

θ -functions, dual pairs, $H^*(\Gamma \backslash G/K)$

$\theta(\tau) = \sum_{n \in \mathbb{Z}} e^{\pi i n^2 \tau}$

$\tau \in \mathcal{H} = \{\tau \in \mathbb{C} \mid \text{Im}(\tau) > 0\} \simeq \text{SL}_2(\mathbb{R})/\text{SO}(2)$

matrix version: on $G/K = \tilde{G}/\tilde{K}$,
 $1 \rightarrow \{\pm 1\} \rightarrow \tilde{G} \xrightarrow{\pi} G \rightarrow 1$

$G = \text{Sp}(2n, \mathbb{R})$
 $K = \text{U}(n)$

dual pairs in G and \tilde{G} :

(1) $V_1, \langle \cdot, \cdot \rangle$ orthogonal } $\Rightarrow V \otimes W$
 $W_1, \langle \cdot, \cdot \rangle$ symplectic } symplectic

$\text{O}(V) \times \text{Sp}(W) \rightleftharpoons \text{Sp}(V \otimes W)$
 mutual centralisers

(2) $V_1, \langle \cdot, \cdot \rangle$ hermitian } $\Rightarrow V \otimes W$
 $W_1, \langle \cdot, \cdot \rangle$ skew-hermitian } symplectic

$\pi^{-1}(\text{O}(V)) \times \pi^{-1}(\text{Sp}(W)) \rightleftharpoons \text{Sp}(V \otimes W)$
 $\text{U}(V) \times \text{U}(W) \rightleftharpoons \text{Sp}(V \otimes W)$
 $\pi^{-1}(\text{U}(V)) \times \pi^{-1}(\text{U}(W)) \rightleftharpoons \text{Sp}(V \otimes W)$

symmetric spaces: $G = \text{O}(V) = \text{O}(p, q) \supset K = \text{O}(p) \times \text{O}(q)$

$G' = \text{Sp}(2n, \mathbb{R}) \supset K' = \text{U}(n)$

$G/K \times \tilde{G}'/\tilde{K}' \xrightarrow{\text{max. cpt.}} \tilde{\text{Sp}}(V \otimes W)/(\text{max. cpt.}) = \tilde{G}/\tilde{K}$

θ -correspondence: general θ -fns on \mathcal{H} give rise to

integral operators

$\Gamma(G/K, \mathcal{L}) \rightarrow \Gamma(\tilde{G}'/\tilde{K}', \mathcal{L}')$
 bundles \mathcal{L} \mathcal{L}'

$\Gamma \subset G$

$\Gamma' \subset G'$

Γ -invariant sections

$\tilde{\Gamma}'$ -invariant sections

arithmetic subgroups

automorphic forms

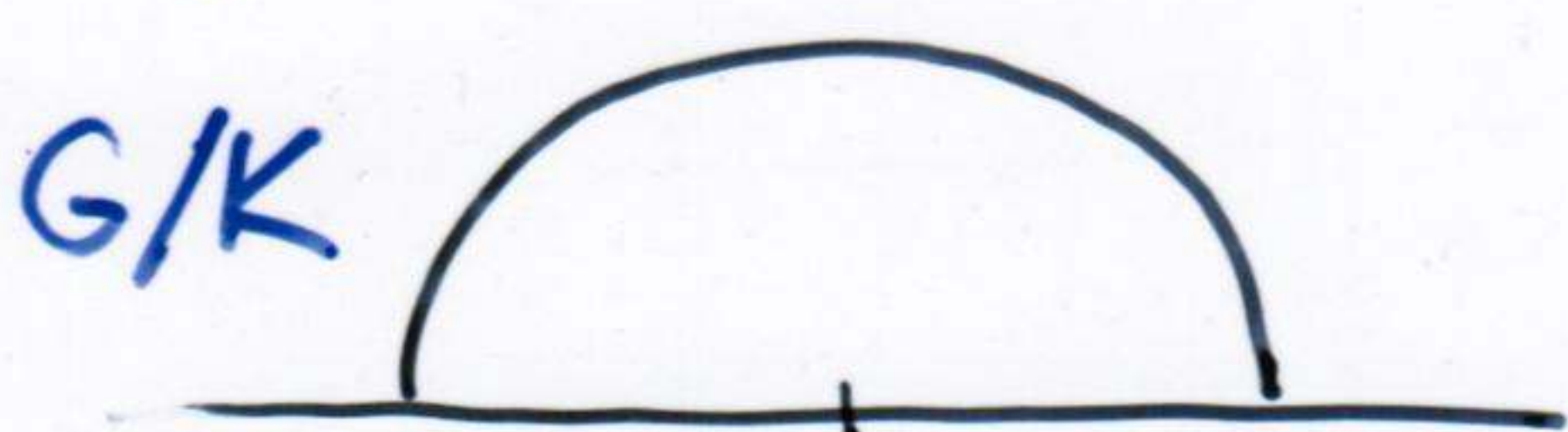
Kudla - Millson: constructed natural closed differential forms on G/K $\xrightarrow{\theta\text{-corr.}}$ automorphic forms on \tilde{G}'/\tilde{K}' with values in $H^*(\Gamma \backslash G/K)$ whose

Fourier coefficients are cohomology classes of (comb. of subvarieties)

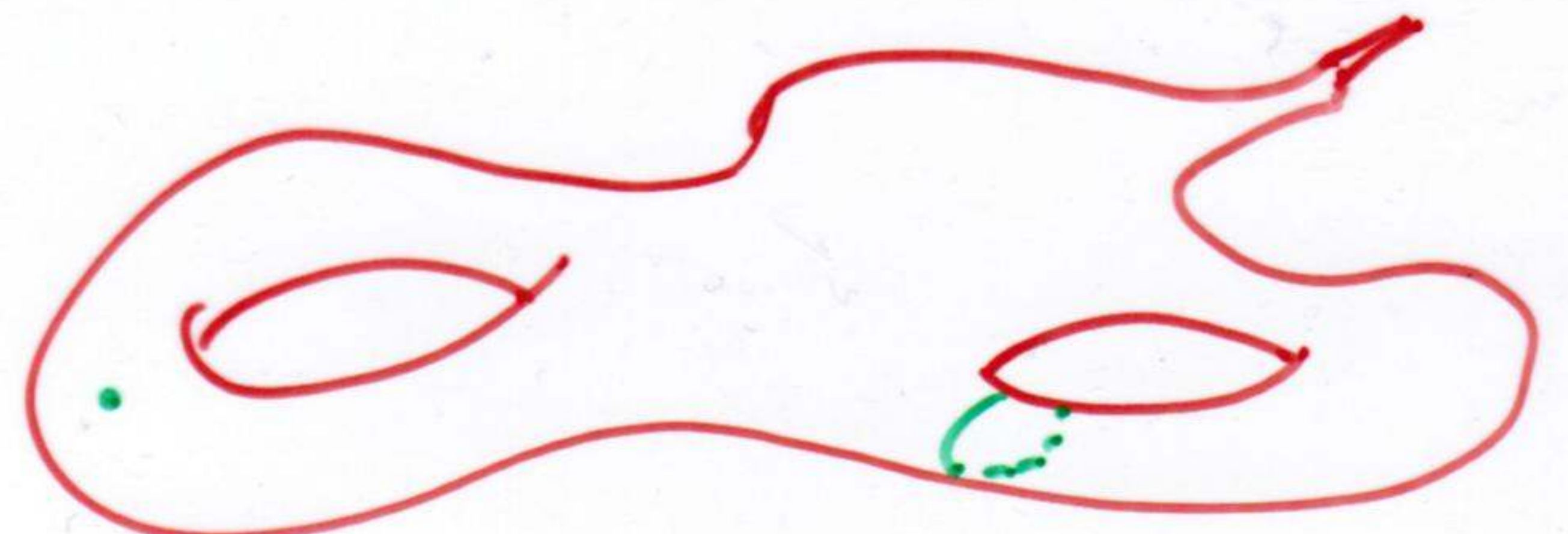
$\Gamma_H \backslash H/K_H \hookrightarrow \Gamma \backslash G/K$, $H = \text{O}(p', q) \subset \text{O}(p, q) \subset G$
 $\Gamma_H = H \cap \Gamma$, $K_H = H \cap K$.

Ex: $\mathcal{H} = \text{SL}_2(\mathbb{R})/\text{SO}(2) \simeq \text{SO}_0(1, 2)/\text{SO}(2) \simeq \text{O}(1, 2)/\text{O}(1) \times \text{O}(1)$

image of $\text{O}(0, 2) = \text{point}$, of $\text{O}(1, 1) = \text{geodesic}$



$\Gamma \backslash G/K$



Metaplectic group, oscillator (= Weil) representation

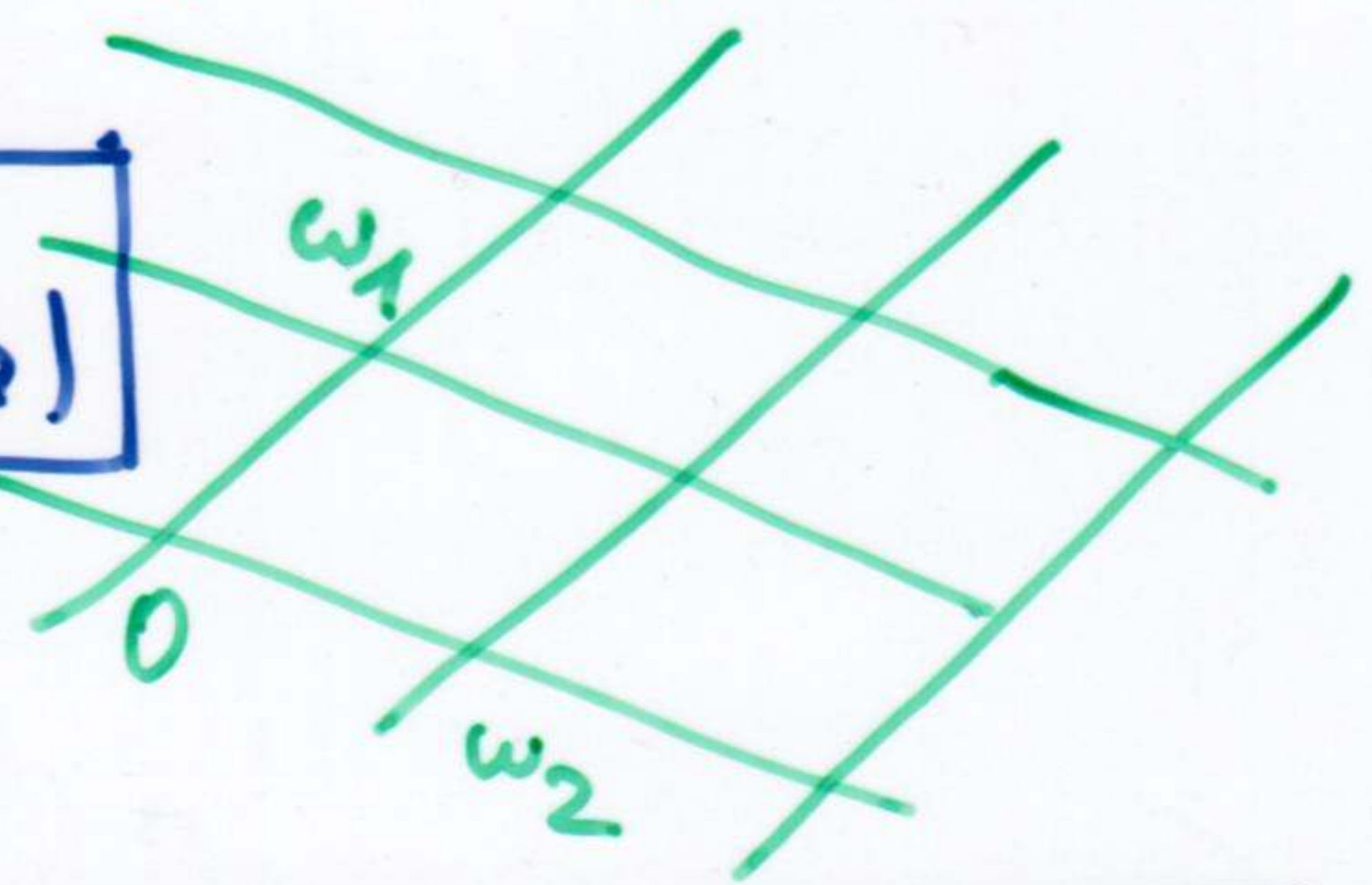
$$\theta(z, \tau) = \sum_{n \in \mathbb{Z}} e^{\pi i n^2 \tau + 2\pi i n z}$$

$$(\tau \in \mathcal{H}, z \in \mathbb{C})$$

$$\theta(z+1, \tau) = \theta(z, \tau), \quad \theta(z+\tau, \tau) = e^{-2\pi i (z + \frac{\tau}{2})} \theta(z, \tau)$$

Def. A θ -function w.r.t. lattice $L = \mathbb{Z}w_1 + \mathbb{Z}w_2 \subset \mathbb{C}$ is a holomorphic $f: \mathbb{C} \rightarrow \mathbb{C}$ s.t.

$$\forall u \in L \exists \begin{pmatrix} a(u) \\ b(u) \end{pmatrix} \in \mathbb{C}^2 \forall z \in \mathbb{C} \quad \boxed{f(z+u) = e^{\frac{a(u)z + b(u)}{f(z)}}$$



Note: $f \in \Gamma(\mathbb{C}/L, \mathcal{O}(\mathcal{L}))$
 hol. sections line bundle

Transformation rules in z (τ and $L = \mathbb{Z}\tau + \mathbb{Z}$ fixed):
 action of the Heisenberg group (H. Weyl) - combines translations and multiplication by e^{az+b} .

Transformation rules in τ ($\mathbb{Z}\tau + \mathbb{Z}$ "fixed", basis changed)

$$\theta(\tau) := \theta(0, \tau) = \sum_{n \in \mathbb{Z}} e^{\pi i n^2 \tau}$$

$$\boxed{\theta(\tau+2) = \theta(\tau), \quad \theta(-\frac{1}{\tau}) = \sqrt{\frac{\tau}{i}} \theta(\tau)}$$

$\underbrace{\quad}_{=1} \text{ at } \tau=i$

$$\forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}), \quad 2 \nmid ab, cd \iff$$

$$\theta\left(\frac{a\tau+b}{c\tau+d}\right) = \zeta \sqrt{\frac{c\tau+d}{i}} \theta(\tau) \quad \forall \tau \in \mathcal{H}$$

branch of $\sqrt{\quad}$, $\zeta^8 = 1$.

Action of the metaplectic group $\widetilde{SL}_2(\mathbb{R})$ (A. Weil) - two-fold cover of $SL_2(\mathbb{R})$.

Action of the semi-direct product (Heisenberg) $\times \widetilde{SL}_2(\mathbb{R})$.

Key point: rigidity of unitary representations of (Heis) \implies existence of $\widetilde{SL}_2(\mathbb{R})$, of θ , properties of θ ...

Lie algebra representation (on $e^{ix}(\mathbb{R})$): x coordinate on \mathbb{R}

$$\text{Lie}(\text{Heis}) \xrightarrow{\sim} \text{span}_{\mathbb{R}}(i, ix, \frac{d}{dx})$$

$$\text{Lie}(\widetilde{SL}_2) = \text{Lie}(SL_2) \xrightarrow{\sim} \text{span}_{\mathbb{R}}\left(\frac{ix^2}{2}, \frac{i}{2} \left(\frac{d}{dx}\right)^2, \frac{1}{2} \left(x \frac{d}{dx} + \frac{d}{dx} x\right)\right)$$

$$[X, Y] = H$$

$$[H, X] = 2X$$

$$[H, Y] = -2Y$$

$$X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Fourier, Poisson and θ : fix $\psi: (\mathbb{R}, +) \rightarrow U(1) = \{z \in \mathbb{C} \mid |z|=1\}$

(1) $\mathbb{Z} \subset \mathbb{R}$ is ψ -selfdual: $\mathbb{Z} = \{x \in \mathbb{R} \mid \psi(x\mathbb{Z}) = 1\}$ $\psi(x) = e^{2\pi i x}$

(2) Fourier transform $(\mathcal{F}f)(x) = \int \psi(xy) f(y) dy$ is self-dual:
 $\mathcal{F} \circ \mathcal{F} = [-1]$, $([r]f)(x) = f(rx)$ $\mathcal{F} \circ [r] = |r|^{-1} [r^{-1}] \circ \mathcal{F}$
 $0 \neq r \in \mathbb{R}$

(3) The gaussian $\varphi_0(x) = e^{-\pi x^2}$, $\mathcal{F}\varphi_0 = \varphi_0$

(4) Poisson formula: $\sum_{n \in \mathbb{Z}} f(n) = \sum_{n \in \mathbb{Z}} (\mathcal{F}f)(n)$ (f rapidly decreasing)

Back to $\theta(-\frac{1}{z})$: for $y \in \mathbb{R}_{>0}$ apply (4) to $f = [y^{1/2}] \varphi_0$
 $\theta(iy) = \sum_{n \in \mathbb{Z}} ([y^{1/2}] \varphi_0)(n) = y^{-1/2} \sum_{n \in \mathbb{Z}} ([y^{-1/2}] \varphi_0)(n) = y^{-1/2} \theta(-\frac{1}{iy})$ $e^{-\pi y x^2}$

$f(z) := \theta(z) - (\frac{z}{i})^{-1/2} \theta(-\frac{1}{z})$ holomorphic in \mathcal{H} , $f|_{i\mathbb{R}_{>0}} = 0 \Rightarrow f=0$.

Why oscillator representation?

classical mechanics (in dim=1): space = $\mathbb{R} \xrightarrow{V} \mathbb{R}$ potential energy
 kinetic energy $T = \frac{p^2}{2m}$ $x \mapsto v(x)$
 $H = T + V = \frac{p^2}{2m} + V(x)$ $x = \text{coordinate}, p = \text{momentum}, m = \text{mass}$

quantum mechanics: operators $p \rightsquigarrow \hat{p} = \frac{\hbar}{i} \frac{d}{dx}$ $\hbar = \frac{h}{2\pi}$
 $H \rightsquigarrow \hat{H} = \frac{\hat{p}^2}{2m} + V(x) = -\frac{\hbar^2}{2m} \left(\frac{d}{dx}\right)^2 + V(x)$

Schrödinger equation: $\hat{H}\psi = -\frac{\hbar}{i} \frac{\partial \psi}{\partial t}$ $\psi = \psi(x,t)$
 try $\psi(x,t) = e^{-\frac{iE}{\hbar}t} \varphi(x)$ $\hat{H}\varphi = E\varphi$

Harmonic oscillator: $V(x) = \frac{ax^2}{2}$ ($a > 0$)
 $\left(\frac{\hbar^2}{2m} \left(\frac{1}{2\pi i} \frac{d}{dx}\right)^2 + \frac{a}{2} x^2\right) \varphi(x) = E \varphi(x)$

Heisenberg Lie algebra: $\mathbb{R} \cdot P + \mathbb{R} \cdot Q + \mathbb{R} \cdot E$, $[P, Q] = E$, $[E, \cdot] = 0$
want: representations s.t. $E \mapsto (2\pi i)1$

Standard ("Schrödinger") representation:
 $P \mapsto \hat{P} = \frac{d}{dx}$, $Q \mapsto \hat{Q} = 2\pi i x$, $E \mapsto \hat{E} = 2\pi i$

$(\exp(t\hat{P})f)(x) = f(x+t)$, $(\exp(t\hat{Q})f)(x) = e^{2\pi i t x} f(x)$
 $(\exp(t\hat{E})f)(x) = e^{2\pi i t} f(x)$ unitary operators on $L^2(\mathbb{R})$
 $\|f\|^2 = \int |f|^2 dx$

Action on the gaussian $\psi = e^{-\pi x^2}$: $\hat{P} = \frac{d}{dx}$, $\hat{Q} = 2\pi x$

$$\hat{P}\psi_0 = -2\pi x\psi_0 = i\hat{Q}\psi_0 \Rightarrow (\hat{P} - i\hat{Q})\psi_0 = 0$$

$$(\hat{P} - i\hat{Q})\psi_0 = 0$$

$$A_-\psi_0 = 0$$

$$A_- = \hat{P} - i\hat{Q} = \frac{d}{dx} + 2\pi x$$

$$A_+ = \hat{P} + i\hat{Q} = \frac{d}{dx} - 2\pi x$$

$$[A_+, A_-] = 4\pi \cdot 1$$

Standard action of $sl(2)$

on $\mathbb{R}A_+ + \mathbb{R}A_-$:

$$[H, A_\pm] = \pm A_\pm, [X, A_-] = A_+, [Y, A_+] = A_-$$

$$[X, A_+] = 0, [Y, A_-] = 0$$

Representation of $sl(2)$:

$$X \mapsto \frac{1}{8\pi} A_+^2$$

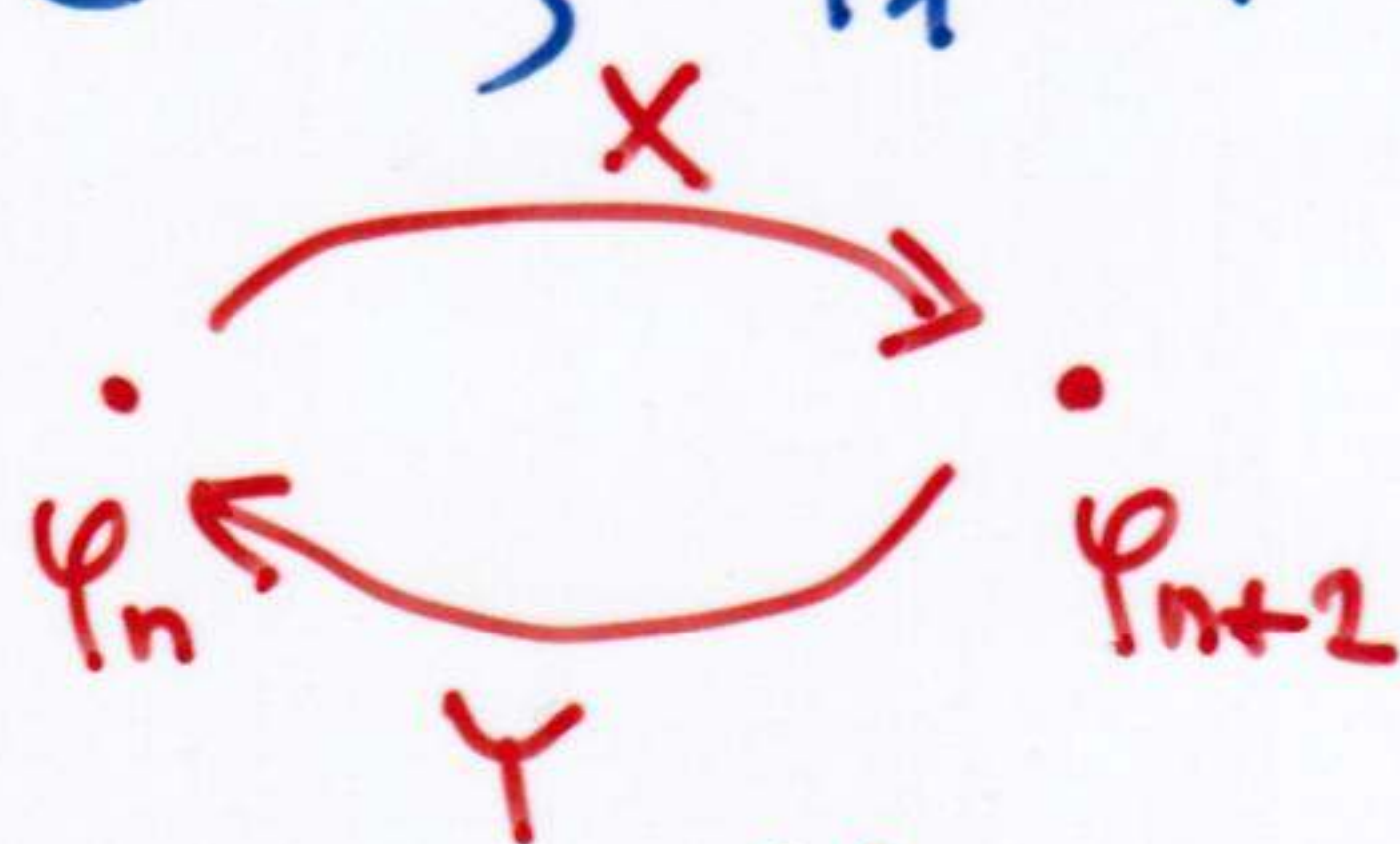
$$H \mapsto -\frac{1}{8\pi} (A_+ A_- + A_- A_+) =$$

$$Y \mapsto -\frac{1}{8\pi} A_-^2$$

$$= \frac{1}{2} - \frac{1}{4\pi} A_+ A_- = \hat{H}$$

Def: $\psi_n = A_+^n \psi_0$ ($n \geq 0$)

$$\psi_0 = e^{-\pi x^2}, \psi_1 = (-4\pi x) e^{-\pi x^2}$$



(up to a mult. const. $\neq 0$)

Formula for ψ_n : $A_+ = e^{\pi x^2} \circ \frac{d}{dx} \circ e^{-\pi x^2}$

$$\psi_n = (e^{\pi x^2} \circ (\frac{d}{dx})^n \circ e^{-\pi x^2})(e^{-\pi x^2}) = f_n(x) e^{-\pi x^2}$$

$$f_n(x) = e^{2\pi x^2} (\frac{d}{dx})^n (e^{-2\pi x^2}) = (-1)^n (2\pi)^{n/2} H_n(x\sqrt{2\pi})$$

Hermite polynomials: $H_n(t) = (-1)^n e^{t^2} (\frac{d}{dt})^n (e^{-t^2})$

Fourier transform on $L^2(\mathbb{R})$:

$$\mathcal{F} \circ \mathcal{F} = [-1], (\mathcal{F} \circ \mathcal{F})f(x) = f(-x)$$

$$(\mathcal{F}f)(x) = \int_{\mathbb{R}} e^{2\pi ixy} f(y) dy$$

$$\hat{P} \circ \mathcal{F} = \mathcal{F} \circ \hat{Q}$$

$$\hat{P} \circ [-1] = -[-1] \circ \hat{P}$$

$$\hat{Q} \circ [-1] = -[-1] \circ \hat{Q}$$

$$\Rightarrow \mathcal{F} \circ \hat{P} = -\hat{Q} \circ \mathcal{F}$$

$$\mathcal{F} \circ A_\pm = \pm i A_\pm \circ \mathcal{F}$$

$$\mathcal{F}\psi_0 = \psi_0 \Rightarrow \mathcal{F}\psi_n = \mathcal{F}A_+^n \psi_0 = i^n \mathcal{F}\psi_0 = i^n \psi_n \quad (\forall n \geq 0)$$

$$H\psi_n = (n + \frac{1}{2})\psi_n \Rightarrow e^{\pi i H/2} \psi_n = i^n e^{\pi i/4} \psi_n = e^{\pi i/4} \mathcal{F}\psi_n$$

$$\mathcal{F} = e^{-\pi i/4} e^{\pi i H/2}$$

Next time: given $u: \mathbb{Z} \rightarrow \mathbb{Z}/N\mathbb{Z} \rightarrow \mathbb{C}$

$$\theta_{1/2, u}(\tau) = \sum_{n \in \mathbb{Z}} u(n) e^{\pi i n^2 \tau}, \quad u(-n) = u(n)$$

$$\theta_{3/2, u}(\tau) = \sum_{n \in \mathbb{Z}} u(n) n e^{\pi i n^2 \tau}, \quad u(-n) = -u(n)$$

$$\forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$$

$$\equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \pmod{2N^2}$$

$$\theta_{\lambda, u}(\frac{a\tau+b}{c\tau+d}) = (\text{const.}) (c\tau+d)^{-\lambda} \theta_{\lambda, u}(\tau)$$

Question: $\lambda = \frac{5}{2}, \dots$??

Intertwining operators $P = \frac{d}{dx}, Q = 2\pi i x$

$$\begin{pmatrix} \hat{P} \\ \hat{Q} \end{pmatrix} \circ \mathcal{F} = \mathcal{F} \circ \begin{pmatrix} \hat{Q} \\ -\hat{P} \end{pmatrix} = \mathcal{F} \circ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \hat{P} \\ \hat{Q} \end{pmatrix} \iff \boxed{\mathcal{F}^{-1} \circ \begin{pmatrix} \hat{P} \\ \hat{Q} \end{pmatrix} \circ \mathcal{F} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \hat{P} \\ \hat{Q} \end{pmatrix}}$$

Any $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbb{R})$: $[a\hat{P} + b\hat{Q}, c\hat{P} + d\hat{Q}] = (ad - bc) \underbrace{[\hat{P}, \hat{Q}]}_{2\pi i}$

$\forall g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{R}) \quad \begin{pmatrix} P \\ Q \end{pmatrix} \mapsto \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \hat{P} \\ \hat{Q} \end{pmatrix}$
 $E \mapsto (2\pi i)1$ representation of the Heisenberg Lie algebra

Is it equivalent to the standard one $P \mapsto \hat{P}, Q \mapsto \hat{Q}$?

$\exists F_g \in U(\mathcal{H}) \iff \boxed{\begin{pmatrix} \hat{P} \\ \hat{Q} \end{pmatrix} \circ F_g = F_g \circ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \hat{P} \\ \hat{Q} \end{pmatrix}} ? \quad \mathcal{H} = L^2(\mathbb{R})$

(1) $g = \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix}$: $F_g = (\text{const}) \cdot [a]$: $[a] \circ \hat{Q} = a \hat{Q} \circ [a], \hat{P} \circ [a] = a [a] \circ \hat{P}$
 $F_g = |a|^{1/2} u_g [a], u_g \in U(1) \quad \| [a] f \|^2 = |a|^{-1} \| f \|^2$

(2) $g = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}$: $f \in C^\infty(\mathbb{R}) \quad \hat{Q} \circ f = f \circ \hat{Q}, \hat{P} \circ f = f \circ \hat{P} + f'$
 want: $f' = (2\pi i) x b f \implies f = (\text{const}) e^{\pi i b x^2}$ $\left. \begin{matrix} F_g = u_g e^{\pi i b x^2}, u_g \in U(1) \end{matrix} \right\}$

(3) $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, c \neq 0, ad - bc = 1$: $(F_g f)(x) = \int_{\mathbb{R}} K(x,y) f(y) dy$
 $((\hat{P} \circ F_g) f)(x) = \int_{\mathbb{R}} \frac{\partial K}{\partial x}(x,y) f(y) dy$ rapidly decreasing

$(F_g \circ \hat{P} f)(x) = \int_{\mathbb{R}} K(x,y) f'(y) dy = [K(x,y) f(y)]_{y=-\infty}^{y=+\infty} - \int_{\mathbb{R}} \frac{\partial K}{\partial y}(x,y) f(y) dy$

$\left. \begin{matrix} \frac{\partial K}{\partial x} = -a \frac{\partial K}{\partial y} + (2\pi i b) y K \\ 2\pi i x K = -c \frac{\partial K}{\partial y} + (2\pi i d) y K \end{matrix} \right\} \begin{matrix} \partial K / \partial y = 2\pi i \left(\frac{-x + dy}{c} \right) K \\ \partial K / \partial x = 2\pi i \left(\frac{ax - y}{c} \right) K \end{matrix}$

$K = (\text{const}) e^{\pi i \left(\frac{ax^2 - 2xy + dy^2}{c} \right)}, (\text{const}) = |c|^{-1/2} u_g, u_g \in U(1)$

The Operators $F_g \in U(\mathcal{H})$ are unique up to $U(1) \implies SL_2(\mathbb{R}) \longrightarrow U(\mathcal{H})/U(1) = PU(\mathcal{H})$ is a group homomorphism
 $g \longmapsto F_g \pmod{U(1)}$

does this lift? $\dashrightarrow U(\mathcal{H})$
 (to a group homomorphism)?

$\exists u_g \in U(1) \text{ s.t. } \forall g, g' \in SL_2(\mathbb{R}) \quad F_g F_{g'} = F_{gg'}$?
NO!

Projective representations and 2-cocycles

Given: $G \xrightarrow{r} \text{PGL}(V) = \text{GL}(V)/\mathbb{C}^* \cdot 1_V$, G group, V \mathbb{C} -v.space
 $r = \text{group homomorphism}$

Choose: $G \xrightarrow{\tilde{r}} \text{PGL}(V)$
 $\tilde{r} \searrow \text{GL}(V) \xrightarrow{\text{pr}} \text{PGL}(V)$
 $\tilde{r} = \text{map}$ s.t. $\text{pr} \circ \tilde{r} = r$

$\forall g_i \in G$
 $\tilde{r}(g_1) \tilde{r}(g_2) = c(g_1, g_2) \tilde{r}(g_1 g_2)$
 \uparrow
 \mathbb{C}^*

Associativity: $(\tilde{r}(g_1) \tilde{r}(g_2)) \tilde{r}(g_3) = \tilde{r}(g_1) (\tilde{r}(g_2) \tilde{r}(g_3))$

\Rightarrow
 $c(g_1, g_2) c(g_1 g_2, g_3) = c(g_1, g_2 g_3) c(g_2, g_3)$
 2-cocycle identity: $c \in Z^2(G, \mathbb{C}^*)$

Change of \tilde{r} : $\tilde{r} \rightsquigarrow \tilde{r}' = \tilde{r} \cdot d$, $d: G \rightarrow \mathbb{C}^*$ (map)

$c'(g_1, g_2) = c(g_1, g_2) \frac{d(g_1) d(g_2)}{d(g_1 g_2)}$

Assume: the values of c' lie in a subgroup $A \subset \mathbb{C}^*$

Def: group $\tilde{G} = \{(g, a) \mid g \in G, a \in A\}$

$(g_1, a_1)(g_2, a_2) = (g_1 g_2, a_1 a_2 c'(g_1, g_2))$

$$\begin{array}{ccccccc}
 1 & \longrightarrow & A & \xrightarrow{2} & \tilde{G} & \xrightarrow{\text{pr}} & G & \longrightarrow & 1 \\
 & & \downarrow \rho & & \downarrow \rho & & \downarrow r & & \\
 1 & \longrightarrow & \mathbb{C}^* & \longrightarrow & \text{GL}(V) & \longrightarrow & \text{PGL}(V) & \longrightarrow & 1
 \end{array}$$

$Z(A) \subset Z(G)$
 central extension

$\rho((g, a)) = a \tilde{r}'(g)$

Homogeneous vs non-homogeneous cocycles

Given: group G acting on a set X , map $f: X^3 \rightarrow A$
 (for an abelian group A) s.t. $f(gx_1, gx_2, gx_3) = f(x_1, x_2, x_3)$
 Fix $x \in X$. $\forall g \in G$.

Then: f satisfies $\forall x_0, x_1, x_2, x_3 \in X$ $\sum_{i=0}^3 (-1)^i f(x_0, \dots, \hat{x}_i, \dots, x_3) = 0$

$c: G \times G \rightarrow A$, satisfies $c(g_1, g_2) = f(x, g_1(x), g_1 g_2(x))$

$c(g_1, g_2) + c(g_1 g_2, g_3) = c(g_1, g_2 g_3) + c(g_2, g_3)$

Higher-dimensional case

Given: W real v. sp., $\dim(W) = 2n$, $B: W \times W \rightarrow \mathbb{R}$ symplectic (alternating, non-degenerate)

\exists symplectic basis: $W = \bigoplus_{j=1}^n (\mathbb{R}P_j \oplus \mathbb{R}Q_j)$ $B(P_j, P_k) = B(Q_j, Q_k) = 0$
 $B(P_j, Q_k) = \delta_{jk}$

Heisenberg Lie algebra: $\mathfrak{heis} = W \oplus \mathbb{R} \cdot E$
 $[E, \cdot] = 0, \forall x, y \in W \quad [x, y] = B(x, y)E$

Heisenberg group: (1) simply connected: exponentiate $[,]$ using Campbell-Hausdorff:
 $\exp(X)\exp(Y) = \exp(X + Y + \frac{1}{2}[X, Y] + \text{higher } [,], [,])$

$\{ \exp(x + tE) \mid x \in W, t \in \mathbb{R} \}$
 $\{x, t\}$

$\{x, t\} \{x', t'\} = \{x + x', t + t' + \frac{1}{2} B(x, x')\}$

(2) push-forward via $\exp(\mathbb{R}E) = \mathbb{R} \xrightarrow{\psi} U(1)$
 $t \mapsto e^{2\pi i t}$

$\text{Heis} = \{ (x, a) \mid x \in W, a \in U(1) \}$

$(x, a)(x', a') = (x + x', a a' \psi(\frac{1}{2} B(x, x')))$

$1 \rightarrow U(1) \rightarrow \text{Heis} \xrightarrow{\pi} W \rightarrow 0, \pi(x, a) = x, Z = U(1)$

Schrödinger representation: on $C^\infty(\mathbb{R}^n)$ $x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \in \mathbb{R}^n$

$P_j \mapsto \hat{P}_j = \frac{\partial}{\partial x_j}, Q_j \mapsto \hat{Q}_j = 2\pi i x_j, u \in U(1) \mapsto u$
 $(u \exp(\sum a_j P_j + b_j Q_j) f)(x) = u e^{2\pi i (t b x + \frac{1}{2} t b a)} f(x + a)$ irreducible unitary (on $L^2(\mathbb{R}^n)$)

Key Thm (Stone, von Neumann)

An irreducible unitary representation $\rho: \text{Heis} \rightarrow U(\mathcal{H})$ (\mathcal{H} = Hilbert space) s.t. $\rho(u) = u \cdot 1 \quad \forall u \in U(1)$ is isomorphic to the above representation.

Construction of $\rho: \text{Heis} \rightarrow U(\mathcal{H})$, $\rho(u) = u \cdot 1 \quad \forall u \in U(1)$:

induced from characters of abelian subgroups containing $U(1)$

$\left\{ \begin{array}{l} \text{abelian subgps of} \\ \text{Heis containing } U(1) \end{array} \right\} = \left\{ \begin{array}{l} \pi^{-1}(L) \mid L \subset W \text{ subgroup such that} \\ \forall x, y \in L \quad B(x, y) \in \mathbb{Z} \end{array} \right\}$

L closed $\Rightarrow 1 \rightarrow U(1) \xrightarrow{\chi} \text{Heis} \rightarrow W \rightarrow 0$

\exists cont. $\chi \quad 1 \rightarrow U(1) \xrightarrow{\chi} \pi^{-1}(L) \rightarrow L \rightarrow 0$

Def: $\text{Ind}_{\pi^{-1}(L)}^{\text{Heis}}(\chi) = \left\{ \begin{array}{l} f: \text{Heis} \rightarrow \mathbb{C}, f(hg) = \chi(h) f(g) \quad \forall h \in \pi^{-1}(L), \forall g \in \text{Heis} \\ f \text{ measurable, } \int |f|^2 < \infty \end{array} \right\}$

$(g * f)(g') = f(g'g)$

Unitary representation of Heis, irreducible if L is maximal.

Formulas: choice of $\chi \Leftrightarrow$ choice of $\pi^{-1}(L) \xrightarrow{\sigma} L, \chi \circ \sigma = 1$

$\sigma(y) = (y, \alpha(y)), \forall y, y' \in L \sigma(y)\sigma(y') = \sigma(y+y') \Rightarrow \alpha: L \rightarrow U(1)$
 satisfies $\alpha(y)\alpha(y')e^{\pi i B(y,y')} = \alpha(y+y') \quad \forall y, y' \in L$

$f \in \text{Ind}_{\pi^{-1}(L)}^{\text{Heis}}(\chi) \Rightarrow f(x, u) = u f(x, 1) \quad x \in W, u \in U(1)$

write $f(x) := f(x, 1), \quad x \in W$

$f(hg) = \chi(h)f(g) \Leftrightarrow f(y+x) = \alpha(y)^{-1} e^{-\pi i B(y,x)} f(x) \quad \forall x \in W \forall y \in L$

$\int |f|^2 < \infty \Leftrightarrow \int_{W/L} |f(x)|^2 dx < \infty$, dx any invariant measure on $\pi^{-1}(L) \setminus \text{Heis}$

Examples of maximal $L \subset W$ s.t. $B(L, L) \subseteq \mathbb{Z}$:

(1) $L = \bigoplus_{j=1}^n (\mathbb{Z}P_j + \mathbb{Z}Q_j) \quad \{x \in W \mid \forall y \in L \ B(x, y) = 0\}$

(2) $L = \mathfrak{l} \quad \mathbb{R}$ -v. subspace s.t. $\mathfrak{l} = \mathfrak{l}^\perp$ (\mathfrak{l} = lagrangian subspace)
 $\mathfrak{l} = \bigoplus_{j=1}^n \mathbb{R}P_j, \bigoplus_{j=1}^n \mathbb{R}Q_j \quad (\alpha = 1) \quad \chi((x, u)) = u$

For \mathfrak{l} identify W/\mathfrak{l} with $\mathfrak{l}' = \bigoplus_{j=1}^n \mathbb{R}Q_j$.
 $f \in \text{Ind}_{\pi^{-1}(\mathfrak{l})}^{\text{Heis}}$ is determined by $f|_{\mathfrak{l}'} \in L^2(\mathbb{R}^n) \Rightarrow$ Schrödinger repr.

Intertwining operators

Measures (invariant): $\mathfrak{l} \in \text{Lagr}(W, B) = \{ \text{lagrangian subspaces of } W \}$

$0 \neq e \in \Lambda^n \mathfrak{l} \Rightarrow$ measure on $W/\mathfrak{l} \simeq \mathfrak{l}^* \Rightarrow H(\mathfrak{l}, e) = L^2(W/\mathfrak{l})$

Exercise: (1) $\mathfrak{l}_1, \mathfrak{l}_2 \in \text{Lagr}(W) \Rightarrow ((\mathfrak{l}_1 + \mathfrak{l}_2)/(\mathfrak{l}_1 \cap \mathfrak{l}_2), \bar{B})$ symplectic
 \Rightarrow measure on $(\mathfrak{l}_1 + \mathfrak{l}_2)/(\mathfrak{l}_1 \cap \mathfrak{l}_2) \Rightarrow$ measure on $\mathfrak{l}_2/(\mathfrak{l}_1 \cap \mathfrak{l}_2)$

(2) Given $0 \neq e_i \in \Lambda^n \mathfrak{l}_i$

Def: $\mathfrak{l}_1, \mathfrak{l}_2 \in \text{Lagr}(W), 0 \neq e_i \in \Lambda^n \mathfrak{l}_i$

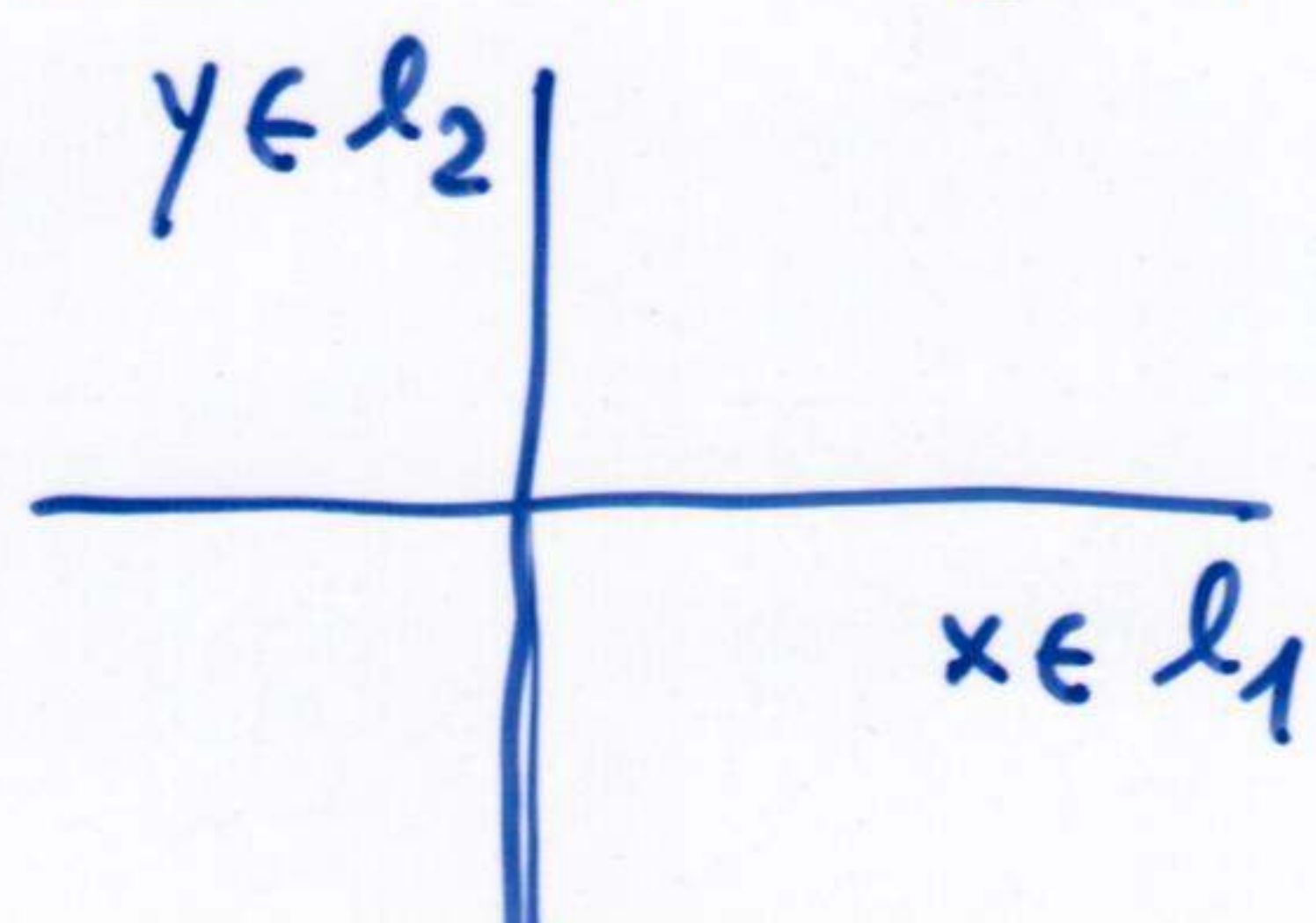
$H(\mathfrak{l}_1, e_1) = \text{Ind}_{\pi^{-1}(\mathfrak{l}_1)}^{\text{Heis}}(\chi) \xrightarrow{F_{\mathfrak{l}_2, \mathfrak{l}_1}} H(\mathfrak{l}_2, e_2)$

$f \mapsto (g \mapsto \int \chi(h)^{-1} f(hg))$

Heis-equivariant

$\pi^{-1}(\mathfrak{l}_1 \cap \mathfrak{l}_2) \setminus \pi^{-1}(\mathfrak{l}_2) \simeq \mathfrak{l}_2/(\mathfrak{l}_1 \cap \mathfrak{l}_2)$

Ex: $\mathfrak{l}_1 \cap \mathfrak{l}_2 = \{0\}, H(\mathfrak{l}_1) = L^2(\mathfrak{l}_2) \xrightarrow{F_{\mathfrak{l}_2, \mathfrak{l}_1}} H(\mathfrak{l}_2) = L^2(\mathfrak{l}_1)$

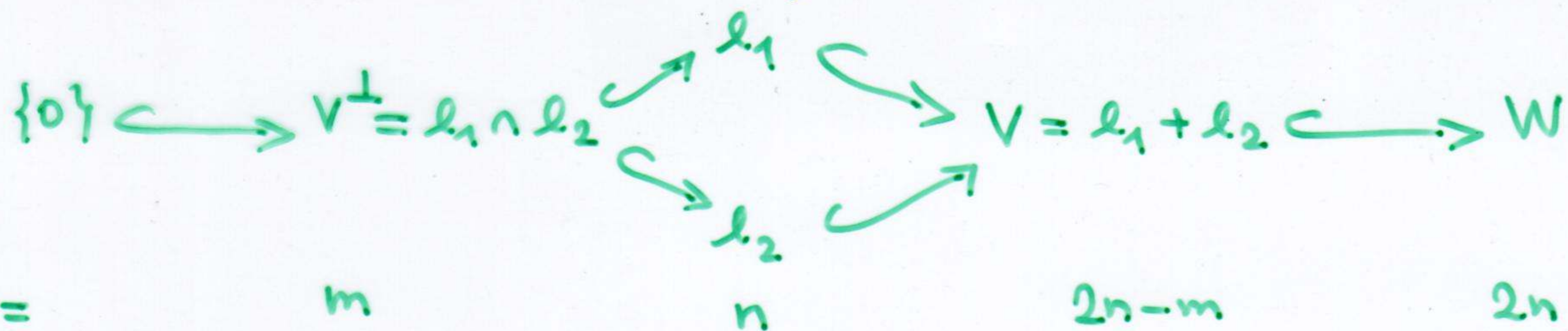


$(F_{\mathfrak{l}_2, \mathfrak{l}_1} f)(x) = \int_{\mathfrak{l}_2} f((y, 1)(x, 1)) dy$

$F_{\mathfrak{l}_2, \mathfrak{l}_1} = \mathcal{F}_0 = \mathcal{F}^{-1}$

$= \int e^{2\pi i B(y, x)} f(y) dy$

General case: $V = l_1 + l_2$, $B: (W/V)^* \simeq V^\perp = l_1 \cap l_2$



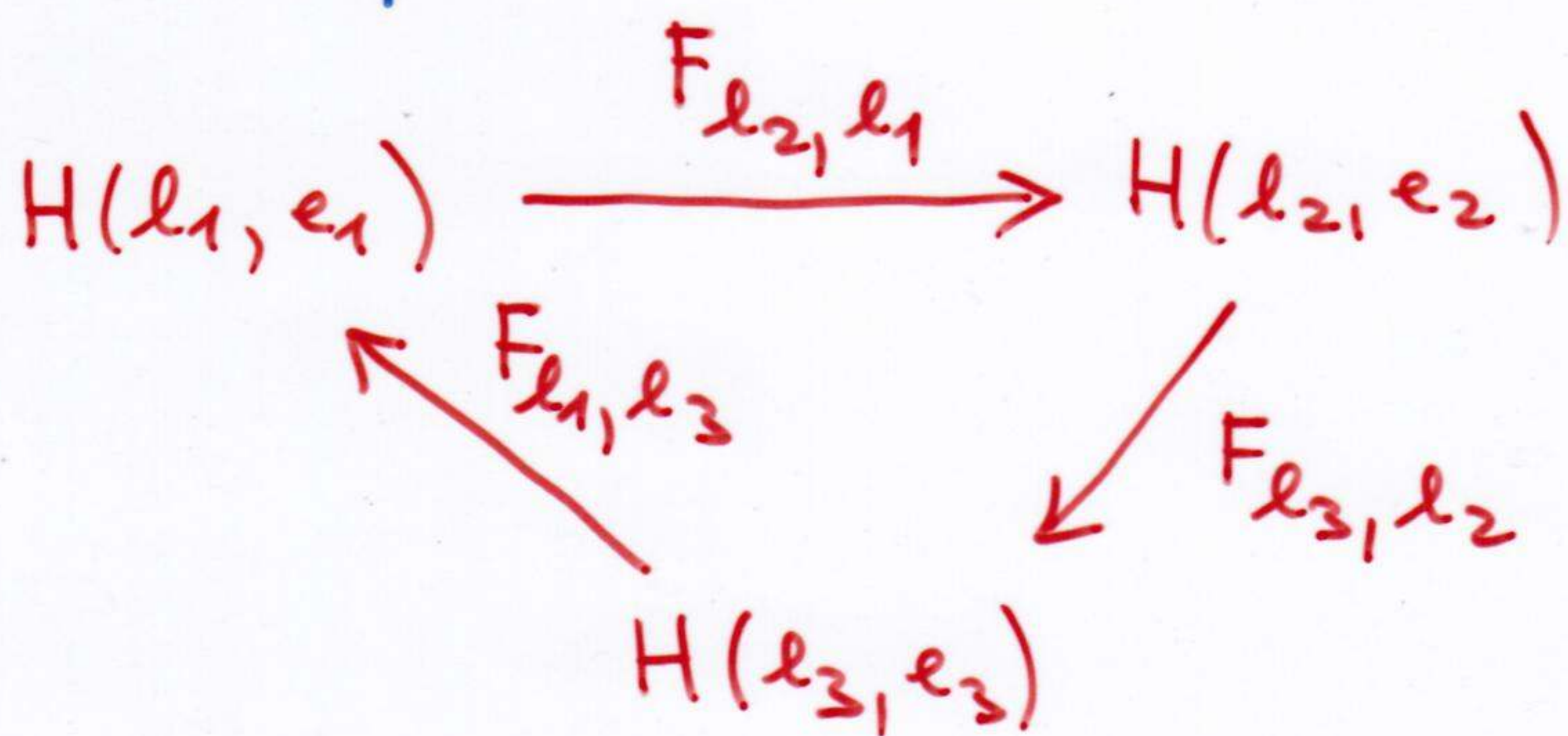
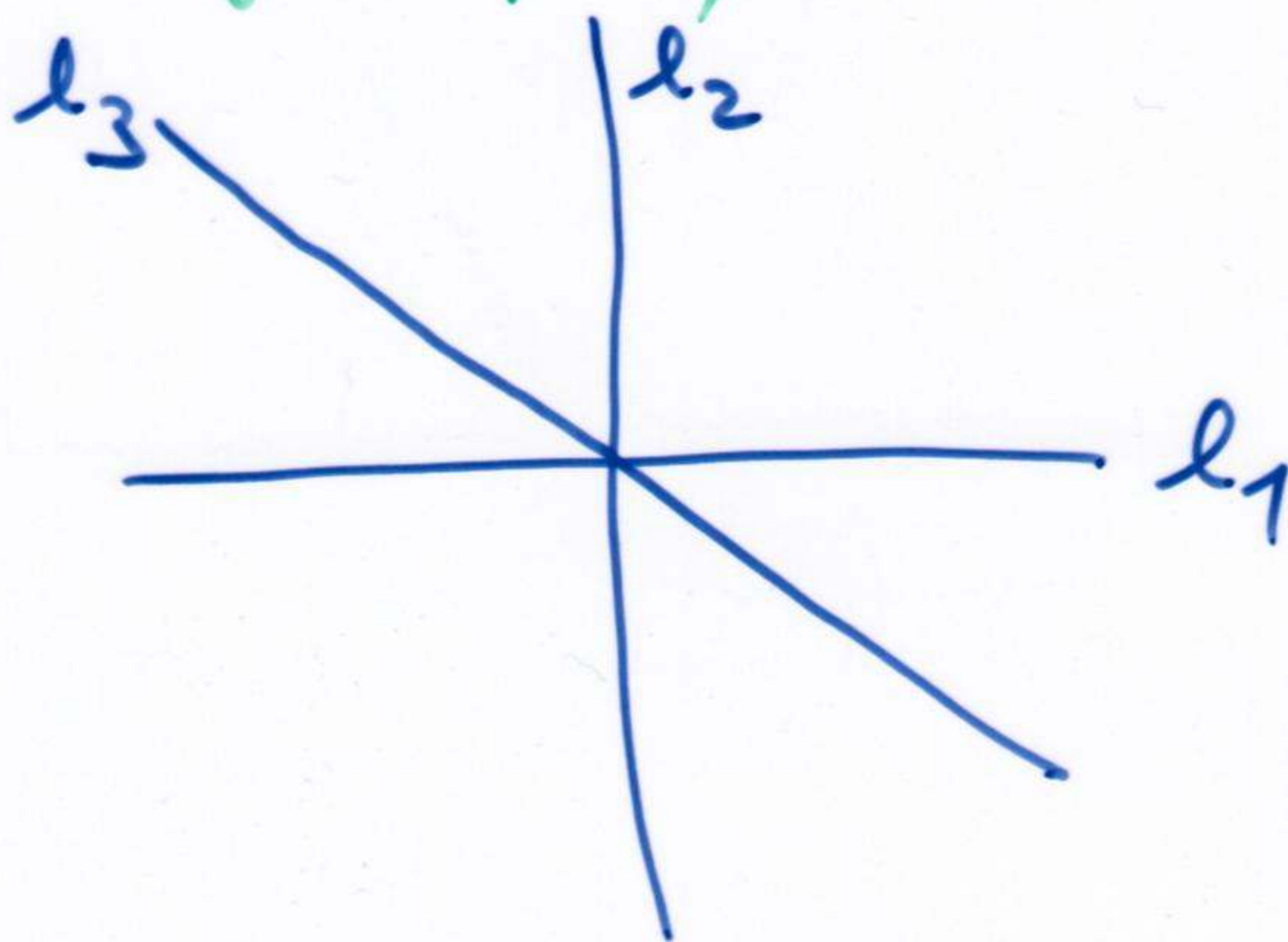
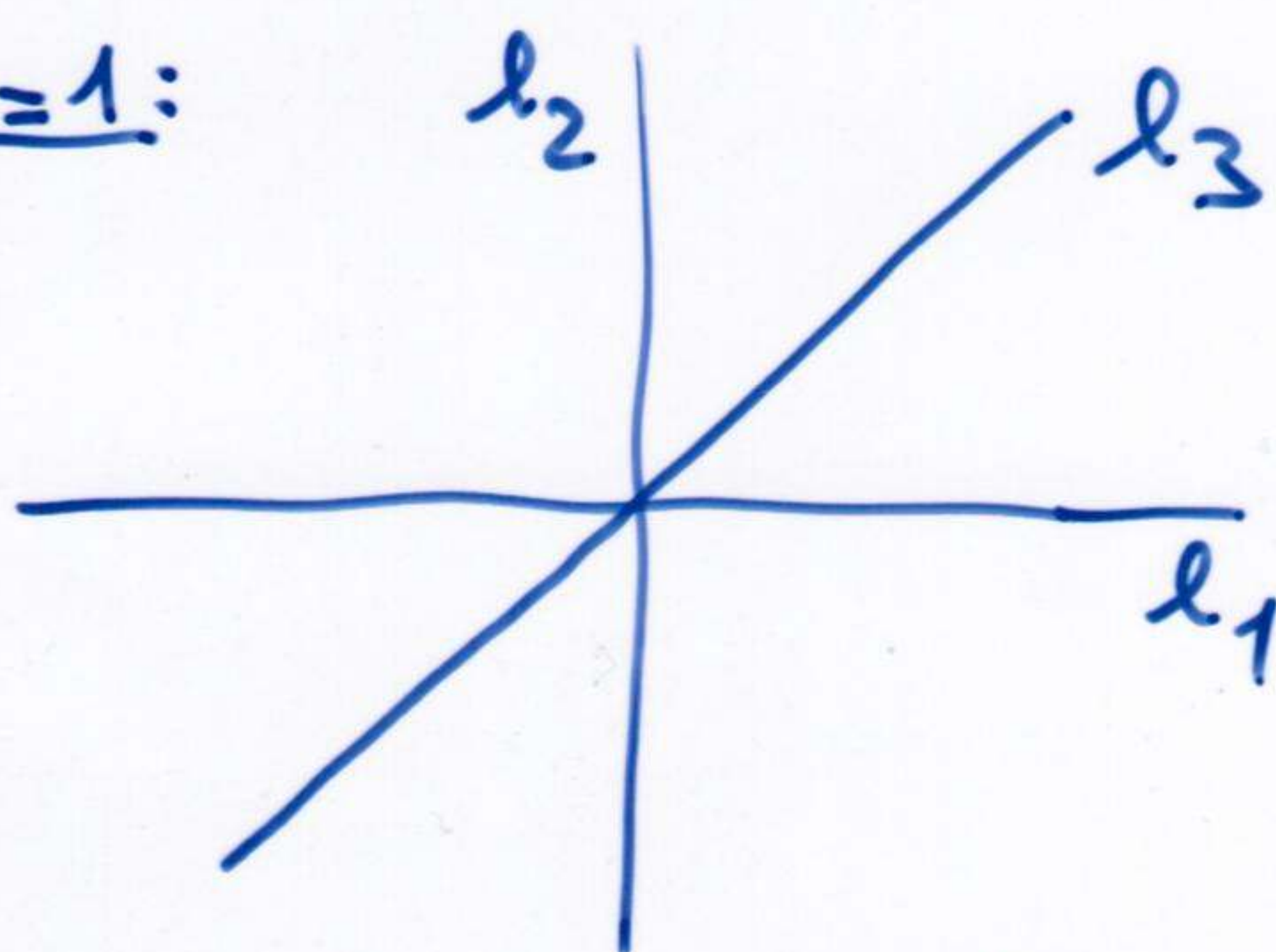
$l_i / l_1 \cap l_2 \in \text{Lagr}(V/V^\perp)$ transversal

$\Rightarrow F_{l_2, l_1}: H(l_1) \rightarrow H(l_2)$ is a partial Fourier transform (in $n-m$ variables) \Rightarrow $F_{l_1, l_2} = F_{l_2, l_1}^{-1}$
 (\Rightarrow unitary)

Invariants of triples of lagrangian subspaces

Basic 2-cocycle: given $l_i \in \text{Lagr}(W, B)$, $0 \neq e_i \in \wedge^n l_i$ ($i=1,2,3$)

$n=1$:



unitary, Heis-equiv.

$F_{l_1, l_3} \circ F_{l_3, l_2} \circ F_{l_2, l_1} = a(l_1, l_2, l_3) \cdot \mathbb{1}$
 $\downarrow U(1)$ independent of e_1, e_2, e_3

Properties: (1) $a(l_1, l_2, l_3) = a(l_2, l_3, l_1)$

(2) a is a 2-cocycle: $\prod_{j=1}^4 a(l_{j_1}, \dots, \hat{l}_{j_2}, \dots, l_{j_4})^{(-1)^j} = 1$

(3) $\forall \gamma \in G = \text{Sp}(W, B) = \{g \in \text{GL}(W) \mid B(gx, gy) \forall x, y \in W\}$
 $a(\gamma l_1, \gamma l_2, \gamma l_3) = a(l_1, l_2, l_3)$

Pf of (3): G acts on Heis $\gamma(x, u) = (\gamma(x), u)$ and on

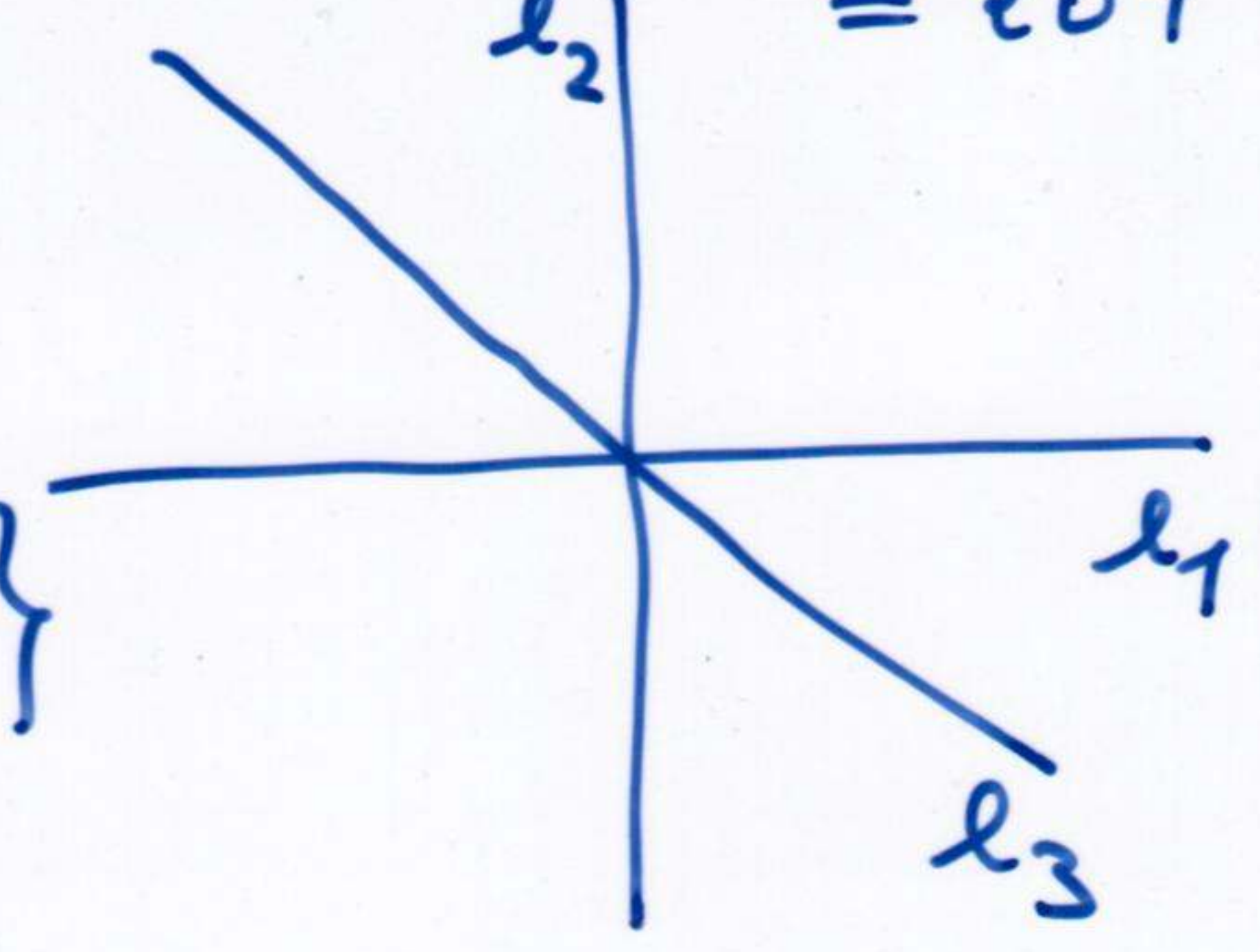
$\{f: \text{Heis} \rightarrow \mathbb{C}\}$, $(\gamma f)(g) = f(\gamma^{-1}(g))$, $\gamma x = x$.

$H(l, e) \xrightarrow{\gamma} H(\gamma l, \gamma e)$, commutes with F_{l_2, l_1} .

Algebraic invariants: transversal case $l_1 \cap l_2 = l_1 \cap l_3 = l_2 \cap l_3 = \{0\}$

$B: l_2 \xrightarrow{\sim} l_1^* \Rightarrow W = l_1 \oplus l_1^*$

$B\left(\begin{pmatrix} x \\ x^* \end{pmatrix}, \begin{pmatrix} y \\ y^* \end{pmatrix}\right) = \langle y^*, x \rangle - \langle x^*, y \rangle$



$l_3 = \Gamma_T = \text{graph of } T: l_1 \xrightarrow{\sim} l_1^* = \left\{ \begin{pmatrix} x \\ T x \end{pmatrix} \mid x \in l_1 \right\}$

$l_3 \in \text{Lagr} \iff \forall x, y \in l_1 \quad B\left(\begin{pmatrix} x \\ T x \end{pmatrix}, \begin{pmatrix} y \\ T y \end{pmatrix}\right) = 0$

$T = T^*$ $\iff \langle T y, x \rangle - \langle T x, y \rangle = \langle (T^* - T)x, y \rangle$

non-degenerate quadratic form on l_1

Action of $\{g \in G \mid g(l_i) = l_i, i=1,2\} = \left\{ \begin{pmatrix} a^{-1} & 0 \\ 0 & a^* \end{pmatrix} \mid a \in GL(l_1) \right\}$:

$g(\Gamma_T) = \left\{ \begin{pmatrix} a^{-1}x \\ a^* T x \end{pmatrix} \mid x \in l_1 \right\} = \left\{ \begin{pmatrix} y \\ (a^* T a)y \end{pmatrix} \mid y \in l_1 \right\} = \Gamma_{a^* T a}$

Cor: $G \setminus (\text{Lagr}(W))^3$ transversal \implies {non-deg. quadratic forms on \mathbb{R}^n } / Isom

General case: $l_i \in \text{Lagr}(W)$

$Q_{l_1, l_2, l_3}: l_1 \oplus l_2 \oplus l_3 \rightarrow \mathbb{R}$ quadratic form (on $\cong \mathbb{R}^{3n}$)
 $(x_1, x_2, x_3) \mapsto B(x_1, x_2) + B(x_2, x_3) + B(x_3, x_1)$

Exercise: in the transversal case $Q \cong T \perp S \perp (-S)$

Maslov index: $\text{Maslov}(l_1, l_2, l_3) = \text{sgn}(Q_{l_1, l_2, l_3}) \in \mathbb{Z}$
 (= $\text{sgn}(T)$ in the transversal case).

Key Thm. (0) $\text{Maslov}(g l_1, g l_2, g l_3) = \text{Maslov}(l_1, l_2, l_3) \quad \forall g \in G$

(1) $\sum_{j=0}^2 (-1)^j \text{Maslov}(l_0, \dots, \hat{l}_j, \dots, l_3) = 0$ (2-cocycle)

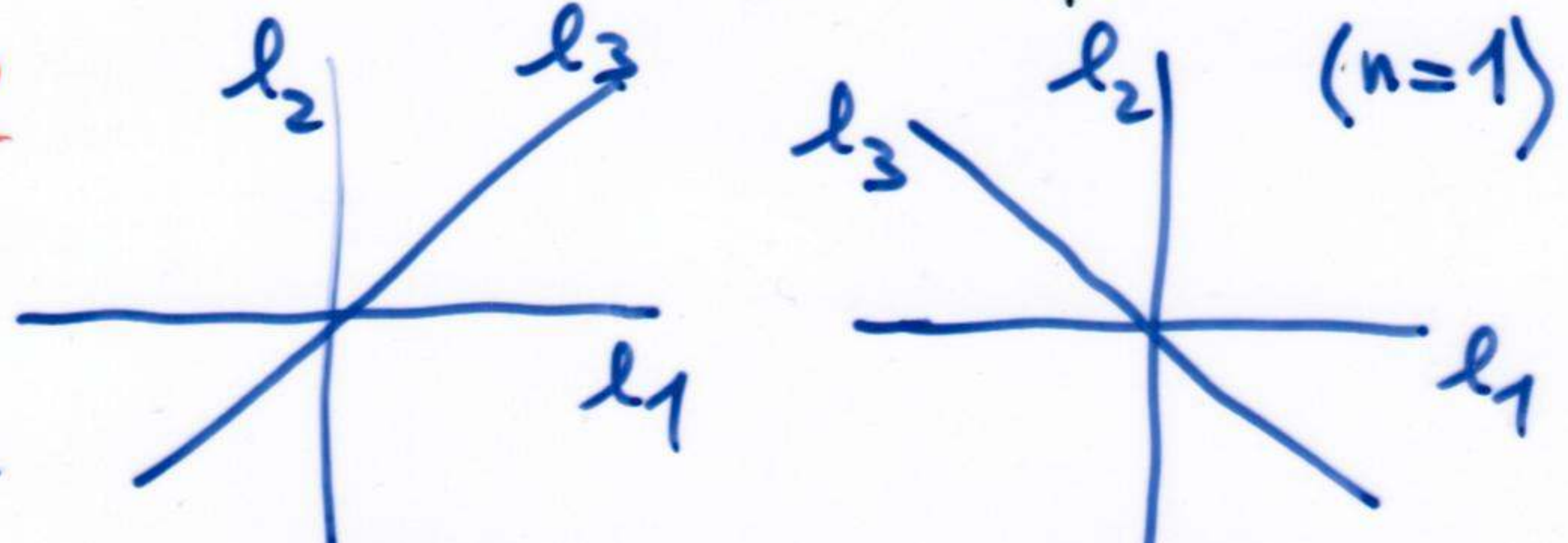
(2) $a(l_1, l_2, l_3) = (e^{-2\pi i/8})^{\text{Maslov}(l_1, l_2, l_3)}$

Pf: (1) WLOG l_0 transversal to l_1, l_2, l_3 . Use T above.

(2) Reduce to the transversal case. Then $l_3 = \Gamma_T$

$T = \sum x_j^2 - \sum y_k^2 \implies$ direct sum of

for $n=1$, $a(l_1, l_2, l_3)$ combines Φ and $e^{\pm \pi i x^2}$



Apply them to suitable $f_{\tau} = e^{\pi i \tau x^2} \implies e^{-2\pi i/8} \quad e^{2\pi i/8}$
 $1 = \text{Maslov} = -1$

Why "−"? For $\psi_t(x) = e^{2\pi i t x}$ $\begin{cases} \text{no change if } t = r^2 > 0 \\ \text{complex conj. if } t = -r^2 < 0 \end{cases}$

Why 8? Bott periodicity, Clifford algebras

F field (char $\neq 2$): Witt ring $WF = K_0(\text{quadr. forms}/F) / \langle x^2 - y^2 \rangle$
 $0 \rightarrow IF \rightarrow WF \xrightarrow{rk} \mathbb{Z}/2\mathbb{Z} \rightarrow 0$ $\text{sgn}: WIR \xrightarrow{\sim} \mathbb{Z}$

Q quadr. form \Rightarrow Clifford algebra $C(Q)$, $\mathbb{Z}/2$ -graded (non-deg.)
 $C(Q_1 \perp Q_2) \cong C(Q_1) \hat{\otimes} C(Q_2)$

$C: (WF/I^3 F, +) \xrightarrow{\sim} ((\text{Clifford algebras over } F)/\sim, \hat{\otimes})$

$I\mathbb{R} = 2\mathbb{Z}$, $WIR/I^3\mathbb{R} = \mathbb{Z}/8\mathbb{Z}$

Reduced (oriented) Maslov index

Thm: For $\tilde{l}_1, \tilde{l}_2, \tilde{l}_3 \in \widetilde{\text{Lagr}}(W)$ oriented lagrangian subspaces

let $m(\tilde{l}_1, \tilde{l}_2) = n - \dim(l_1 \cap l_2) + \begin{cases} 0 & \text{if } \mathcal{B}: l_1/l_1 \cap l_2 \xrightarrow{\sim} (l_2/l_1 \cap l_2)^* \\ & \text{is compatible with orient.} \\ 2 & \text{if not} \end{cases}$

then: $\text{Maslov}(l_1, l_2, l_3) \equiv m(\tilde{l}_1, \tilde{l}_2) - m(\tilde{l}_1, \tilde{l}_3) + m(\tilde{l}_2, \tilde{l}_3) \pmod{4}$

Note: $\text{sgn}(Q) \pmod{4} \iff \text{rk}(Q) \pmod{4}$, $\det(Q) \in \mathbb{R}^*/\mathbb{R}^{*2}$

Def. $\widetilde{\text{Maslov}}(\tilde{l}_1, \tilde{l}_2, \tilde{l}_3) := \text{Maslov}(l_1, l_2, l_3) - m(\tilde{l}_1, \tilde{l}_2) + m(\tilde{l}_1, \tilde{l}_3) - m(\tilde{l}_2, \tilde{l}_3)$
 $\tilde{F}_{\tilde{l}_2, \tilde{l}_1} := (e^{-2\pi i/8})^{m(\tilde{l}_1, \tilde{l}_2)} F_{l_2, l_1}$ \uparrow
 $4\mathbb{Z}$

Thm: $\tilde{F}_{\tilde{l}_3, \tilde{l}_2} \cdot \tilde{F}_{\tilde{l}_2, \tilde{l}_1} = (e^{-2\pi i/8})^{\widetilde{\text{Maslov}}(\tilde{l}_1, \tilde{l}_2, \tilde{l}_3)} \tilde{F}_{\tilde{l}_3, \tilde{l}_1}$
 ± 1

Metaplectic group

Fix: $\tilde{l} \in \widetilde{\text{Lagr}}(W)$, $0 \neq e \in \Lambda^n l \Rightarrow \forall g \in G = \text{Sp}(W)$ $g\tilde{l}, ge$

Def: $\mathcal{H} = H(l, e) \xrightarrow{\mathcal{R}} H(gl, ge)$ $R_e(g) := F_{l, ge} \circ g \in U(\mathcal{H})$
 $\downarrow F_{l, gl}$

$R_e(g_1) R_e(g_2) = c(g_1, g_2) R_e(g_1 g_2)$, $c(g_1, g_2) = (e^{2\pi i/8})^{\text{Maslov}(l, g_1 l, g_1 g_2 l)}$

Def: $\tilde{R}_{\tilde{l}}(g) := (e^{2\pi i/8})^{m(\tilde{l}, g\tilde{l})} R_e(g) \in U(\mathcal{H})$

$\tilde{R}_{\tilde{l}}(g_1) \tilde{R}_{\tilde{l}}(g_2) = (e^{2\pi i/8})^{\widetilde{\text{Maslov}}(\tilde{l}, g_1 \tilde{l}, g_1 g_2 \tilde{l})} \tilde{R}_{\tilde{l}}(g_1 g_2)$
 ± 1

Cor: $\{\tilde{R}_{\tilde{l}}(g)\}$ define a unitary representation of a central extension
 $1 \rightarrow \{\pm 1\} \rightarrow \tilde{\text{Sp}}(W, B) \rightarrow \text{Sp}(W, B) \rightarrow 1$

Oscillator (= Weil) representation of the metaplectic group $Mp(2n, \mathbb{R}) = \widetilde{Sp}(2n, \mathbb{R})$ on $L^2(\mathbb{R}^n)$:

$$(W, B) = (\mathbb{R}^{2n}, \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}), \quad \mathfrak{l} = \begin{pmatrix} \mathbb{R}^n \\ 0 \end{pmatrix}, \quad 1 \rightarrow \{\pm 1\} \rightarrow \underbrace{Mp(2n, \mathbb{R})}_{\widetilde{G}} \rightarrow \underbrace{Sp(2n, \mathbb{R})}_G \rightarrow 1$$

Formulas: $f \in L^2(\mathbb{R}^n), x \in \mathbb{R}^n$

$$0 < \det(a) \Rightarrow \left(\widetilde{R} \begin{pmatrix} a & 0 \\ 0 & {}^t a^{-1} \end{pmatrix} f \right)(x) = \det(a)^{1/2} f({}^t a x)$$

$${}^t b = b \Rightarrow \left(\widetilde{R} \begin{pmatrix} I & b \\ 0 & I \end{pmatrix} f \right)(x) = e^{\pi i {}^t x b x} f(x)$$

$$\left(\widetilde{R} \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} f \right)(x) = \left(e^{2\pi i / 8} \right)^n \int_{\mathbb{R}^n} e^{2\pi i {}^t x y} f(y) dy$$

Action of $\text{Lie}(\widetilde{G}) = \text{Lie}(G) = \mathfrak{g}$: (1) $n=1$

$$X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \mapsto \pi i x^2, \quad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \mapsto x \frac{d}{dx} + \frac{1}{2}, \quad Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \mapsto \frac{i}{4\pi} \left(\frac{d}{dx} \right)^2$$

$$G = SL_2(\mathbb{R}) \supset K = SO(2) = \left\{ \begin{pmatrix} a & -c \\ c & a \end{pmatrix} \mid a^2 + c^2 = 1 \right\} \xrightarrow{\sim} U(1)$$

$$\text{action of } \mathfrak{k} = \text{Lie}(\widetilde{K}) = \text{Lie}(K) = \{c(-X+Y) \mid c \in \mathbb{R}\} \xrightarrow{\sim} \text{Lie}(U(1))$$

$$\varphi_0 = e^{-\pi x^2}, \quad (-X+Y)\varphi_0 = -\frac{i}{2}\varphi_0 \iff \boxed{u\varphi_0 = -\frac{u}{2}\varphi_0 \quad \forall u \in \text{Lie}(U(1))}$$

does NOT integrate to an action of K

$$\Rightarrow 1 \rightarrow \{\pm 1\} \rightarrow \widetilde{K} \rightarrow K \rightarrow 1$$

does not split.

(2) The general case:

$$G = Sp(2n, \mathbb{R}), \mathfrak{g} = \left\{ \begin{pmatrix} A & B \\ C & -{}^t A \end{pmatrix} \mid {}^t B = B, {}^t C = C \right\}$$

$$\begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix} \mapsto \pi i ({}^t x B x), \begin{pmatrix} 0 & 0 \\ C & 0 \end{pmatrix} \mapsto \frac{i}{4\pi} {}^t \partial C \partial, \begin{pmatrix} A & 0 \\ 0 & -{}^t A \end{pmatrix} \mapsto {}^t x A \partial + \frac{\text{Tr}(A)}{2}$$

$$x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}, \partial = \begin{pmatrix} \partial/\partial x_1 \\ \vdots \\ \partial/\partial x_n \end{pmatrix}, {}^t B = B, {}^t C = C$$

$$K = G \cap O(2n) = \left\{ \begin{pmatrix} A & -C \\ C & A \end{pmatrix} \mid C i + A \in U(n) \right\} \cong U(n)$$

$$\mathfrak{k} = \text{Lie}(K) = \left\{ \begin{pmatrix} A & -C \\ C & A \end{pmatrix} \mid {}^t C = C, {}^t A = -A \right\} \cong \left\{ U \in M_n(\mathbb{C}) \mid {}^t U = -\bar{U} \right\}$$

Action on the gaussian

$$\varphi_0 = e^{-\pi(x_1^2 + \dots + x_n^2)} = e^{-\pi {}^t x x}$$

$$U \varphi_0 = -\frac{i}{2} \text{Tr}(C) \varphi_0 = -\frac{\text{Tr}(U)}{2} \varphi_0$$

$\Rightarrow 1 \rightarrow \{\pm 1\} \rightarrow \tilde{K} \rightarrow K \rightarrow 1$ does not split.

Siegel upper half space

$$G/K \cong \mathcal{H}_n = \{ T \in M_n(\mathbb{C}) \mid {}^t T = T, \text{Im}(T) > 0 \}$$

$$g \cdot K \mapsto g(i \cdot I), \begin{pmatrix} A & B \\ C & D \end{pmatrix} (T) = (AT + B)(CT + D)^{-1}$$

Coordinate-free description of \mathcal{H}_n :

$$\{ l \in \text{Lagr}(W_{\mathbb{C}} = W \otimes_{\mathbb{R}} \mathbb{C}, B_{\mathbb{C}}) \mid \forall x \in l \quad i B_{\mathbb{C}}(x, \bar{x}) > 0 \}$$

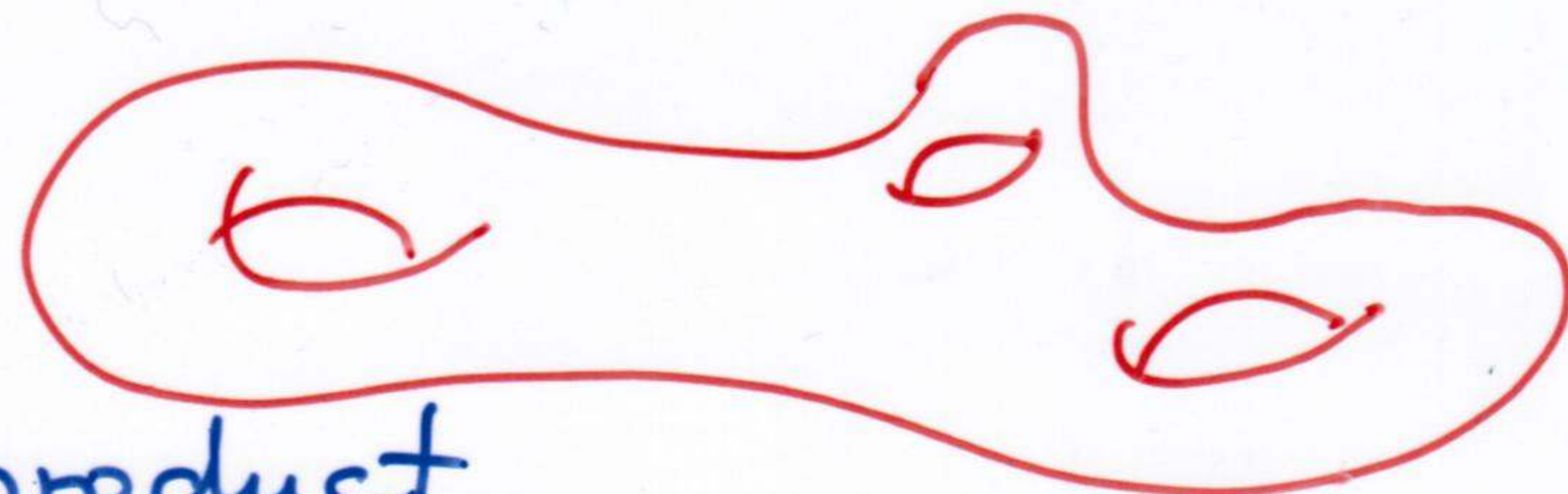
Ex ("Hodge" theory):

X cpt Riemann surface

$$W = H^1(X, \mathbb{R}), B = \cup \text{ product}$$

$$l = H^0(X, \Omega^1) \ni \omega, \omega'$$

$$\int_X \omega \wedge \omega' = 0, i \int_X \omega \wedge \bar{\omega} > 0$$



Basic θ -functions on \mathcal{H}_n :

$$\Theta(T, Z) = \sum_{m \in \mathbb{Z}^n} e^{\pi i {}^t m T m + 2\pi i {}^t m Z} \quad (T \in \mathcal{H}_n, Z \in \mathbb{C}^n)$$

Fix: $c: \mathbb{Z}^n \rightarrow (\mathbb{Z}/M\mathbb{Z})^n \rightarrow \mathbb{C}$

$$\theta_{c, \text{scalar}}(T) = \sum_{m \in \mathbb{Z}^n} c(m) e^{\pi i {}^t m T m}, \quad \theta_{c, \text{vector}}(T) = \sum_{m \in \mathbb{Z}^n} c(m) m e^{\pi i {}^t m T m}$$

holomorphic on \mathcal{H}_n

Key property: \exists congruence subgroup $\Gamma \subset Sp(2n, \mathbb{Z})$

$$\forall \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma \quad \theta_{c, \text{scalar}}(\gamma(T)) = (\text{const.}) \sqrt{\det(CT+D)} \theta_{c, \text{scalar}}(T)$$

$$\theta_{c, \text{vector}}(\gamma(T)) = (\text{const.}) \sqrt{\det(CT+D)} (CT+D) \theta_{c, \text{vector}}(T)$$

Canonical automorphy factor:

$$J: G \times \mathcal{H}_n \rightarrow GL_n(\mathbb{C})$$

$$g, T \mapsto \boxed{CT+D = J(g, T)} \quad g = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in G$$

Properties: (1) $\forall k \in K \cong U(n) \quad \boxed{J(k, iI) = k \in U(n)}$

(2) 1-cocycle: $\boxed{J(gg', T) = J(g, g'(T)) J(g', T)}$

(3) $\tilde{G} \cong \{ [g \in G, \lambda: \mathcal{H}_n \rightarrow \mathbb{C} \text{ holomorphic s.t. } \lambda(T)^2 = \det J(g, T)] \}$
 $[g, \lambda][g', \lambda'] = [gg', (\lambda \circ g') \lambda']$

the map $\tilde{G} \rightarrow \mathbb{C}^*$, $[g, \lambda] \mapsto \lambda(iI)$ restricts to a group homomorphism "det^{1/2}": $\tilde{K} \rightarrow U(1)$
 whose square is equal to $\tilde{K} \xrightarrow{\text{pr}} K = U(n) \xrightarrow{\det} U(1)$

Above: $St = \text{standard repr. of } U(n) \text{ on } \mathbb{C}^n$

$\left\{ \begin{matrix} \theta_{c, \text{scalar}} \\ \theta_{c, \text{vector}} \end{matrix} \right\}$ is a holomorphic Siegel modular form of weight $\left\{ \begin{matrix} \text{"det"}^{1/2} \\ \text{"det"}^{1/2} \otimes St \end{matrix} \right\}$

Automorphy

on $G/K \xleftarrow{\quad} \Gamma \backslash G \xrightarrow{\quad}$

$$G = Sp(2n, \mathbb{R})$$

$$K \cong U(n)$$

$$G/K \cong \mathcal{H}_n$$

$$gK \mapsto g^+(iI)$$

$$GL_n(\mathbb{C}) \ni \left[J \left(\begin{pmatrix} A & B \\ C & D \end{pmatrix}, T \right) = CT + D \right]$$

Data:

- $\Gamma \subset G$ discrete, $\text{vol}(\Gamma \backslash G/K) < \infty$
- representation $\rho: GL_n(\mathbb{C}) \rightarrow GL(V)$

(1) From G/K to $\Gamma \backslash G$:

if $f: G/K \rightarrow V$ satisfies

$$\forall \gamma \in \Gamma \quad f(\gamma(T)) = \rho(J(\gamma, T)) f(T) \quad (T \in G/K = \mathcal{H}_n)$$



$$\tilde{f}: G \rightarrow V, \quad \tilde{f}(g) = \rho(J(g, iI))^{-1} f(g(iI))$$

satisfies $\forall \gamma \in \Gamma \quad \tilde{f}(\gamma g) = \tilde{f}(g) \iff \tilde{f}: \Gamma \backslash G \rightarrow V$

and $\forall k \in K \quad \tilde{f}(gk) = \rho(k)^{-1} \tilde{f}(g) \quad (g \in G)$

(2) From $\Gamma \backslash G$ to G/K : given $T = u + iv \in \mathcal{H}_n$

there is a positive definite $v^{1/2}$ s.t. $(v^{1/2})^2 = v$.

$$i \cdot I \xrightarrow{\quad} \xrightarrow{g_T} iv \xrightarrow{\quad} u + iv = T$$

$$\begin{pmatrix} v^{1/2} & 0 \\ 0 & (v^{1/2})^{-1} \end{pmatrix} \quad \begin{pmatrix} I & u \\ 0 & I \end{pmatrix} \quad g_T := \begin{pmatrix} I & u \\ 0 & I \end{pmatrix} \begin{pmatrix} v^{1/2} & 0 \\ 0 & (v^{1/2})^{-1} \end{pmatrix} \in G$$

$$f(T) = f(g_T(iI)) = \underbrace{\rho(J(g_T, iI))}_{\rho(v^{1/2})^{-1}} \tilde{f}(g_T)$$

What is an automorphic form?

Def: given $\bullet G$ (a covering of) a semisimple Lie group

- $\bullet K \subset G$ maximal compact subgroup
- $\bullet \Gamma \subset G$ discrete subgroup s.t. $\text{vol}(\Gamma \backslash G) < \infty$
- $\bullet V$ \mathbb{C} -v. space, $\text{dim}(V) < \infty$.

A e^∞ function $\tilde{f}: G \rightarrow V$ is an automorphic form

if: (1) $\tilde{f}(\gamma g) = \tilde{f}(g) \quad \forall \gamma \in \Gamma \iff \boxed{\tilde{f}: \Gamma \backslash G \rightarrow V}$

(2) $\boxed{\tilde{f}(gk) = \rho(k)^{-1} \tilde{f}(g)} \quad \forall k \in K$ for some repr. $\rho: K \rightarrow GL(V)$

" \tilde{f} has weight ρ "

(3) \tilde{f} has "polynomial growth"

(4) \tilde{f} satisfies a suitable system of linear differential equations: G acts on $e^\infty(\Gamma \backslash G, V)$ by right translations \implies action of $U(\mathfrak{g})$.

We require $I \cdot \tilde{f} = 0$, $I \subset Z(\mathfrak{g})$ ideal of $\dim_{\mathbb{C}} Z(\mathfrak{g})/I < \infty$. " \tilde{f} is $Z(\mathfrak{g})$ -finite"

(ex: for a ring morphism $Z(\mathfrak{g}) \rightarrow \mathbb{C}$, $\text{Ker}(\chi) \cdot \tilde{f} = 0 \iff \forall z \in Z(\mathfrak{g}) \quad z \cdot \tilde{f} = \chi(z) \tilde{f}$).

Variant: replace \tilde{f} by $r \circ \tilde{f}$ for some linear form $V \xrightarrow{r} \mathbb{C}$. (2) is replaced by

(2') Under the action of K on $\tilde{f} \in e^\infty(\Gamma \backslash G, V)$ (by right multiplication), \tilde{f} generates a finite-dimensional subspace of $e^\infty(\Gamma \backslash G, V)$

" f is K -finite"

Ex: Given $G \rightarrow GL(E)$, $\lambda \in \text{Hom}(E, \mathbb{C})^\Gamma$, $e \in E$ s.t. $\dim(\text{span } K \cdot e) < \infty$, the matrix element

$\tilde{f}(g) := \lambda(g \cdot e)$ satisfies (1), (2').

Variant: $\lambda \in \text{Hom}(E, \mathbb{C})'$, $e \in (E \otimes V)^K \Rightarrow$ (imaginary K -action)
 $\tilde{f}(g) = \lambda(g \cdot e) \in V$, $\tilde{f}: \Gamma \backslash G \rightarrow V$, $\tilde{f}(gk) = \rho_V(k)^{-1} \tilde{f}(g)$

Weil's θ -distributions $\tilde{G} = \text{Mp}(2n, \mathbb{R})$
 \tilde{G} acts on $\mathcal{X} = L^2(\mathbb{R}^n) = L^2(W/\ell)$

$\mathcal{X}_\infty = \{ f \in \mathcal{X} \mid \underbrace{U(\text{heis})f}_{\forall \alpha \forall \text{ polynomial } P} \in \mathcal{X} \}$
 $\mathcal{Y}(\mathbb{R}^n) = \{ f \in e^\infty(\mathbb{R}^n) \mid \underbrace{P(x) \Delta^\alpha f(x)}_{\rightarrow 0 \text{ as } |x| \rightarrow \infty} \}$

Schwartz space Ex: $A = {}^t A \in M_n(\mathbb{R})$ positive definite,
 P polynomial $\Rightarrow P(x) e^{-\pi {}^t x A x} \in \mathcal{Y}(\mathbb{R}^n)$.

Thm. There is a congruence subgroup $\Gamma \subset \text{Sp}(2n, \mathbb{Z})$
 and $\beta: \tilde{\Gamma} \rightarrow \mu_g$ such that the θ -distribution
 $\lambda = \sum_{u \in \mathbb{Z}^n} \delta_u : \mathcal{Y}(\mathbb{R}^n) \rightarrow \mathbb{C}$ satisfies $\lambda(g \cdot \varphi) = \beta(g) \lambda(\varphi)$
 $\forall g \in \tilde{\Gamma}, \forall \varphi \in \mathcal{Y}(\mathbb{R}^n)$.

Idem for $\lambda_{u_0} = \sum_{u \in \mathbb{Z}^n} \delta_{u+u_0}$ for fixed $u_0 \in \mathbb{Q}^n$ (and smaller Γ).

Rmk. β disappears in the adelic version.

Idea of proof: $\mathcal{X} = \text{Ind}_{\pi^{-1}(\ell)}^{\text{Heis}}(\chi) \supset \mathcal{X}_\infty = \mathcal{Y}(\mathbb{R}^n)$
 natural intertwiner for Heis $\rightarrow \Phi$
 $\mathcal{X}_{\mathbb{Z}} = \text{Ind}_{\pi^{-1}(\mathbb{Z}^{2n})}^{\text{Heis}}(\chi) \supset (\mathcal{X}_{\mathbb{Z}})_\infty$
 Γ acts on \mathbb{Z}^{2n} value at u_0
 \mathbb{C}

Stone-von Neumann $\Rightarrow \Phi$ intertwines the two $\tilde{\Gamma}$ -actions up to a scalar $\in U(1)$.

$(\Phi f)(g) = \sum_{\pi^{-1}(\ell n \ell) \setminus \pi^{-1}(\ell)} \chi(h)^{-1} f(hg) \mid L = \mathbb{Z}^{2n}, L \cap \ell \subset \ell$ lattice
 - 17 -

Construction of automorphic forms

Data: $\rho: \tilde{K} (= 2\text{-fold cover of } U(n)) \rightarrow GL(V)$
 $\psi \in (\mathcal{S}(\mathbb{R}^n) \otimes V)^{\tilde{K}}, \quad a \in \mathbb{Q}^n$

Define: for $g \in \tilde{G} = Mp(2n, \mathbb{R})$

$$\tilde{f}(g) = \lambda_a(g \cdot \psi) \in V, \quad \tilde{f}: G \rightarrow V$$

for $T = u + iv \in \mathcal{H}_n, \quad g_T = \begin{pmatrix} I & u \\ 0 & I \end{pmatrix} \begin{pmatrix} v^{1/2} & 0 \\ 0 & (v^{1/2})^{-1} \end{pmatrix}$

$$f(T) = \underbrace{\rho(J(g_T, iI))}_{\rho(v^{1/2})^{-1}} \tilde{f}(g_T) = \rho(v^{1/2})^{-1} \lambda_a(g_T \cdot \psi)$$

action in the
Weil representation

Then: \exists congruence subgroup

$$\Gamma_a \subset Sp(2n, \mathbb{Z}) \text{ s.t. } \forall \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma_a \quad f((AT+B)(CT+D)^{-1}) = \xi \rho(CT+D) f(T), \quad \xi^8 = 1.$$

Ex: (1) $a=0, \psi = \psi_0 = e^{-\pi^t x x} \begin{pmatrix} v^{1/2} & 0 \\ 0 & v^{-1/2} \end{pmatrix} \begin{pmatrix} (\det v)^{1/4} e^{-\pi^t x v x} \\ \downarrow \begin{pmatrix} I & u \\ 0 & I \end{pmatrix} \\ (\det v)^{1/4} e^{\pi i^t x T x} \end{pmatrix}$
 $V = \rho = \det^{1/2}$

$$f(T) = \lambda(e^{\pi i^t x T x}) = \sum_{u \in \mathbb{Z}^n} e^{\pi i^t u T u}$$

$$\underbrace{(\det v)^{1/4} e^{\pi i^t x T x}}_{g_T \cdot \psi_0}$$

(2) $\boxed{n=1}$, $\psi = (\text{const.}) \underbrace{A_+^2}_{\psi_2} \psi_0 = \left(x^2 - \frac{1}{4\pi}\right) \psi_0, \quad V = \rho = \det^{5/2}$
 $\tau = u + iv \in \mathcal{H}$

$$g_\tau \cdot \psi_2 = v^{1/4} \left(vx^2 - \frac{1}{4\pi}\right) e^{\pi i \tau x^2}$$

$$f(\tau) = \sum_{n \in a + \mathbb{Z}} \left(n^2 - \frac{1}{4\pi v}\right) e^{\pi i n^2 \tau}$$

NOT HOLDMORPHIC

$$\forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_a \quad \underline{f\left(\frac{a\tau+b}{c\tau+d}\right) = \xi (c\bar{\tau}+d)^{5/2} f(\bar{\tau})}$$

\tilde{f} is Eigenvector for the Casimir $\Omega \in \mathbb{Z}(\mathfrak{sl}_2): \Omega \tilde{f} = \lambda \tilde{f}$

For which φ is Γ holomorphic:

$$h: U(1) \rightarrow K \cup \mathbb{Z}(K), \quad h(e^{i\alpha}) = \begin{pmatrix} I \cdot \cos \alpha & -I \cdot \sin \alpha \\ I \cdot \sin \alpha & I \cdot \cos \alpha \end{pmatrix}$$

complex structure J on $\mathfrak{g}/\mathfrak{k}$ is $\text{Ad } h(e^{-2\pi i/\theta})$.

Formulas: $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$, $\mathfrak{p}_{\mathbb{C}} = \mathfrak{p}_+ + \mathfrak{p}_-$ ($J = i, -i$)

$$\mathfrak{p}_{\pm} = \left\{ \begin{pmatrix} A & \pm iA \\ \pm iA & -A \end{pmatrix} \mid A = {}^t A \in M_n(\mathbb{C}) \right\}$$

Properties: (1) $f: G \rightarrow \mathbb{C}$ is of the form

$$G \rightarrow G/K \xrightarrow{\text{holomorphic}} \mathbb{C} \iff (k + \mathfrak{p}_-) f = 0.$$

$$(2) \quad \mathfrak{p}_- J(g, iI) = 0.$$

Corollary: $\mathfrak{p}_- \varphi = 0 \implies f$ is holomorphic.

True for $\varphi = \varphi(x) = (\text{pol. of deg } \leq 1) e^{-\pi x^2} \implies$

$f = \theta_c, \text{ scalar}$, $\theta_c, \text{ vector}$.

θ - functions of quadratic forms

$$\text{Ex: } \theta(\tau)^d = \sum_{u \in \mathbb{Z}^d} e^{\pi i \underbrace{(u_1^2 + \dots + u_d^2)}_{S(u)} \tau}, \quad \theta\left(\frac{a\tau + b}{c\tau + d}\right)^d = \zeta(c\tau + d)^{d/2} \theta(\tau)^d$$

General case: (V, S) quadratic form (non-deg.), $d = \dim_{\mathbb{R}} V$
 (W, B) as before $\implies (V \otimes W, S \otimes B)$ symplectic

$$\text{Dual pair: } O(V) \times Sp(W) \rightarrow Sp(V \otimes W)$$

$$h, g \longmapsto h \otimes g$$

Weil representation of $Mp(V \otimes W)$: $\boxed{\dim_{\mathbb{R}} W = 2n}$

$$\text{Fix: } \ell \in \text{Lagr}(W) \implies V \otimes \ell \in \text{Lagr}(V \otimes W)$$

$\hat{\mathcal{R}}_{V \otimes \ell}$ acts on $L^2(V \otimes (W/\ell)) \cong L^2(V^n) \supset \mathcal{F}(V^n)$
 $\leftarrow O(V)$ -stable

$h \in O(V)$ acts by

$$\boxed{(\hat{\mathcal{R}}(h)f)(v) = \alpha(h) f(h^{-1}v)}$$

$v \in V^n$
 $\alpha: O(V) \rightarrow \{\pm 1\}$

R. Howe's idea: restriction of $\tilde{R}_{V \otimes \ell}$ to $O(V) \times Mp(W)$ should give a correspondence between some representations of $O(V), Mp(W)$

Case of S positive definite: fix a basis of ℓ and of $V \Rightarrow$ coordinates x_{jp} on $V^n = V \otimes \ell^*$ ($1 \leq j \leq n, 1 \leq p \leq d$)

Def: a polynomial $P: (V^n)_{\mathbb{C}} \rightarrow \mathbb{C}$ is pluriharmonic (w.r.t. S) if

$$\forall p, q \quad \sum_{j, k=1}^n \left(\frac{\partial}{\partial x_{jp}} \frac{\partial}{\partial x_{kq}} \right) (S^{-1})_{pq} P = 0$$

$$[S = {}^t S \in M_d(\mathbb{R}) \quad \text{pos. def.}]$$

Consider: $\psi = P \cdot \psi_0 \in \mathcal{P}(V^n)$, $\psi_0 = e^{-\pi \sum_{j, l \in \mathbb{Z}} x_{pj} S_{pl} x_{qj}}$

Proposition: P pluriharmonic $\Leftrightarrow \mu_- \psi = 0$.

Fix: $O(V)$ -stable subspace of pluriharmonic polynomials and its basis P_1, \dots, P_M .

Thm: For each $a \in M_{d \times n}(\mathbb{Q})$, $T \in \mathcal{H}_n$

$$\theta_a(T) = \sum_{X \in M_{d \times n}(\mathbb{Z}) + a} \begin{pmatrix} P_1 \\ \vdots \\ P_M \end{pmatrix}(X) e^{\pi i \text{Tr}(T {}^t X S X)}$$

is a vector-valued holomorphic automorphic form on $Mp(2n, \mathbb{R})$ (in fact, on $Sp(2n, \mathbb{R})$ if $2 \mid d = \dim(V)$)

Ex: $n=1$ $P: V_{\mathbb{C}} \rightarrow \mathbb{C}$ is a harmonic polynomial

if $\sum_{p_1, p_2=1}^d (S^{-1})_{p_2} \frac{\partial}{\partial x_{p_1}} \frac{\partial}{\partial x_{p_2}} P = 0$.

(const.) action of $Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \in \text{Lie}(\underbrace{SL_2(\mathbb{R})}_{Sp(W)})$

(1) $d=1$: $S(x) = x^2$, $P(x) = \boxed{1, x}$ are harmonic
 \Rightarrow get $\theta_{1/2}(\tau), \theta_{3/2}(\tau)$.

(2) $d=2$: $S(x) = x_1^2 + x_2^2$, $\boxed{(x_1 \pm i x_2)^M \quad (M \geq 0)}$ are harmonic

\Rightarrow get $f(\tau) = \sum_{n_1, n_2 \in \mathbb{Z} + a} (n_1 + i n_2)^M e^{\pi i (n_1^2 + n_2^2) \tau}$ ($a \in \mathbb{Q}$)

$f\left(\frac{a\bar{\tau} + b}{c\bar{\tau} + d}\right) = (\text{const.}) (c\bar{\tau} + d)^{\overbrace{M+1}^{d/2 + \deg(P)}} f(\tau)$

$\forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in$ some congruence subgroup of $SL_2(\mathbb{Z})$

2nd approach (Siegel): use majorants $\tilde{S} > 0$

Ex: $S = \underbrace{x_1^2 + \dots + x_p^2}_{S_+} + \underbrace{(-y_1^2 - \dots - y_q^2)}_{S_-}$ on $V = \mathbb{R}^{p+q}$

majorant of S $\tilde{S} = S_+ - S_- = x_1^2 + \dots + x_p^2 + y_1^2 + \dots + y_q^2$

Action of $\text{Lie}(Mp(W)) = \mathfrak{sl}(2) \subset \text{Lie}(Mp(V \otimes W))$ on $\mathcal{Y}(V)$: $(x \in V)$ ($\dim W = 2$)

$X \mapsto \pi i \underbrace{({}^t x S x)}_S$, $Y \mapsto \frac{i}{4\pi} ({}^t \partial S^{-1} \partial)$, $H \mapsto \frac{1}{2} ({}^t x \partial + {}^t \partial x)$
(true for any S)

Gaussian of \tilde{S} : $\varphi = e^{-\pi \tilde{S}} \in \mathcal{Y}(V)$ (1) on V

$X\varphi = (\pi i S)\varphi$, $Y\varphi = ((\pi i S) + \frac{i}{2}(q-p))\varphi$, $H\varphi = (-2\pi \tilde{S} + \frac{p+q}{2})\varphi$

$\Rightarrow \left| i(X-Y)\varphi = \frac{-p+q}{2} \right|$ $K = SO(2) \subset SL_2(\mathbb{R}) = Sp(W)$
 \downarrow $U(1) \quad \uparrow \quad \subset \quad \uparrow$
 $\tilde{K} \quad \quad \quad Mp(W)$

φ is \tilde{K} -finite, with \tilde{K} acting by $\det^{-\frac{p-q}{2}}$.

General θ -machinery: $\tau = u + iv \in \mathcal{H}$, $a_0 \in \mathbb{Q}^{p+q}$.

$\varphi \xrightarrow{\begin{pmatrix} v^{1/2} & 0 \\ 0 & v^{-1/2} \end{pmatrix}} v^{\frac{p+q}{4}} e^{-\pi v \tilde{S}} \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \xrightarrow{\pi i u S - \pi v \tilde{S}} v^{\frac{p+q}{4}} e^{\pi i u S - \pi v \tilde{S}}$
 $g_\tau = \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} v^{1/2} & 0 \\ 0 & v^{-1/2} \end{pmatrix} : i \mapsto \tau$ $\pi i(\tau S_+ + \bar{\tau} S_-)$

$(v^{-1/2})^{\frac{p-q}{2}} \lambda_{a_0}(g_\tau \cdot \varphi) = v^{q/2} \sum_{\substack{(x) \\ (y) \in \mathbb{Z}^{p+q} \\ + a_0}} e^{\pi i(\tau(x_1^2 + \dots + x_p^2) - \bar{\tau}(y_1^2 + \dots + y_q^2))}$

$\forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_{a_0} \subset SL_2(\mathbb{Z})$ $f\left(\frac{a\tau + b}{c\tau + d}\right) = (\text{const.}) (c\tau + d)^{\frac{p-q}{2}} f(\tau)$

Is f an automorphic form?

$F(\tau) = v^{-2/2} f(\tau)$ $F\left(\frac{a\tau + b}{c\tau + d}\right) = (\dots) (c\tau + d)^{p/2} (\bar{c}\tau + d)^{q/2} F(\tau)$

f is **NOT** $\mathbb{Z}(\mathfrak{sl}_2)$ -finite \Rightarrow **NOT** an automorphic form!
 $\Omega = XY + YX + \frac{H^2}{2}$ (if $p, q \geq 1$)

$$\forall n \geq 1 \quad \Omega^n \psi = ((-8\pi^2 S_+ S_-)^n + \text{lower terms}) \psi$$

Explanation: \tilde{S} is **NOT** canonical.

$$\{\text{majorants of } S\} \leftrightarrow \{V = V_+ \perp V_-, S|_{V_+} > 0, S|_{V_-} < 0\}$$

$$Gr_q^-(V) = \left\{ Z \subset V \mid \begin{array}{l} \dim(Z) = q \\ S|_Z < 0 \end{array} \right\}$$

symmetric space of $O(V)$
 $\simeq O(p, q) / O(p) \times O(q)$
 $\text{sgn}(S) = (p, q)$

majorant $\tilde{S}_Z(x) = S(\text{pr}_{Z^\perp}(x)) - S(\text{pr}_Z(x))$

Siegel: consider for each $Z \in Gr_q^-(V)$

$$f(\tau, Z) = f_Z(\tau) = (\text{Im } \tau)^{q/2} \sum_{\begin{pmatrix} x \\ y \end{pmatrix} \in \mathbb{Z}^{p+q}_{+a_0}} e^{\pi i(\tau S(\text{pr}_{Z^\perp}(x)) + \bar{\tau} S(\text{pr}_Z(x)))}$$

and integrate over $Gr_q^-(V)$:

$$I(f)(\tau) = \int_{Gr_q^-(V)} f(\tau, Z) dZ \quad \text{is an automorphic form.}$$

Siegel's Formula: for $p+q > 4$

$I(f)$ is an Eisenstein series: linear combination of functions such as

$$\sum'_{\begin{pmatrix} m \\ n \end{pmatrix} \in \mathbb{Z}^2 + b_0} \frac{\text{Im}(\tau)^{q/2}}{(m\tau + n)^{p/2} (m\bar{\tau} + n)^{q/2}}$$

Theta correspondence: consider

$$\tau \mapsto \int_{Gr_q^-(V)} f(\tau, Z) \text{ (automorphic form on } O(p, q)) dZ$$

The work of Kudla - Millson (et al.)

Positive semi-definite symmetric matrices parameterise two kinds of objects:

(1) Fourier coefficients of Siegel modular forms:

$$f(T) = \sum_{B \in \text{Sym}_n(\frac{1}{N}\mathbb{Z})_{\geq 0}} e^{2\pi i \text{Tr}(BT)} \times \underbrace{\text{(function of } B, v)}_{a(B) e^{2\pi i \text{Tr}(Biv)} \text{ if } f \text{ holomorphic}} \quad T = u + iv \in \mathcal{H}_n$$

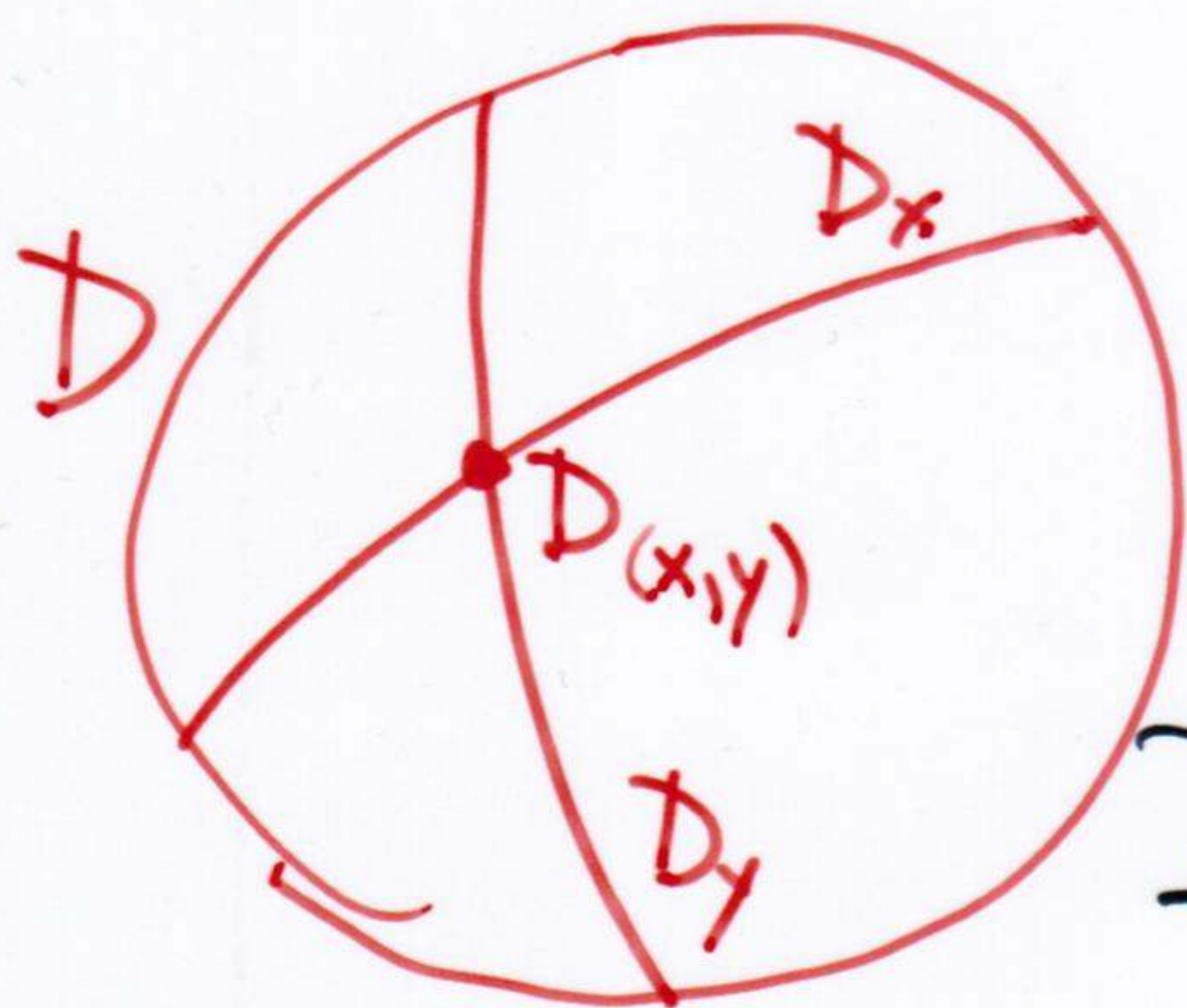
(2) special cycles in $D = \text{Gr}_2^-(V) \simeq O(p, q) / O(p) \times O(q)$.

Special cycles in D

Recall: $V_1(1)$ quadratic space over \mathbb{R} , $\text{sgn}(V) = (p, q)$, $[p, q \geq 1]$, $D = \text{Gr}_2^-(V) = \{Z \subset V \mid \dim(Z) = 2, (1)|_Z < 0\} \ni \sigma$
 $G = O(V) (\simeq O(p, q))$, $K = G_\sigma (\simeq O(p) \times O(q))$, $G/K \rightarrow D$

$$\boxed{\dim_{\mathbb{R}}(D) = pq}$$

$$\text{Def: } \boxed{x \in V \Rightarrow D_x := \{Z \in D \mid Z \perp x\} \subset D}$$



(0) $(x, x) < 0 \Rightarrow D_x = \emptyset$

(1) $(x, x) > 0 \Rightarrow D_x = \text{Gr}_2^-(x^\perp) \Rightarrow \text{codim}_{\mathbb{R}}(D_x) = 2$
 $\text{sgn}(p-1, q)$

$$\text{Def: } X = (x_1, \dots, x_n) \in V^n \Rightarrow \boxed{D_X := D_{x_1} \cap \dots \cap D_{x_n} \subset D}$$

$$\underline{X} := \text{span}_{\mathbb{R}}(x_1, \dots, x_n) \subset V, \quad (X, X) = ((x_i, x_j)) \in \text{Sym}_n(\mathbb{R})$$

(2) If $(X, X) > 0$ (pos. def.) $\Rightarrow D_X = \text{Gr}_2^-(\underline{X}^\perp) \Rightarrow \text{codim}_{\mathbb{R}}(D_X) = nq$
 $\text{sgn}(p-n, q)$

(3) If $\dim_{\mathbb{R}}(\underline{X}) = t \leq n$ $\left. \begin{array}{l} \\ (1)|_{\underline{X}} > 0 \end{array} \right\} \Rightarrow D_X = \text{Gr}_2^-(\underline{X}^\perp) \Rightarrow \text{codim}_{\mathbb{R}}(D_X) = tq$
 $\text{sgn}(p-t, q)$

Special cycles in $\Gamma \backslash D$

Arithmetic subgroups $\Gamma \subset G = O(V)$:

(1) if \exists lattice $L \subset V$ s.t. $(L, L) \subseteq \mathbb{Z} \Rightarrow$

$\Gamma(L) = \{g \in G \mid gL \subseteq L\} \subset G$ discrete, $\text{vol}(\Gamma \backslash D) < \infty$

$\Gamma(L) \backslash D$ not compact $\Leftrightarrow \exists 0 \neq x \in L$ $(x, x) = 0 \Leftrightarrow p+q > 4$.

(2) Can get $\Gamma \subset G$ with $\Gamma \backslash D$ compact from

(1) over a totally real number field $F \neq \mathbb{Q}$

when $\text{sgn}(\rho) = (p, q) \times (p+q, 0)^{[F:\mathbb{Q}]-1}$.

Assume: $\exists L$; fix $\Gamma \subset \Gamma(L)$ congruence subgroup.

Consider: $X \in L^n$ as in (3) above.

Def: $G_X = \{g \in G \mid g(\underline{X}) = \underline{X}\}$ (depends only on $\underline{X} = \text{span}_{\mathbb{R}}(dx_i)$)

$\Gamma_X = \Gamma \cap G_X$. Then $G_X \cong O(p-t, q)$ acts on $D_X \cong \frac{O(p-t, q)}{O(p-t) \times O(q)}$.

Basic fact: if $\Gamma \subset$ suitable Γ' , then:

(a) $\Gamma_X \backslash D_X$ is orientable

(b) $i_X: \Gamma_X \backslash D_X \rightarrow \Gamma \backslash D$ is injective. (codim $_{\mathbb{R}} = t+q$)

Fundamental class:

$$[\Gamma_X \backslash D_X] \in H^{t+q}(\Gamma \backslash D, \mathbb{R})$$

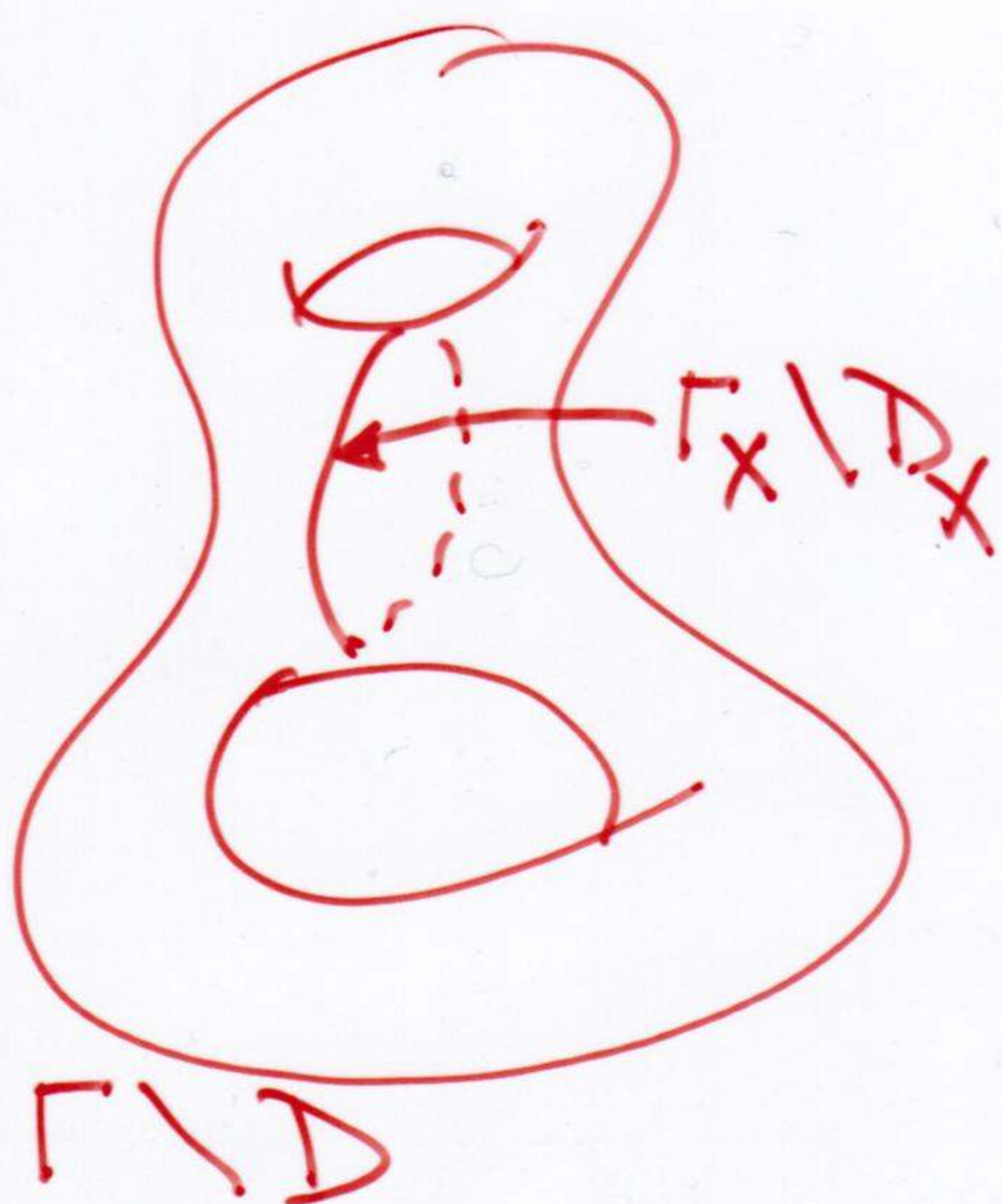
(depends only on $\Gamma \cdot X$)

Euler class: tautological $rk = q$

bundle (oriented, G -equivariant)

on $D \Rightarrow$ Euler class $e_q \in H^q(\Gamma \backslash D, \mathbb{R})$

$$2+q \Rightarrow e_q = 0$$



Main Theorem of Kudla - Millson (Publ. IHES, 1990)

Fix $N \geq 1, v \in L$; set $\mathcal{L} = N \cdot L + v$. Then:

$$f(T) = \sum_{B \in \text{Sym}_n(\mathbb{Z})_{\geq 0}} \left(\sum_{\substack{X \in \Gamma \backslash \mathcal{L}^n \\ (X_1, X_2) = B}} [\Gamma_X \backslash D_X] \cup e_q^{n - \text{rk}(B)} \right) e^{2\pi i \text{Tr}(BT)} \quad (T \in \mathcal{H}_n)$$

← finite set

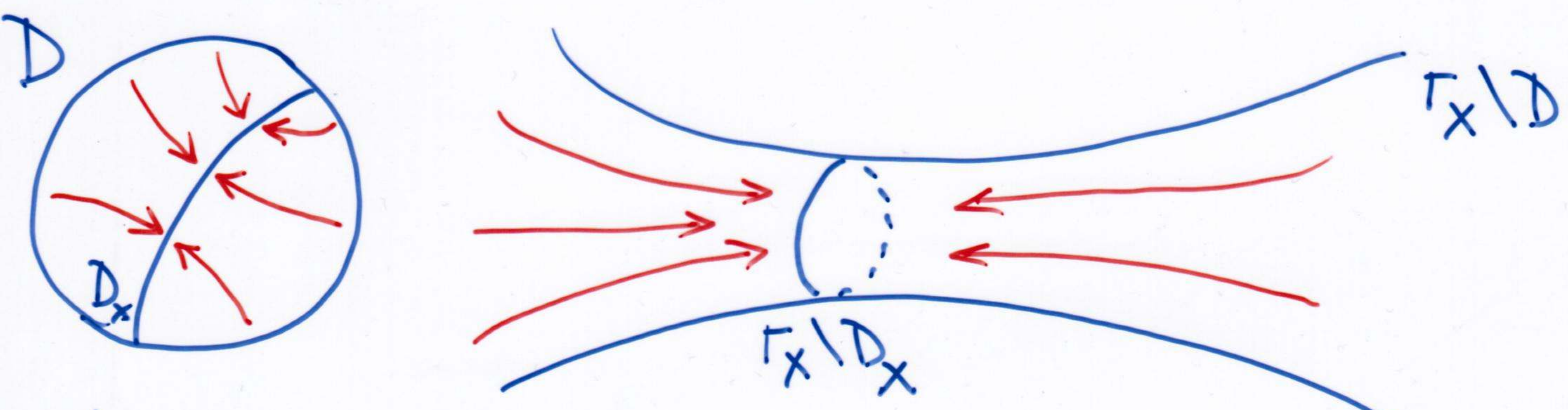
is a holomorphic Siegel modular form of weight $\frac{p+q}{2}$ with coefficients in $H^{nq}(\Gamma \backslash D, \mathbb{R})$

$\Rightarrow \exists \Gamma \subset \text{Sp}(2n, \mathbb{Z})$ congruence subgroup s.t.

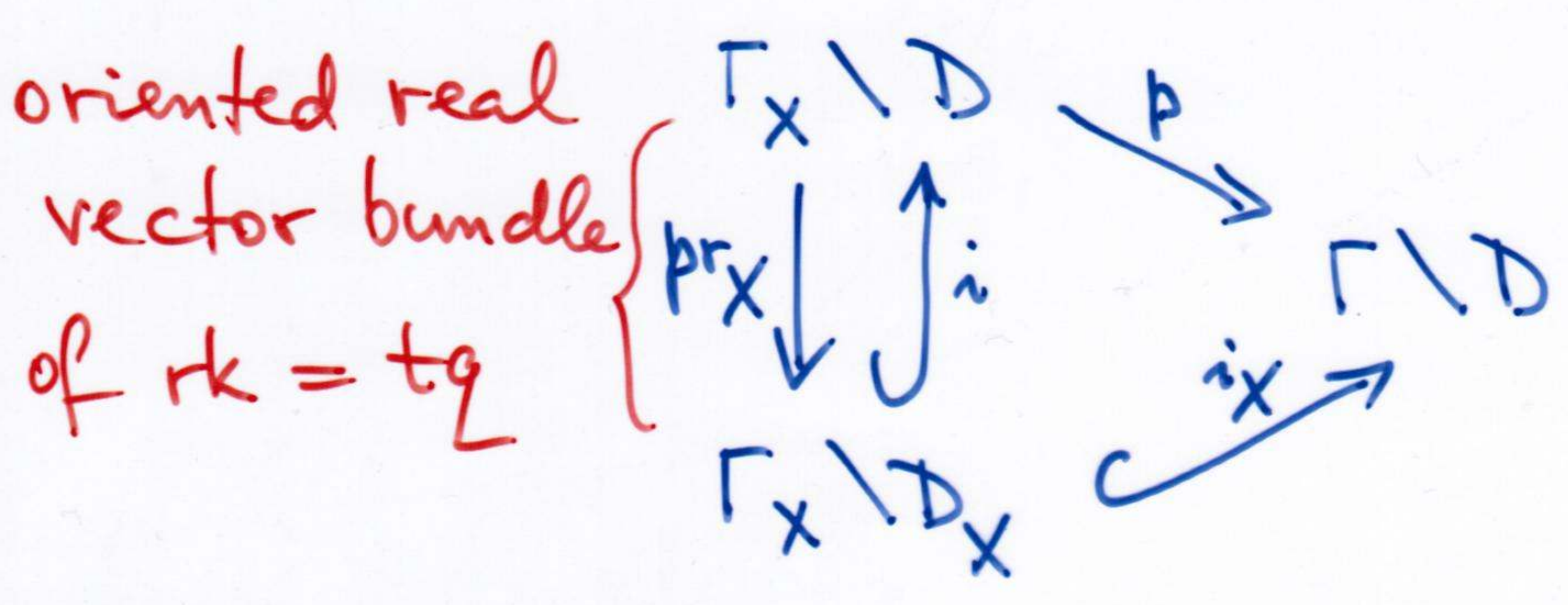
$$\forall \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma \quad f((AT+B)(CT+D)^{-1}) = (\text{const.}) \det(CT+D)^{\frac{p+q}{2}} f(T) \quad (T \in \mathcal{H}_n)$$

Main ideas of proof:

Geometry: "geodesic flow"



get $\Gamma_x \backslash D \cong$ the normal bundle of $\Gamma_x \backslash D_x$



Fundamental class of the 0-section:

$\begin{matrix} E \\ \uparrow i \\ \downarrow \pi \\ B \end{matrix}$ oriented $rk_{\mathbb{R}} = n$ bundle (\mathcal{C}^∞)
Thom form: $\omega \in A^n(E)$, $d\omega = 0$, cpt supp
 along the fibres, $\underbrace{\pi_* \omega = 1}_{\text{integral along fibres.}}$

(so $\forall b \in B$) $H_c^n(\pi^{-1}(b), \mathbb{R}) \xrightarrow{\int} \mathbb{R}$
 $\omega|_{\pi^{-1}(b)} \mapsto 1$

Then: $\forall \eta \in A_c^{\dim(B)}(E)$, $d\eta = 0$

$$\int_E \omega \wedge \eta = \int_B (\pi_* \omega) \wedge i^*(\eta) = \int_{i(B)} \eta \implies [\omega] = [i(B)] \in H^n(E, \mathbb{R})$$

(1) One needs a more general version of this for $\Gamma_x \setminus D$ with ω only "rapidly decreasing".
 $\downarrow \text{pr}_x$
 $\Gamma_x \setminus D_x$

(2) Construction of $\varphi \in (\mathcal{Y}(V^n) \otimes A^{nq}(G/K))^G$, $d\varphi = 0$

$\mathfrak{g} = \text{Lie}(G) = \mathfrak{k} \oplus \mathfrak{p}$
 $\mathfrak{p} = T_o D \simeq V_+ \otimes V_-^*$, $\dim_{\mathbb{R}} \mathfrak{p} = pq$
 at o $V = V_+ \oplus V_-$
 $\varphi = \varphi_{[nq]} = \underbrace{\varphi_{[q]} \wedge \dots \wedge \varphi_{[q]}}_{n \text{ times}}$
 $\varphi_{[q]} \in (\mathcal{Y}(V) \otimes \Lambda^q \mathfrak{p}^*)^K$
 At o : majorant of $S = (x, x) = \sum_1^p x_j^2 - \sum_{p+1}^{p+q} x_k^2$ is $\tilde{S} = \sum_1^{p+q} x_j^2$
 $\varphi^+ = e^{-\pi \tilde{S}}$

Ex: (1) $\text{sgn}(V) = (1, q)$: $\varphi_{[q]} = \left(x_1 - \frac{1}{2\pi} \frac{\partial}{\partial x_1}\right)^q \varphi^+ \otimes (\text{basis of } \Lambda^{\max} \mathfrak{p}^*)$
 (up to a constant)
 (2) $\text{sgn}(V) = (p, 1)$: $\varphi_{[1]} = \sum_{\alpha=1}^p \left(x_\alpha - \frac{1}{2\pi} \frac{\partial}{\partial x_\alpha}\right) \varphi^+ \otimes \omega_\alpha$ } basis of \mathfrak{p}^*
 dual to x_α
 Hermite pol. $\times \varphi^+$
 $2x_\alpha \varphi^+$

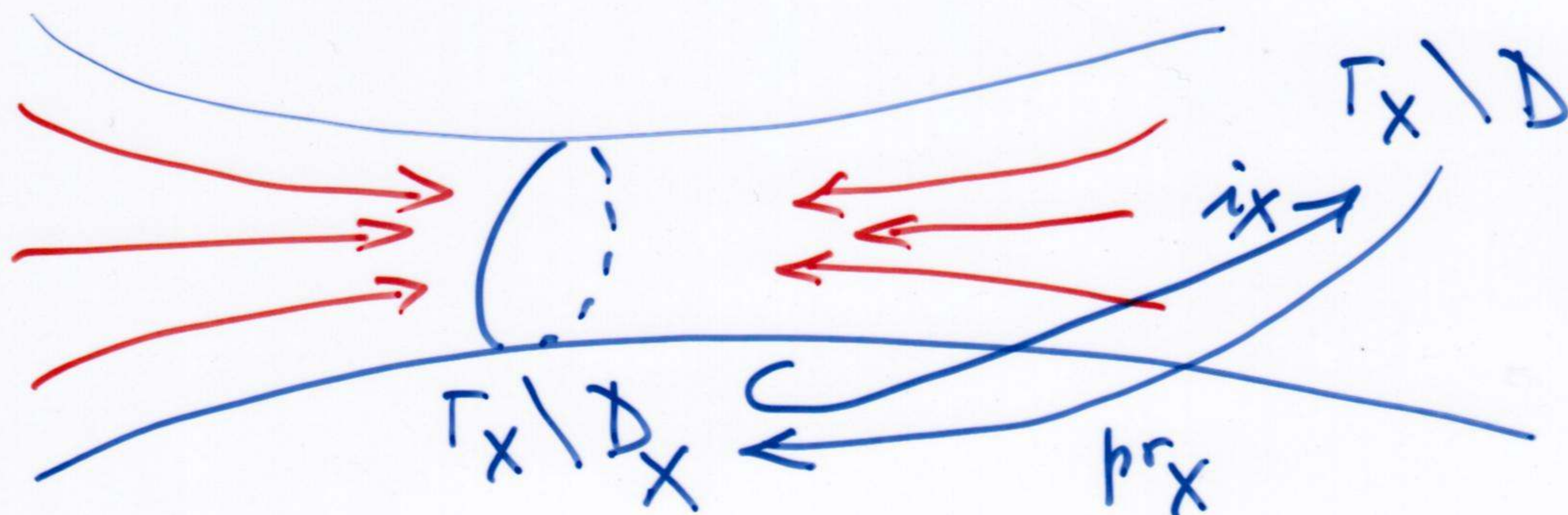
Properties of $\varphi \in \mathcal{P}(V^n) \otimes A^{nq}(G/K)^G$:

value at $X \in V^n$: $\varphi_X \in A^{nq}(G/K)^{G_X}$, $d\varphi_X = 0$

$$\forall g \in G \quad g^* \varphi_X = \varphi_{g^{-1}X}$$

From now on: $X \in L^n$
 $(X, X) > 0$

(3) Integrals along fibres:



$$(p_{\Gamma, X})_* \varphi_X = 1$$

Cor: $\varphi_{\Gamma, X} := \sum_{Y \in \Gamma, X} \varphi_Y = \sum_{Y \in \Gamma/\Gamma_X} g^*(\varphi_X)$ satisfies

$$[\varphi_{\Gamma, X}] = [\Gamma_X \setminus D_X] \in H^{nq}(\Gamma \setminus D)$$

PF: $\forall \eta \in A^{(p-n)q}(\Gamma \setminus D)$, $d\eta = 0$, η bounded

$$\int_{\Gamma \setminus D} \varphi_{\Gamma, X} \wedge \eta = \int_{\Gamma_X \setminus D_X} \varphi_X \wedge \eta \stackrel{(1)}{=} \int_{\Gamma_X \setminus D_X} (p_{\Gamma, X})_* \varphi_X \wedge i_X^*(\eta) \stackrel{(3)}{=} \int_{\Gamma_X \setminus D_X} i_X^*(\eta)$$

(4) θ -machinery: $\tilde{G}' = Mp(2n, \mathbb{R}) \supset \tilde{K}'$

$G \times \tilde{G}' \subset Mp(V \otimes \underbrace{W}_{\mathbb{R}^{2n}})$ acts on $L^2(V^n) \supset \mathcal{P}(V^n)$
by the Weil representation.

Facts: (a) \tilde{K}' acts on φ by $\det^{- (p+2)/2}$.

(b) $\lambda_{\mathcal{L}^n} = \sum_{X \in \mathcal{L}^n} \delta_X : \mathcal{P}(V^n) \rightarrow \mathbb{C}$ is $\tilde{\Gamma}'$ -invariant
(for suitable $\tilde{\Gamma}' \subset Sp(2n, \mathbb{Z})$)

Cor: the function $g' \mapsto \lambda_{\mathcal{L}^n}(\tilde{R}(g') \cdot \varphi) = \sum_{X \in \mathcal{L}^n} (\tilde{R}(g') \varphi)_X$

non-holomorphic G' gives rise to a function $F_\varphi(T)$ ($T \in \mathcal{H}_n$) with values in $A_{\mathcal{L}^n}^{nq}(G/K)$ s.t.

$$\forall \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma' \quad F_\varphi((AT+B)(CT+D)^{-1}) = (\text{const.}) \det(CT+D)^{\frac{p+q}{2}} F_\varphi(T)$$

(5) As $(\tilde{R}(\begin{pmatrix} I & u \\ 0 & I \end{pmatrix}) \varphi)_X = e^{\pi i \text{Tr}(u(X, X))} \varphi_X$, $[u \in \text{Sym}_n(\mathbb{R})]$
we get that the cohomology class

$$[F_\varphi(u+iI)] = \sum_{X \in \mathcal{L}^n} e^{\pi i \text{Tr}(u(X, X))} [\varphi_X] = \sum_{\substack{X \in \mathcal{L}^n \\ (X, X) > 0}} + \sum_{\text{rest}} =$$

$$= \sum_{\substack{X \in \Gamma \setminus \mathcal{L}^n \text{ finite} \\ B = \frac{(X, X) \in \text{Sym}_n(\mathbb{Z})_{>0}}{2}}} [\varphi_{\Gamma \cdot X}] e^{2\pi i \text{Tr}(uB)} + \text{rest}$$

(6) $\underbrace{\text{Lie}(\tilde{G}')}_{\text{sp}(2n)} = \mathfrak{k}' \oplus \mathfrak{p}'$, $\mathfrak{p}'_{\mathbb{C}} = \mathfrak{p}'_+ \oplus \mathfrak{p}'_-$.

Fact: $\mathfrak{p}'_- \varphi \in \mathcal{Y}(V^n) \otimes dA^{nq-1}(G/K)$ is exact.

Cor: $[F_\varphi(T)]$ is a holomorphic Siegel modular form of weight $\frac{p+q}{2}$ with values in $H^{nq}(G/K)$.

\Downarrow (5)

$\forall B \in \text{Sym}_n(\mathbb{Z})_{>0}$ the B-th Fourier coefficient of $[F_\varphi(T)]$ is equal to $\sum_{\substack{X \in \Gamma \setminus \mathcal{L}^n \\ (X, X) = B}} [\Gamma_X \setminus D_X]$.

(7) More work is needed to treat $X \in \mathcal{L}^n$ with $(X, X) \geq 0$ but not $(X, X) > 0$ (those with (X, X) not ≥ 0 do not contribute to $[F_p(T)]$: for $n > 1$ by the Koecher principle, for $n = 1$ since $[F_p(T)]$ has polynomial growth).

"QED".

Refinements

(a) similar result for $G \times G' = U(p, q) \times U(n, n)$ (K-M, Publ. IHES 1990)

(b) cohomology with coefficients (Funke - Millson)

(c) Bergeron - Millson - Moeglin:

$$\forall n < \frac{1}{2} (m - [\frac{m}{2}] - 1) \quad (m = p + q)$$

$H^{nq}(\Gamma \backslash D, \mathbb{C})$ is generated by $[\Gamma_x \backslash D_x]$ if compact (even with coefficients)

(d) $sqn(V) = (p, 2)$: \mathcal{D} is hermitian,

$\Gamma \backslash D$ is a Shimura variety

(quasi-projective algebraic variety, smooth if Γ is small, defined over a small number field).

Thm (Bergeron - Millson - Moezlin)

If $\text{sgn}(V) = (p, 2)$ and $\Gamma \backslash \mathbb{D}$ is compact,
then the Hodge conjecture holds

for $\underbrace{H^{n,n}(\Gamma \backslash \mathbb{D}) \cap H^{2n}(\Gamma \backslash \mathbb{D}, \mathbb{Q})}_{\text{Hodge classes}}$ if $n < \frac{1}{2} \lfloor \frac{p+1}{2} \rfloor$

any element is a \mathbb{Q} -linear combination
of classes of algebraic subvarieties
of the Shimura variety $\Gamma \backslash \mathbb{D}$ (of
 $\text{codim}_{\mathbb{C}} = n$).

Kudla's programme (arithmetic case)

If $\Gamma \backslash \mathbb{D}$ is a Shimura variety
($G = O(p, 2)$ or $U(p, 2)$) there
should be an arithmetic refinement
of the main result of Kudla-Millson,
with $H^*(\Gamma \backslash \mathbb{D})$ replaced by

- Chow groups $CH^r(Y)$
($CH^1(Y) =$ divisor class group)
- Arakelov Chow groups $\widehat{CH}^r(Y_{\mathbb{Z}})$
of a model $Y_{\mathbb{Z}}$ of Y over \mathbb{Z} .

Green's currents appear!

Solutions of $dd^c G - \delta_{\Gamma \backslash \mathbb{D}} = \varphi_{\text{Kudla-Millson}}$
Related to "Mock θ -functions".

References:

- Mumford — Tata lectures on Theta, III
 - Lion, Vergne — The Weil representation, Maslov index and theta series
 - Kudla, Millson — Publ. IHES (1990)
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