

Variational approach to conformal curves

Josef Šilhan (joint with V. Žádník)

Masaryk University, Brno, Czech Republic

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Plan

- Motivation: curves in the Euclidean/Riemannian space
- Conformal curves
- Variational approach: the simplest conformal invariant α
- The second variation of α

Motivation: curves in the Euclidean/Riemannian space

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The second variation of α

— How to deal with a curve $\Gamma \subseteq E_n$ or a Riemannian manifold M^n :

- ▶ *arc length* parametrization $s : \Gamma \rightarrow \mathbb{R}$ for which the corresponding tangent vector U^a , $U^a \nabla_a s = 1$ has unit length,
- ▶ canonical way how to differentiate vectors along Γ using $\frac{d}{ds}(\cdot) = U^a \nabla_a(\cdot) = (\cdot)'$ where $\nabla_a = \frac{\partial}{\partial x^a}$ (or Levi-Civita connect.)
- ▶ the canonically associated orthonormal *Frenet frame* (e_1, e_2, \dots, e_n) along Γ where $e_1 = U^a \rightsquigarrow$ curvatures/torsions $\kappa_1, \dots, \kappa_{n-1}$

$$e_1' = \kappa_1 e_2,$$

$$e_i' = -\kappa_{i-1} e_{i-1} + \kappa_{i+1} e_{i+1}, \quad 2 \leq i \leq n-1,$$

$$e_n' = -\kappa_{n-1} e_n.$$

- ▶ arc-length parametrized *geodesics*: $e_1' = U' = 0$, i.e. all $\kappa_i = 0$.
- ▶ Variational approach: minimize $\int_{t_1}^{t_2} U \cdot U$ (with fixed endpoints) yields the same equation.

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The second variation of α

— **Conformal geometry** $(M, [g_{ab}])$ on a smooth manifold M , $n = \dim M$ is the class of metrics $[g_{ab}] = \{e^{2\Upsilon} g_{ab} \mid \Upsilon \in C^\infty(M)\}$. This leads in particular to following data:

- ▶ density bundles $\mathcal{E}[w]$, $w \in \mathbb{R}$ such that $\mathcal{E}[-n] = \Lambda^n T^*M$,
- ▶ the conformal metric $g_{ab} \in \mathcal{E}_{(ab)}[2] \rightsquigarrow$ raising and lowering of abstract indices,
- ▶ if ∇_a and $\hat{\nabla}_a$ are Levi-Civita connections of metrics g_{ab} and $\hat{g}_{ab} = e^{2\Upsilon} g_{ab}$, respectively, then

$$\hat{\nabla}_a \mu^b = \nabla_a \mu^b + \Upsilon_a \mu^b - \mu_a \Upsilon^b + \mu^c \Upsilon_c \delta_a^b, \quad \mu^a \in \mathcal{E}^a$$

where $\Upsilon_a = \nabla_a \Upsilon \in \mathcal{E}_a$.

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— Considering a curve $\Gamma \subseteq M$ on a conformal manifold M , we would like to find a conformal Frenet construction. We face two obvious problems:

▶ **Problem 1.:** Is there a **conformal arc length** parametrization?

Answer: generically yes but actually more than that.

▶ **Problem 2.:** Is there an **invariant differentiation** along Γ , i.e. independent on the choice of $g \in [g]$?

Answer: yes but complicated (Fialkow) \rightsquigarrow we replace the tangent Frenet frame by tractors (more conceptual).

— Our setup: choosing an arbitrary parametrization t , we have $U^a \in \mathcal{E}^a$ and $u \in \mathcal{E}[1]$ along Γ as follows:

$$t : \Gamma \rightarrow \mathbb{R}, \quad U^a \nabla_a t = 1, \quad u := \sqrt{U^a U_a} \in \mathcal{E}[1].$$

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— Fialkow : “The Conformal Theory of Curves” (TAMS, 1942)

↪ the classical (although technical) presentation of conformal invariants of curves.

— Bailey and Eastwood: “Conformal circles and parametrizations of curves in conformal manifolds” (PAMS), 108(I):215–221, 1990

↪ the first attempt to variational study of curves: a (conformally noninvariant) “BE-functional”.

— Bailey, Eastwood and Gover: “Thomas’s Structure Bundle for Conformal, Projective and Related Structures” (Rocky Mountain J. Math., 1994)

↪ introduces tractors along curves (and our main motivation).

— Musso: “The Conformal Arclength Functional” (Math. Nachr., 165:107–131, 1994)

↪ variational approach focused on a different functional than we discuss here.

- The tractor bundle \mathcal{T} is isomorphic, depending on the choice of the metric $g \in [g]$, to the direct sum $[\mathcal{T}]_g = \mathcal{E}[1] \oplus \mathcal{E}_a[1] \oplus \mathcal{E}[-1]$.
- The tractor bundle \mathcal{T} admits an invariant connection $\nabla^{\mathcal{T}}$,

$$\nabla_a^{\mathcal{T}} \begin{pmatrix} \alpha \\ \mu_b \\ \tau \end{pmatrix} = \begin{pmatrix} \nabla_a \alpha - \mu_a \\ \nabla_a \mu_b + g_{ab} \tau + P_{ab} \alpha \\ \nabla_a \tau - P_{ab} \mu^b \end{pmatrix}.$$

where $g_{ab} \in \mathcal{E}_{(ab)}[2]$ is the *conformal metric* and P_{ab} the Schouten tensor. Further, we have $\nabla^{\mathcal{T}}$ -parallel Lorenzian metric h on \mathcal{T} ,

$$h = \begin{pmatrix} 0 & 0 & 1 \\ 0 & g_{ab} & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

- Problem 2 is solved if we build the Frenet frame using tractors.

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— The naive tractor frame for a fixed parametrization $t : \Gamma \rightarrow \mathbb{R}$ with $u = \sqrt{U^a U_a}$ starts with tractors

$$\mathbf{T} := \begin{pmatrix} 0 \\ 0 \\ u^{-1} \end{pmatrix}, \quad \mathbf{U} := \frac{d}{dt} \mathbf{T} = \begin{pmatrix} 0 \\ u^{-1} U^a \\ * \end{pmatrix}, \quad \mathbf{U}' := \frac{d^2}{dt^2} \mathbf{T} = \begin{pmatrix} -u \\ * \\ * \end{pmatrix},$$

where $*$ denotes unspecified terms and

$$\mathbf{U} := \frac{d}{dt} \mathbf{T}, \quad \mathbf{U}' := \frac{d^2}{dt^2} \mathbf{T}, \quad \dots, \quad \mathbf{U}^{(i)} := \frac{d^{i+1}}{dt^{i+1}} \mathbf{T}.$$

— We consider Gram matrices of $(\mathbf{T}, \mathbf{U}, \mathbf{U}', \dots, \mathbf{U}^{(i)})$, e.g.

$$\text{Gram}(\mathbf{T}, \mathbf{U}, \mathbf{U}', \mathbf{U}'') = \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & -\alpha \\ -1 & 0 & \alpha & \frac{1}{2}\alpha' \\ 0 & -\alpha & \frac{1}{2}\alpha' & \beta \end{pmatrix}, \quad \begin{aligned} \alpha &= \mathbf{U}' \cdot \mathbf{U}', \\ \beta &= \mathbf{U}'' \cdot \mathbf{U}'' \end{aligned}$$

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— Another parameter $\tilde{t} = g(t)$ yields the new frame $\tilde{\mathbf{T}}, \tilde{\mathbf{U}}, \tilde{\mathbf{U}}', \dots$ where $\frac{d}{d\tilde{t}} = g'^{-1} \frac{d}{dt}$. Which quantities can we **normalize** by a suitable reparametrization? Firstly,

$$\tilde{\mathbf{U}}' \cdot \tilde{\mathbf{U}}' = g'^{-2} (\mathbf{U}' \cdot \mathbf{U}' - 2\mathcal{S}(g)) \rightsquigarrow \text{normalization } \tilde{\mathbf{U}}' \cdot \tilde{\mathbf{U}}' = 0$$

yields a projective class of distinguished parameters. Here $\mathcal{S}(g)$ denotes the Schwarzian derivative. Secondly, put

$$\Delta_i := \det \left(\text{Gram} \left(\mathbf{T}, \mathbf{U}, \mathbf{U}', \dots, \mathbf{U}^{(i-2)} \right) \right),$$

with $\Delta_1 = \Delta_2 = 0$, $\Delta_3 = 1$ and Δ_4 the first nontrivial. Then

$$\tilde{\Delta}_i = g'^{-i(i-3)} \Delta_i \rightsquigarrow \text{generic normalization } \Delta_i = \pm 1.$$

- ▶ Δ_i are **relative invariants** of the curve Γ ,
- ▶ in fact $\Delta_4 \geq 0$ i.e. generically we can reparametrize to
$$\tilde{\Delta}_i = 1 \quad \rightsquigarrow \quad \underline{\text{conformal arc length parametrization,}}$$
- ▶ the (parametrization independent) condition $\Delta_4 = 0$ defines a class of **conformal circles**, i.e. distinguished curves in conformal geometry.

— Construction of the tractor Frenet frame:

- ▶ find the conformal arc length parametrization,
- ▶ build the frame $(T, U, U', \dots, U^{(i)})$,
- ▶ use the Gram-Schmidt orthonormalization to find its (Lorenzian) orthonormal version,
- ▶ derive corresponding Frenet formulae analogously as in Lorenzian geometry \rightsquigarrow **absolute invariants** = curvatures/torsions.

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The second variation of α

— Obvious candidates for such functionals are

$$\alpha = \mathbf{U}' \cdot \mathbf{U}', \quad \beta = \mathbf{U}'' \cdot \mathbf{U}'', \quad \gamma = \mathbf{U}''' \cdot \mathbf{U}'''$$

or their combinations, e.g. $-\Delta_4 = \beta - \alpha^2 \geq 0$.

— The **simplest functional** is

$$\alpha = \mathbf{U}' \cdot \mathbf{U}' = 3u^{-2} U'_c U'^c + 2u^{-2} U_c U''^c - 6u^{-4} (U_c U'^c)^2 + 2P_{cd} U^c U^d$$

is of the 3rd order. (That is, the tangent vector U^a is of the first order.)

► The order can be reduced by adding an exact term:

$$\alpha - 2U^r \nabla_r (u^{-2} U_c U'^c) = u^{-2} U'_c U'^c - 2u^{-4} (U_c U'^c)^2 + 2P_{ab} U^a U^b.$$

► The right hand side is exactly (conformally noninvariant) BE-functional \rightsquigarrow BE-functional and α have the same family critical curves.

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— **The setup for variation:** fix endpoints $x_1, x_2 \in M$ and tangent vectors at endpoints $A_i \in T_{x_i}M$.

- Given a curve $c(t)$ parametrised on interval $[t_1, t_2]$ with $c(t_i) = x_i \in M$ and tangent vectors at endpoints $U(t_i) = A_i$, we put

$$\mathcal{J}(c) = \int_{t_1}^{t_2} \mathbf{U}' \cdot \mathbf{U}'.$$

- Given such a curve, we consider a variational vector field Z along $c(t)$ such that

$$Z(x_i) = Z'(x_i) = 0 \quad \text{and} \quad [U, Z] = \nabla_U Z - \nabla_Z U = 0,$$

where we extended Z and U to some neighbourhood of $c(t)$.

- Further consider $Z := \nabla_Z T = \begin{pmatrix} 0 \\ u^{-1} Z^a \\ -u^{-3} U^r Z'_r \end{pmatrix}$ where $Z' = \nabla_U Z$.

- ▶ Since $\nabla_Z \mathbf{U}' = \nabla_U \nabla_U \mathbf{Z} + Z^r U^s \Omega_{rs}(\mathbf{U}) \cdot \mathbf{U}'$, integration by parts yields

$$\begin{aligned} \nabla_Z \mathcal{J}(c) &= \nabla_Z \int_{t_1}^{t_2} \mathbf{U}' \cdot \mathbf{U}' = 2 \int_{t_1}^{t_2} \mathbf{Z} \cdot \mathbf{U}''' + Z^r U^s \Omega_{rs}(\mathbf{U}) \cdot \mathbf{U}' = \\ &= 2 \int_{t_1}^{t_2} \mathbf{Z} \cdot (\mathbf{U}''' + \alpha \mathbf{U}' + \alpha' \mathbf{U}) + Z^r U^s \Omega_{rs}(\mathbf{U}) \cdot \mathbf{U}' = 0 \end{aligned}$$

for every Z (modulo boundary terms).

- ▶ Further we assume **conformally flat case**, i.e. $\Omega_{ab} = 0$. Since the tractor field $\mathbf{U}''' + \alpha \mathbf{U}' + \alpha' \mathbf{U}$ has zero top slot, we obtain the tractor version of the Euler-Lagrange equations

$$\mathbf{U}''' + \alpha \mathbf{U}' + \alpha' \mathbf{U} + \Phi \mathbf{T} = 0 \quad \text{for a function } \Phi.$$

In fact, one can show that $\Phi = -\Delta_4 = \beta - \alpha^2$.

— Recall curves on n -dimensional conformal manifolds have conformal curvatures and K_1, \dots, K_{n-1} . Alternatively, K_2, \dots, K_{n-1} are referred to as (higher) conformal torsions.

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$$U'' + \alpha U = \begin{pmatrix} 0 \\ N^a \\ * \end{pmatrix}$$

where $N \perp U$ is the *Fialkow normal*. Explicitly,

$$N^a = u^{-1} U''^a - 3u^{-3} (U_r U'^r) U'^a + u^{-1} (\dots) U^a - u^{-1} U^r P_r^a.$$

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Motivation: curves in the Euclidean/Riemannian space

Conformal curves

Variational approach to conformal curves

The second variation of α

— Assuming the **locally flat case**, critical curves of $\mathcal{J}(c)$ are characterized by

$$U'''' + \alpha U' - \Phi T = 0, \quad \Phi = \beta - \alpha^2 \geq 0, \quad \alpha, \beta \in \mathbb{R}.$$

— Let us compute the second variation at such curve (modulo boundary terms):

$$\nabla_Z \nabla_Z \mathcal{J}(c) = \int_{t_1}^{t_2} Z'' \cdot Z'' - \alpha Z' \cdot Z' - \Phi Z \cdot Z.$$

— Is there really a chance for local extremals? No – a suitable reparametrization can increase or decrease $\mathcal{J}(c)$. Further observe:

- ▶ Variation vector field Z tangent to the curve \rightsquigarrow reparametrization of $c(t)$.
- ▶ Thus we have the following question: are there local extremals of $\mathcal{J}(c)$ with respect to **normal variations** only?
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— For simplicity we restrict to dimension **three**. Then we have orthogonal decomposition

$$\mathcal{T}|_{c(t)} = \langle \mathbf{T}, \mathbf{U}, \mathbf{U}' \rangle \oplus \langle \mathbf{U}'' + \alpha \mathbf{U} \rangle \oplus \langle \mathbf{V} \rangle, \quad \mathbf{V}' = 0.$$

— A normal variation $\mathbf{Z} \perp \mathbf{U}$ means $\mathbf{Z} \perp \langle \mathbf{T}, \mathbf{U}, \mathbf{U}' \rangle$.

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— Another ingredient: Wirtinger's inequality

$$\int_{t_1}^{t_2} h'^2 \geq \frac{\pi^2}{(t_2 - t_1)^2} \int_{t_1}^{t_2} h^2, \quad h(t_1) = h(t_2) = 0.$$

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Thank you for your attention!