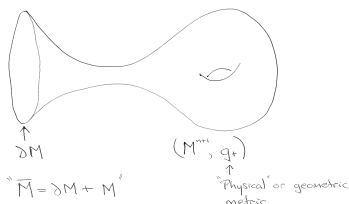
Here we seek to set up the analogous program for  $(\bar{M}, \mathbf{c})$  a **general manifold with boundary**.

Thus, given  $(\bar{M} = M_+ \cup \partial M_+, \mathbf{c})$  we **need a way to determine a distinguished metric**  $g_+ \in \mathbf{c}|_{M_+}$  on  $M_+$  so that  $(M_+, g_+)$  is conformally compact.

# Generalising Poincaré $\rightsquigarrow$ A singular Yamabe problem

Recall a **conformal compactification** of a complete Riemannian manifold  $(M^{n+1}, g_+)$  is a manifold  $\bar{M}$  with boundary  $\partial M$  s.t.:

- $\exists \bar{g}$  on  $\bar{M}$ , with  $g_+ = r^{-2}\bar{g}$ , where
- $r$  a **defining function** for  $\partial M$ :  $\partial M = \mathcal{Z}(r)$  &  $dr_p \neq 0 \forall p \in \partial M$ .



$\Rightarrow$  canonically a conformal structure on boundary:  $(\partial M, [\bar{g}|_{\partial M}])$ .

**Question/variant:** Given  $\bar{g}$  (or really  $\mathbf{c} = [\bar{g}]$ ) can we find a defining function  $r \in C^\infty(\bar{M})$  for  $\Sigma = \partial M$  s.t.

$Sc(r^{-2}\bar{g}) = -n(n+1)$ ? **NB:** This satisfied for Poincaré-Einstein

cf. Loewner-Nirenberg, Aviles and McOwen – related interior problems.



# The obstruction density of ACF

Can we solve  $\text{Sc}(r^{-2}\bar{g}) = -n(n+1)$ ? formally (i.e. power series) along the boundary? **Answer: No** - in general can get:

Theorem (Andersson, Chruściel, & Friedrich)

$$\text{Sc}(r^{-2}\bar{g}) = -n(n+1) + r^{n+1}\mathcal{B}_n.$$

Furthermore (they show)

$$\mathcal{B}_2 = \delta \cdot \delta \cdot \dot{L} + \text{lower order}$$

is a conformal invariant of  $\Sigma^2 = \partial M$ .

**Theorem.**[G. + Waldron] For  $n \geq 2$   $\mathcal{B}_n$  is a conformal invariant of  $\Sigma = \partial M$ , and  $\mathcal{B}_2 = \mathbf{Willmore Invariant} = \overline{\Delta} + \text{lower order!}$

• For  $n$  even the invariant  $\mathcal{B}_n$  is **higher order analogue** of  $\mathcal{B}_2 = \mathcal{B}$ .

**NB.** The existence of such a higher analogue was not previously obvious as the weight and leading order of  $\mathcal{B}_n$  means standard tractor/ambient metric approaches fail.

# Recasting the problem and holography

Recall the constant scalar curvature condition in terms of scale. A conformal manifold has a canonical conformal metric  $g \in S^2 T^* M[2]$ . A metric  $g_+ \in \mathbf{c}$  is equivalent to a **scale**:

$$g_+ = \sigma^{-2} g \quad \Leftrightarrow \quad \sigma \in \Gamma(\mathcal{E}_+[1]).$$

Via the Thomas-D operator  $\bar{D} = \frac{1}{n+1} D$  the scale is equivalent to the

$$\text{scale tractor } I_A := \bar{D}_A \sigma, \quad \text{and}$$

## Lemma

$$\text{Sc}(g_+) = -n(n+1) \Leftrightarrow I^2 := h(I, I) = 1$$

So we come to a “conformal Eikonal equation”  $(\bar{D}_A \sigma)(\bar{D}^A \sigma) = 1$ , where  $\sigma$  a **defining density** for  $\Sigma$ . **NB:**

- If  $\Sigma \hookrightarrow (M, \mathbf{c})$  **determines**  $g \in \mathbf{c}$ .  
Then invariants of conf. compact  $(M, g_+)$  would be invariants of  $\Sigma$ .

# The conformal Eikonal equation

Thus to solve the singular Yamabe problem formally we come to the following **non-linear** problem:

**Problem:** For a conformal manifold  $(M, \mathbf{c})$  and an embedding  $\iota : \Sigma \rightarrow M$  solve

$$I_A I^A = (\bar{D}_A \sigma)(\bar{D}^A \sigma) = 1 + O(\sigma^\ell)$$

for  $\ell$  as high as possible, and  $\sigma$  a  $\Sigma$  defining density.

A key observation is that the **linearisation** of  $I^A I_A = 1$  is  $I^A D_A \dot{\sigma} = 0$  – the  $I \cdot D$  problem on  $\mathcal{E}[1]$ . Thus  $\exists$  hope that the  $\mathfrak{sl}(2)$  generated by  $x := \sigma$ ,  $y := -\frac{1}{I^2} I^A D_A$  will again be useful.

Recall from the standard  $\mathfrak{sl}(2)$  identities we have

$$[I \cdot D, \sigma^{k+1}] = I^2 \sigma^k (k+1)(n+k+1+2\mathbf{w}),$$

and this allows an inductive solution (using also **other tractor identities**) that mimics the linear case!

## Lemma

Suppose that  $\sigma \in \Gamma(\mathcal{E}[1])$  defines  $\Sigma = \partial M_+$  in  $(\bar{M}, \mathbf{c})$  and

$$l_\sigma^2 = 1 + \sigma^k A_k \quad \text{where } A_k \in \Gamma(\mathcal{E}[-k])$$

is smooth on  $M$ , and  $k \geq 1$ , then

- if  $k \neq (n+1)$  then  $\exists f_k \in \Gamma(\mathcal{E}[-k])$  s.t.  $\sigma' := \sigma + \sigma^{k+1} f_k$  satisfies  $l_{\sigma'}^2 = 1 + \sigma^{k+1} A_{k+1}$ , where  $A_{k+1}$  smooth;
- if  $k = (n+1)$  then:  $l_{\sigma'}^2 = l_\sigma^2 + O(\sigma^{n+2})$ .

## Proof.

Squaring with the tractor metric, using the  $\mathfrak{sl}(2)$ , etc

$$\begin{aligned}(\bar{D}\sigma')^2 &= (\bar{D}\sigma + \bar{D}(\sigma^{k+1} f_k))^2 \\ &= l_\sigma^2 + \frac{2}{n+1} l_\sigma \cdot D(\sigma^{k+1} f_k) + (\bar{D}(\sigma^{k+1} f_k))^2 \\ &= 1 + \sigma^k A_k + \frac{2\sigma^k}{n+1} (k+1)(n+1-k) f_k + O(\sigma^{k+1}).\end{aligned}$$



# The distinguished defining density

This applies formally off any hypersurface in a Riemannian conformal manifold  $(M, \mathbf{c})$  (and even more generally) so we have:

Theorem (G.-, Waldron arXiv:1506.02723)

For  $\Sigma^n$  embedded in  $(M^{n+1}, \mathbf{c})$  there is a distinguished defining density  $\bar{\sigma}$ , **unique** modulo  $+O(\sigma^{n+2})$ , s.t.

$$I_{\bar{\sigma}}^2 = 1 + \bar{\sigma}^{n+1} \mathcal{B}_{\bar{\sigma}}.$$

Moreover:

$$\mathcal{B} := \mathcal{B}_{\bar{\sigma}}|_{\Sigma} \in \Gamma(\mathcal{E}_{\Sigma}[-n-1])$$

is determined by  $(M, \mathbf{c}, \Sigma)$  and is a **natural conformal invariant**.

For  $n$  even  $\mathcal{B} = 0$  generalises the Willmore equation in that:

$$\mathcal{B} = \bar{\Delta}^{\frac{n}{2}} H + \text{lower order terms};$$

while for  $n$  odd  $\mathcal{B}$  has no linear leading term.

# All submanifold invariants via holography?

The construction can be used to obtain other submanifold invariants: Our Theorem above shows that:

$$(M, \mathbf{c}, \Sigma) \text{ determines } \bar{\sigma} \text{ modulo } + O(\sigma^{n+2}).$$

Suppose that  $\mathcal{I}$  is any coupled conformal invariant of  $(M, \mathbf{c}, \bar{\sigma})$  involving only the jet  $j^{n+1}\bar{\sigma}$ . Then along  $\Sigma$

$$\boxed{\mathcal{I}|_{\Sigma} \text{ is a conformal invariant of } (M, \mathbf{c}, \Sigma).}$$

This **holographic** approach fails at order  $n + 2$  precisely because of the existence of the **obstruction invariant**  $\mathcal{B}$ . This is precisely an analogue of the use Fefferman-Graham's Poincaré and ambient metric constructions to find conformal invariants – that fails at order  $n + 1$  because of **Bach**  $B_{ab}$  in dimension 4 and the **Fefferman-Graham obstruction tensor** in higher even dimensions.

# Extrinsically coupled GJMS operators

Recall on any almost Riemannian manifold  $(M, c, I)$  we had:

## Theorem

Let  $\mathcal{E}^\Phi$  be any tractor bundle and  $k \in \mathbb{Z}_{\geq 1}$ . Then, for each  $k \in \mathbb{Z}_{\geq 1}$ , along  $\Sigma = \mathcal{Z}(\sigma)$

$$P_k^\sigma : \mathcal{E}^\Phi\left[\frac{k-n}{2}\right] \rightarrow \mathcal{E}^\Phi\left[\frac{-k-n}{2}\right] \quad \text{given by} \quad P_k^\sigma := \left(-\frac{1}{I^2}I \cdot D\right)^k$$

is a tangential differential operator, and so determines a canonical differential operator  $P_k^\sigma : \mathcal{E}^\Phi\left[\frac{k-n}{2}\right]|_\Sigma \rightarrow \mathcal{E}^\Phi\left[\frac{-k-n}{2}\right]|_\Sigma$ . For  $k$  even this takes the form

$$P_k = \bar{\Delta}^k + \text{lower order terms.}$$

Because  $(M, \mathbf{c}, \Sigma)$  determines  $\bar{\sigma}$  modulo  $+O(\sigma^{n+2})$ , we have:

## Theorem

For  $k \leq n = d - 1$  the operators  $P_k$  are determined canonically by the data  $(M, \mathbf{c}, \Sigma)$ .

# Higher Willmore energies

For suitable regularisations  $\overline{M}_\epsilon$  of conformally compact manifolds  $\overline{M}$ :

$$\text{Vol}_\epsilon = \int_{\overline{M}_\epsilon} \sqrt{g_+} = \frac{v_n}{\epsilon^n} + \cdots + \frac{v_1}{\epsilon} + \mathcal{A} \log \epsilon + V_{ren} + O(\epsilon).$$

Theorem (Graham 2016: arXiv:1606.00069)

If  $g_+ = \bar{\sigma}^{-2} \mathbf{g}$ , i.e. it is the approximate solution of the sing. Yamabe problem then  $\mathcal{A}$  a conformal invariant of  $\Sigma \hookrightarrow M$  and

$$\frac{\delta \mathcal{A}}{\delta \Sigma} = \frac{d(d-2)}{2} \mathcal{B}_n$$

So the anomaly term in the renormalised volume expansion provides an **energy** with **functional gradient the obstruction density**, in other words an energy generalising the Willmore energy.



# Extrinsic $Q$ -curvature and the anomaly

In fact – also in analogy with the treatment of Poincaré-Einstein manifolds – there is nice local quantity giving the anomaly:

Theorem (G.- Waldron arXiv:1603.07367)

$$\mathcal{A} = \frac{1}{(d-1)!(d-2)!} \int_{\Sigma} Q$$

where, with  $\tau \in \Gamma \mathcal{E}_+[1]$  a scale giving the boundary metric,  $Q := (-I \cdot D)^n \log \tau$ .

- $Q$  here is an **extrinically coupled  $Q$ -curvature** meaning e.g.

$$Q^{\widehat{g}_{\Sigma}} = e^{-nf} (Q^g + P_n f) \quad \text{where} \quad \widehat{g}_{\Sigma} = e^{2f} g_{\Sigma}$$

and for  $n$  even

$$P_n = \Delta_{\Sigma}^{\frac{n}{2}} + \text{lower order terms}; \quad P_n \text{ FSA, and } P_n 1 = 0,$$

is an **extrinically coupled GJMS** type operator.  $Q$  and  $P_n$  are from G.-, Waldron arXiv:1104.2991 = Indiana U.M.J. 2014.

# Idea of proof

Use a Heaviside function  $\theta$  to “cut off” an integral over all  $\overline{M}$

$$\text{Vol}_\epsilon = \int_{\overline{M}} \frac{dV^{g_\tau}}{\sigma^d} \theta\left(\frac{\sigma}{\tau} - \epsilon\right).$$

Then the divergent terms and anomaly are given by

$$v_k \sim \frac{d^{d-1-k}}{d\epsilon^{d-1-k}} \left( \epsilon^d \frac{d}{d\epsilon} \text{Vol}_\epsilon \right) \Big|_{\epsilon=0},$$

So

$$v_k \sim \int_{\overline{M}} \frac{\delta^{d-1-k}(\sigma)}{\tau^k} \quad \text{and} \quad \mathcal{A} \sim \int_{\overline{M}} \delta^{d-2}(\sigma) I \cdot D \log \tau$$

Then via identities, and the  $sl(2)$  again

$$v_k \sim \int_{\Sigma} \frac{1}{\tau^k} \quad \text{and} \quad \mathcal{A} \sim \int_{\Sigma} (I \cdot D)^{d-1} \log \tau$$







