

# Solving Cartan's Realization Problem

Rui Loja Fernandes

University of Illinois at Urbana-Champaign, USA

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**Main message:** Lie groupoids and Lie algebroids (with extra structure) provide the right language to solve equivalence problems.

Based on **joint work with Ivan Struchiner (USP)**:

- The Global Solutions to a Cartan's Realization Problem, [arXiv:1907.13614](https://arxiv.org/abs/1907.13614).  
To appear in *Memoirs of the AMS*

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The main steps of the program:

Classification problem for a  
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Solutions to  
classification problem  $\longleftrightarrow$  Integrate  $G$ -structure algebroid to  
 $G$ -structure groupoid (with connection)

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- ▶ Recollection of  $G$ -structures
- ▶ Finite type vs infinite type through examples
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## Lecture 3:

- ▶  $G$ -integrability
- ▶ Solving Cartan's Realization Problem
- ▶ Moduli space of solutions
- ▶ The example of extremal Kähler metrics on surfaces

# $G$ -principal bundles

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## Example

For an effective orbifold  $M$  with  $\dim M = n$ , its **frame bundle**:

$$\pi : F(M) \rightarrow M$$

is a principal  $GL(n, \mathbb{R})$ -bundle.



# Connections on $G$ -principal bundles

A **principal connection** on  $\pi : P \rightarrow M$  is a subbundle  $H \subset TP$  satisfying:

- (i) horizontal:  $TP = \ker d\pi \oplus H$ ;
- (ii)  $G$ -invariance:  $H_{pg} = g_*H_p$ , for all  $g \in G, p \in P$ .

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Equivalently:

A **principal connection** on  $\pi : P \rightarrow M$  is a form  $\omega \in \Omega^1(P, \mathfrak{g})$  satisfying:

- (i) vertical:  $\omega(\alpha_p) = \alpha$ , for all  $\alpha \in \mathfrak{g}$ ;
- (ii)  $G$ -invariance:  $g^* \omega = \text{Ad}_{g^{-1}} \omega$ , for all  $g \in G$ .

$\omega$  is called the **connection 1-form**.

$$H = \text{Ker } \omega$$

# $G$ -structures

If  $G \subset GL(n, \mathbb{R})$  is a closed subgroup:

A  $G$ -structure over  $M$  is a  $G$ -principal subbundle of the frame bundle:

$$F_G(M) \subset F(M).$$

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G-structures allow to encode many geometric structures, e.g.

- Coframes  $\iff \{e\}$ -structures;
- Riemannian structures  $\iff O_n$ -structures;
- Almost complex structures  $\iff GL_n(\mathbb{C})$ -structures;
- Almost symplectic structures  $\iff Sp_n$ -structures;
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**Note:** We will assume  $G$  compact and connected. Results extend to more general cases with appropriate properness assumptions.

# Tautological form

The **tautological form** of a  $G$ -structure  $\pi : F_G(M) \rightarrow M$  is

$$\theta \in \Omega^1(F_G(M), \mathbb{R}^n), \quad \theta(\xi) := \rho^{-1}(d\rho\pi(\xi))$$

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## Proposition

A  $G$ -principal  $\pi : P \rightarrow M$  is a  $G$ -structure if and only if it carries a 1-form  $\tilde{\theta} \in \Omega^1(P, \mathbb{R}^n)$  satisfying (i)–(iii). Each such form gives a unique isomorphism  $P \simeq F_G(M)$  identifying  $\tilde{\theta} \simeq \theta$ .



# Equivalence of $G$ -structures

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Two  $G$ -structures  $F_G(M_1)$  and  $F_G(M_2)$  are **(locally) equivalent** if there is a (local) diffeomorphism  $\phi : M_1 \rightarrow M_2$  such that:

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## Proposition

*A principal bundle map  $\Phi : F_G(M_1) \rightarrow F_G(M_2)$  is an equivalence if and only if  $\Phi^*\theta_2 = \theta_1$ .*

# Structure equations of a $G$ -structure with connection

## Theorem

Let  $\pi : F_G(M) \rightarrow M$  be  $G$ -structure with tautological form  $\theta \in \Omega^1(F_G(M), \mathbb{R}^n)$  and connection 1-form  $\omega \in \Omega^1(F_G(M), \mathfrak{g})$ . Then the following **structure equations** hold:

$$\begin{cases} d\theta = c(\theta \wedge \theta) - \omega \wedge \theta \\ d\omega = R(\theta \wedge \theta) - \omega \wedge \omega \end{cases}$$

where:

- ▶  $c : F_G(M) \rightarrow \text{Hom}(\wedge^2 \mathbb{R}^n; \mathbb{R}^n)$  is the **torsion**;
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**Remark:** The pair

$$(\theta, \omega) : TF_G(M) \rightarrow \mathbb{R}^n \oplus \mathfrak{g}$$

gives a coframe at each  $p$  so  $F_G(M)$  is parallelizable.



## 2) Two examples: finite vs infinite type

A Kähler manifold  $(M, g, \Omega, J)$  with scalar curvature  $R$  is called **extremal** if the hamiltonian vector field  $X_R$  is a Killing vector field (an infinitesimal isometry).



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### Problem

*Classify the extremal Kähler metrics on a surface  $M^2$ .*

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The classification problem amounts to:

Find all  $U(1)$ -structures  $P \rightarrow M$  with tautological form  $\theta$ , connection form  $\omega$  and function  $(K, T, U) : P \rightarrow \mathbb{R} \oplus \mathbb{C} \oplus \mathbb{R}$ , such that the pde's above hold.

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$$\begin{cases} dK = \cos \phi \theta^1 + \sin \phi \theta^2, & \text{where } \phi : P \rightarrow \mathbb{R}, \\ d\phi = \omega + J_1(-\sin \phi \theta^1 + \cos \phi \theta^2), & J_1 : P \rightarrow \mathbb{R} \\ dJ_1 = -(K + J_1^2)(\cos \phi \theta^1 + \sin \phi \theta^2) + J_2(-\sin \phi \theta^1 + \cos \phi \theta^2), & J_2 : P \rightarrow \mathbb{R} \\ \dots & \dots \\ dJ_k = F_k(K, J_1, \dots, J_k)(\cos \phi \theta^1 + \sin \phi \theta^2) + J_{k+1}(-\sin \phi \theta^1 + \cos \phi \theta^2), & J_{k+1} : P \rightarrow \mathbb{R} \end{cases}$$

# Surface metrics with $|\nabla K| = 1$

## Problem

Classify the oriented Riemann surfaces  $(M^2, g)$  with  $|\nabla K| = 1$ .

–  $\pi : P = F_{SO(2)}(M) \rightarrow M$  orthogonal frame bundle with tautological form  $\theta \in \Omega^1(P, \mathbb{R}^2)$  and Levi-Civita connection form  $\omega \in \Omega^1(P, \mathfrak{so}(2))$ ,

– Structure equations:

$$\begin{cases} d\theta^1 = -\omega \wedge \theta^2, \\ d\theta^2 = \omega \wedge \theta^1, \\ d\omega = K \theta^1 \wedge \theta^2 \end{cases}$$

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The method does not apply (yet) to such **infinite type** problems.

### 3) Cartan's Realization Problem

One is given **Cartan Data**:

- (i) a connected, closed, Lie subgroup  $G \subset GL(n, \mathbb{R})$ ;
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$$dh = F(h, \theta) + \psi(h, \omega)$$

# Cartan's Realization Problem - Aims

- ▶ Characterize all solutions up to equivalence
- ▶ Determine group of symmetries/Lie algebra of symmetries of solutions
- ▶ Find if moduli space of solutions has some differential or stacky structure
- ▶ Determine if "complete" solutions (e.g., metric complete solutions) exist

# Associated algebroid

Cartan Data  $(G, X, c, R, F)$  determines:

– vector bundle  $A = X \times (\mathbb{R}^n \oplus \mathfrak{g}) \rightarrow X$

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The triple  $(A, [\cdot, \cdot], \rho)$  is an example of a **Lie algebroid**

## Example: extremal Kähler surfaces

- ▶  $X = \mathbb{R} \times \mathbb{C} \times \mathbb{R}$ , with coordinates  $(K, T, U)$
- ▶  $A = X \times (\mathbb{C} \oplus i\mathbb{R}) \rightarrow X$
- ▶ Bracket of constant sections:

$$[(z, \alpha), (w, \beta)]|_{(K, T, U)} := (\alpha w - \beta z, -\frac{K}{2}(z\bar{w} - \bar{z}w))$$

- ▶ Anchor:

$$\rho(z, \alpha)|_{(K, T, U)} := \left( -T\bar{z} - \bar{T}z, Uz - \alpha T, -\frac{K}{2}T\bar{z} - \frac{K}{2}\bar{T}z \right)$$

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**Remark.** In this formulation, there are no more unknown objects!!

**Next time:** What does it mean to solve the problem, in this Lie algebroid language?

# Solving Cartan's Realization Problem

## **Lecture 2**

# Overview

Starting from the classical correspondence:

Geometric structures  $\longleftrightarrow$   $G$ -structures (with connection)

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Solutions to  
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$\longleftrightarrow$

Integrate  $G$ -structure algebroid to  
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# Last time

Finite type classification problem  $\leftrightarrow$  Cartan's realization problem

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Cartan's data  $\leftrightarrow$  Lie algebroid

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Cartan Data  $(G, X, c, R, F)$  determines:

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# Last time

**Extremal Kähler surfaces.** To find such metrics amounts to find all  $U(1)$ -structures  $P \rightarrow M$  with tautological form  $\theta$ , connection form  $\omega$  and function  $(K, T, U) : P \rightarrow \mathbb{R} \oplus \mathbb{C} \oplus \mathbb{R}$ , such that

$$\begin{cases} d\theta = -\omega \wedge \theta \\ d\omega = \frac{K}{2} \theta \wedge \bar{\theta} \\ dK = -(\bar{T}\theta + T\bar{\theta}) \\ dT = U\theta - T\omega \\ dU = -\frac{K}{2}(\bar{T}\theta + T\bar{\theta}) \end{cases}$$

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**Associated algebroid:**

$$A = (\mathbb{R} \times \mathbb{C} \times \mathbb{R}) \times (\mathbb{C} \oplus i\mathbb{R}) \longrightarrow X = \mathbb{R} \times \mathbb{C} \times \mathbb{R}$$

(with global coordinates  $(K, T, U)$ )

**Lie bracket:**  $[(z, \alpha), (w, \beta)]|_{(K, T, U)} := (\alpha w - \beta z, -\frac{K}{2}(z\bar{w} - \bar{z}w))$

**Anchor:**  $\rho(z, \alpha)|_{(K, T, U)} := \left(-T\bar{z} - \bar{T}z, Uz - \alpha T, -\frac{K}{2}T\bar{z} - \frac{K}{2}\bar{T}z\right)$

It comes with a **right  $U(1)$ -action:**

$$(K, T, U, z, \alpha)g = (K, g^{-1}T, U, g^{-1}z, \alpha).$$

## Another example

**Metrics of constant sectional curvature.** To find such metrics amounts to find all  $SO(n)$ -structures  $P \rightarrow M$  with tautological form  $\theta$ , connection form  $\omega$  and function  $K : P \rightarrow \mathbb{R}$ , such that

$$\begin{cases} d\theta = -\omega \wedge \theta \\ d\omega = R(\theta \wedge \theta) - \omega \wedge \omega \\ dK = 0 \end{cases}$$

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# Plan

## Lecture 1:

- ▶ Recollection of  $G$ -structures
- ▶ Finite type vs infinite type through examples
- ▶ Cartan's Realization Problem and algebroids

## Lecture 2:

- ▶ Algebroids and groupoids
- ▶  $G$ -structure groupoids
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## Lecture 3:

- ▶  $G$ -integrability
- ▶ Solving Cartan's Realization Problem
- ▶ Moduli space of solutions
- ▶ The example of extremal Kähler metrics on surfaces

# 1) Crash course on Lie algebroids and groupoids

A **Lie algebroid** is a vector bundle  $A \rightarrow X$  with:

1. A Lie bracket  $[\cdot, \cdot]_A; \Gamma(A) \times \Gamma(A) \rightarrow \Gamma(A)$ ;
2. A anchor map  $\rho_A : A \rightarrow TX$ ;

satisfying:

$$[s_1, f s_2]_A = f[s_1, s_2]_A + \rho(s_1)(f) s_2.$$

**Main idea:** Think of  $(A, [\cdot, \cdot]_A, \rho_A)$  as a *generalized tangent bundle*.

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Alternative definition:

A **Lie algebroid** is a vector bundle  $A \rightarrow X$  with a linear operator:

$$d_A : \Omega^\bullet(A) \rightarrow \Omega^{\bullet+1}(A),$$

satisfying:

1.  $d_A^2 = 0$ ;
2.  $d_A(\alpha \wedge \beta) = d_A\alpha \wedge \beta + (-1)^{|\alpha|} \alpha \wedge d_A\beta$ .

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A **Lie algebroid morphism** is a vector bundle map

$$\begin{array}{ccc} A_1 & \xrightarrow{\Phi} & A_2 \\ \downarrow & & \downarrow \\ X_1 & \xrightarrow{\phi} & X_2 \end{array}$$

that intertwines the differentials:  $\Phi^* d_{A_2} = d_{A_1} \Phi^*$ .

# Geometry on Lie algebroids

Basic properties of  $(A, \rho, [\cdot, \cdot])$ :

- ▶ **characteristic foliation** of  $X$ : integrates the (singular) distribution  $\text{Im } \rho \subset TX$ ;
- ▶ **isotropy Lie algebras**: for each  $x \in X$ ,  $\mathfrak{g}_x := \text{Ker } \rho_x$  is a finite dim Lie algebra.

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One works with  $A$  as if it was the tangent bundle. For example:

- **A-symplectic form**:  $\omega \in \Omega^2(A)$  such that  $d_A \omega = 0$  and  $A \rightarrow A^*$ ,  $\alpha \mapsto i_\alpha \omega$ , is isomorphism;
- **A-complex structure**:  $J : A \rightarrow A$  such that  $J^2 = -I$  and

$$N_J(\alpha, \beta) := [J\alpha, J\beta] - J([J\alpha, \beta] + [\alpha, J\beta]) - [\alpha, \beta] = 0.$$

- **A-connection**:  $\nabla : \Gamma(A) \times \Gamma(E) \rightarrow \Gamma(A)$  a  $\mathbb{R}$ -bilinear map such that:

$$\nabla_{f\alpha} s = f \nabla_\alpha s, \quad \nabla_\alpha fs = f \nabla_\alpha s + \rho(\alpha)(f)s.$$



# Some classes of examples

- ▶ Tangent bundles  $TX$ ;
- ▶ Lie algebras  $\mathfrak{g}$ ;
- ▶ Bundle of Lie algebras;
- ▶ Lie algebra actions  $\psi : \mathfrak{g} \rightarrow \mathfrak{X}(X)$ ;
- ▶ Prequantization  $(X, \omega)$ ;
- ▶ Poisson structures  $(X, \pi)$
- ▶ (...)

# Groupoids

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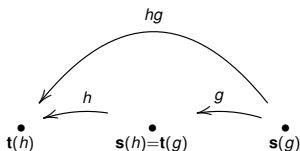
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► **source** and **target** maps:



► **product**:



$$\Gamma^{(2)} = \{(h, g) \in \Gamma \times \Gamma : \mathbf{s}(h) = \mathbf{t}(g)\}$$

$$m : \Gamma^{(2)} \rightarrow \Gamma$$

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► **identity:**

$u : X \hookrightarrow \Gamma$



# Groupoids

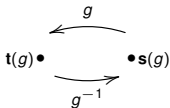
A **groupoid** is a small category where every morphism is an isomorphism.

$\Gamma \equiv$  set of arrows       $X \equiv$  set of objects.

► **identity:**



► **inverse:**       $\iota : \Gamma \longrightarrow \Gamma$



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This means we have a map  $\mathcal{F} : \Gamma_1 \rightarrow \Gamma_2$  between the sets of arrows, and a map  $f : X_1 \rightarrow X_2$  between the sets of objects, such that:

- ▶ if  $g : x \rightarrow y$  is in  $\Gamma_1$ , then  $\mathcal{F}(g) : f(x) \rightarrow f(y)$  in  $\Gamma_2$ .
- ▶ if  $g, h \in \Gamma_2$  are composable, then  $\mathcal{F}(gh) = \mathcal{F}(g)\mathcal{F}(h)$ .
- ▶ if  $x \in X_1$ , then  $\mathcal{F}(1_x) = 1_{f(x)}$ .
- ▶ if  $g : x \rightarrow y$ , then  $\mathcal{F}(g^{-1}) = \mathcal{F}(g)^{-1}$ .

# Groupoids: basic concepts

- ▶ **right multiplication by  $g : y \leftarrow x$**  is a bijection between **s**-fibers:

$$R_g : \mathbf{s}^{-1}(y) \longrightarrow \mathbf{s}^{-1}(x), \quad h \mapsto hg.$$

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- ▶ the **orbit through  $x$** :

$$\mathcal{O}_x := \mathbf{t}(\mathbf{s}^{-1}(x)) = \{y \in M : \exists g : x \longrightarrow y\}$$

# Lie groupoids

## Definition

A **Lie groupoid** is a groupoid  $\Gamma \rightrightarrows X$  whose spaces of arrows and objects are both manifolds, the structure maps  $\mathbf{s}, \mathbf{t}, u, m, i$  are all smooth maps and such that  $\mathbf{s}$  and  $\mathbf{t}$  are submersions.

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**Basic Properties** For a Lie groupoid  $\Gamma \rightrightarrows X$  and  $x \in X$ , one has that:

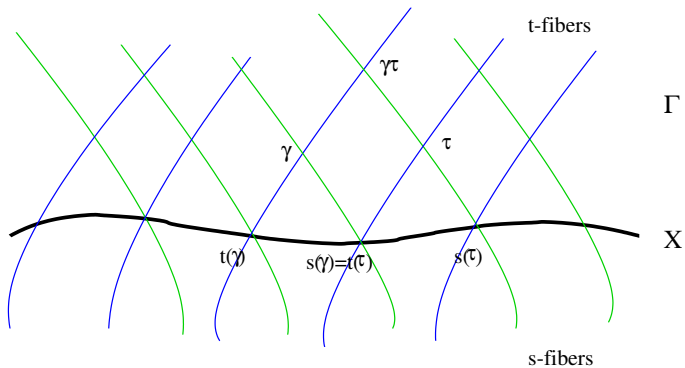
1. the isotropy groups  $\Gamma_x$  are Lie groups;
2. the orbits  $\mathcal{O}_x$  are (regular immersed) submanifolds in  $X$ ;
3. the unit map  $u : X \rightarrow \Gamma$  is an embedding;
4.  $\mathbf{t} : \mathbf{s}^{-1}(x) \rightarrow \mathcal{O}_x$  is a principal  $\Gamma_x$ -bundle.

# Some classes of examples

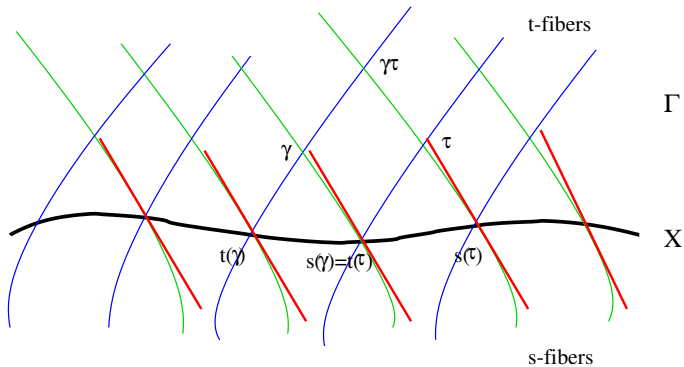
- ▶ Pair groupoid  $X \times X \rightrightarrows X$ ;
- ▶ Fundamental groupoid  $\Pi(X) \rightrightarrows X$ ;
- ▶ Lie group  $G \rightrightarrows \{*\}$ ;
- ▶ Bundle of Lie groups;
- ▶ Lie group actions  $G \times X \rightarrow X$ ;
- ▶ Gauge groupoid of principal bundle  $G \curvearrowright P \rightarrow X$ ;
- ▶ Symplectic groupoids  $(\Sigma, \Omega) \rightrightarrows X$ .
- ▶ (...)



# From Lie groupoids to Lie algebroids

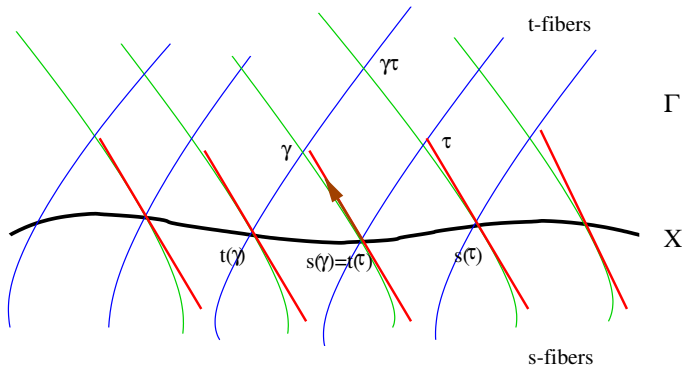


# From Lie groupoids to Lie algebroids



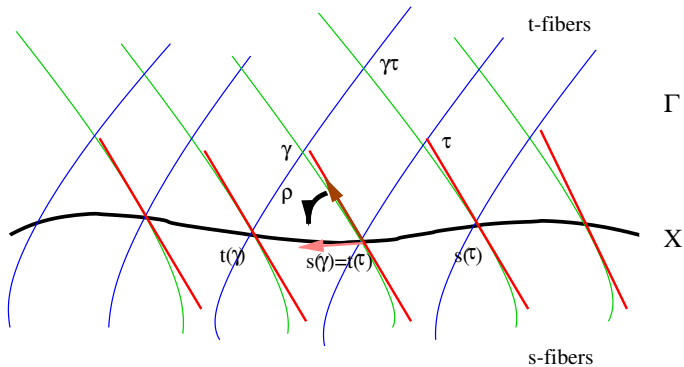
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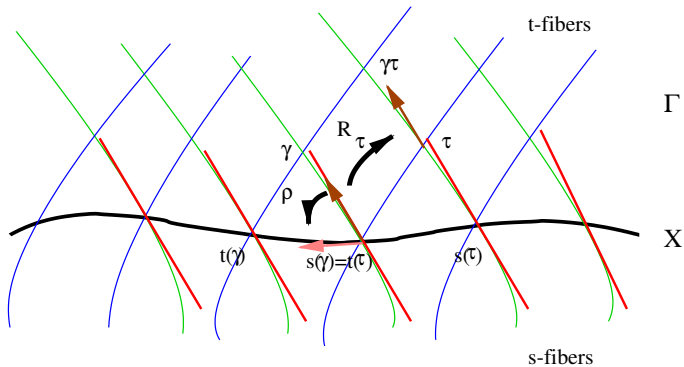
# From Lie groupoids to Lie algebroids



$$A = T_X^s \Gamma$$

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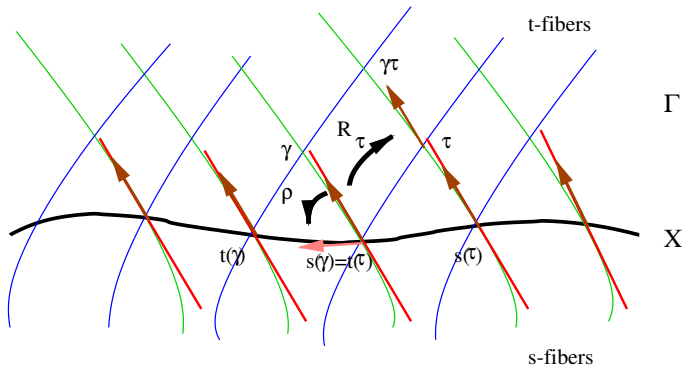
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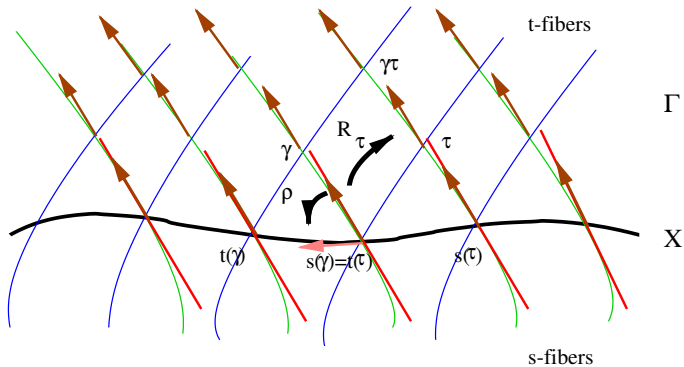
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$$A = T_X^s \Gamma$$

$$\rho = dt \Big|_A$$

$$[\alpha, \beta] = [X^\alpha, X^\beta] \Big|_X$$

PS: Can also use **t**-fibers and left-invariant vector fields! That is our convention here.

## 2) $G$ -structure groupoids

### Definition

- A  **$G$ -principal groupoid** is a Lie groupoid  $\Gamma \rightrightarrows X$  with a principal action of  $G$  satisfying:

$$(\gamma_1 \cdot \gamma_2) g = \gamma_1 \cdot (\gamma_2 g), \quad \forall (\gamma_1, \gamma_2) \in \Gamma^{(2)}, g \in G.$$

- A **morphism of  $G$ -principal groupoids** is a groupoid morphism  $\Phi : \Gamma_1 \rightarrow \Gamma_2$  which is  $G$ -equivariant.



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Alternative point of view: **action morphism**

$$\left\{ \begin{array}{l} G\text{-principal groupoid} \\ \Gamma \rightrightarrows X \end{array} \right\} \xleftrightarrow{1-1} \left\{ \begin{array}{l} \text{groupoid morphism } \iota : X \times G \rightarrow \Gamma \\ \text{locally injective and effective} \end{array} \right\}$$

# Connections and $G$ -structures on groupoids

A **connection 1-form** on a  $G$ -principal groupoid  $\Gamma \rightrightarrows X$  is a  $\mathfrak{g}$ -valued, left-invariant 1-form,  $\Omega \in \Omega_L^1(\Gamma; \mathfrak{g})$  satisfying:

- (i) vertical:  $\Omega(\alpha_\Gamma) = \alpha$ , for all  $\alpha \in \mathfrak{g}$
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Let  $G \subset \text{GL}(n, \mathbb{R})$  be a closed subgroup:

A  **$G$ -structure groupoid** is a  $G$ -principal groupoid  $\Gamma \rightrightarrows X$  with a pointwise surjective left-invariant form  $\Theta \in \Omega_L^1(\Gamma; \mathbb{R}^n)$  such:

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$\implies$  each fiber  $\mathfrak{t}^{-1}(x)$  is a  $G$ -structure with tautological form  $\theta_x = \Theta|_{\mathfrak{t}^{-1}(x)}$ .

# $G$ -structure groupoids with connection

## Proposition

Let  $\Gamma \rightrightarrows X$  be a  $G$ -structure groupoid with connection. The tautological form  $\Theta \in \Omega_L^1(\Gamma; \mathbb{R}^n)$  and the connection form  $\Omega \in \Omega_L^1(\Gamma; \mathfrak{g})$  satisfy:

$$d\Theta = -\Omega \wedge \Theta + \text{Tors}(\Omega)$$

$$d\Omega = -\Omega \wedge \Omega + \text{Curv}(\Omega)$$

In this proposition:

- $d$  denotes the  $\mathfrak{t}$ -foliated de Rham differential;
- $\text{Tors}(\Omega) \in \Omega_L^2(\Gamma; \mathbb{R}^n)$  is given by  $\text{Tors}(\Omega)(v, w) = d\Theta(h(v), h(w))$ ;
- $\text{Curv}(\Omega) \in \Omega_L^2(\Gamma; \mathfrak{g})$  is given by  $\text{Curv}(\Omega)(v, w) = d\Omega(h(v), h(w))$ .

### 3) $G$ -structure algebroids

- A  **$G$ -principal algebroid** is a Lie algebroid  $A \rightarrow X$  with a  $G$ -action by automorphisms and an injective morphism  $i : X \times_{\mathfrak{g}} \rightarrow A$  such that:

$$\hat{\psi}(\alpha) = [i(\alpha), \cdot].$$

- A **morphism of  $G$ -principal algebroids** is a morphism  $\Phi : A_1 \rightarrow A_2$  which is  $G$ -equivariant and intertwines the action morphisms:

$$\Phi \circ i_1 = i_2 \circ (\phi \times I).$$



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#### Proposition

- If  $\Gamma \rightrightarrows X$  is a  $G$ -principal groupoid then its Lie algebroid  $A \rightarrow X$  is a  $G$ -principal algebroid.
- If  $\Phi : \Gamma_1 \rightarrow \Gamma_2$  is a morphism of  $G$ -principal groupoids then  $(\Phi)_* : A_1 \rightarrow A_2$  is a morphism of  $G$ -principal algebroids.

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A **connection 1-form** on a  $G$ -principal algebroid  $A \rightarrow X$  is a  $\mathfrak{g}$ -valued  $A$ -form  $\omega \in \Omega^1(A; \mathfrak{g})$  satisfying:

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Let  $G \subset \text{GL}(n, \mathbb{R})$  be closed:

A  **$G$ -structure algebroid** is a  $G$ -principal algebroid  $A \rightarrow X$  equipped with a fiberwise surjective  $A$ -form  $\theta \in \Omega^1(A; \mathbb{R}^n)$  satisfying:

- (i) horizontal:  $\theta_x(\xi) = 0$  iff  $\xi = i(x, \alpha)$ , for some  $\alpha \in \mathfrak{g}$ .
- (ii)  $G$ -equivariance:  $g^*\theta = g^{-1} \cdot \theta$ ,  $\forall g \in G$ .

$\theta$  is called the **tautological form** of the  $G$ -structure algebroid.

# $G$ -structure algebroids with connection

## Proposition

Let  $A \rightarrow X$  be a  $G$ -structure algebroid with connection. The tautological form  $\theta \in \Omega^1(A; \mathbb{R}^n)$  and the connection form  $\omega \in \Omega^1(A; \mathfrak{g})$  satisfy:

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where  $\text{Tors}(\omega) \in \Omega^2(A; \mathbb{R}^n)$  and  $\text{Curv}(\omega) \in \Omega^2(A; \mathfrak{g})$ .

# G-structure algebroids with connection

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## Proposition

Fix any  $G$ -principal groupoid  $\Gamma \rightrightarrows X$  with Lie algebroid  $A \rightarrow X$ . Then there are 1:1 correspondences:

$$\left\{ \begin{array}{l} \text{connection 1-forms on } \Gamma \\ \Omega \in \Omega_L^1(\Gamma; \mathfrak{g}) \end{array} \right\} \xleftrightarrow{1-1} \left\{ \begin{array}{l} \text{connection 1-forms on } A \\ \omega \in \Omega^1(A; \mathfrak{g}) \end{array} \right\}$$

$$\left\{ \begin{array}{l} \text{tautological forms on } \Gamma \\ \Theta \in \Omega_L^1(\Gamma; \mathbb{R}^n) \end{array} \right\} \xleftrightarrow{1-1} \left\{ \begin{array}{l} \text{tautological forms on } A \\ \theta \in \Omega^1(A; \mathbb{R}^n) \end{array} \right\}$$

## 4) Construction of solutions

### Theorem

Any  $G$ -structure algebroid with connection  $A \rightarrow X$  is naturally isomorphic to one in **canonical form**.

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Any  $G$ -structure algebroid with connection  $A \rightarrow X$  is naturally isomorphic to one in **canonical form**. Under the isomorphism

$$(\theta, \omega) : A \xrightarrow{\cong} X \times (\mathbb{R}^n \oplus \mathfrak{g}), \quad \xi_x \mapsto (x, \theta(\xi), \omega(\xi)).$$

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one has that:

- the action morphism becomes  $i : X \times \mathfrak{g} \rightarrow A, (x, \alpha) \mapsto (x, 0, \alpha)$ ;
- the tautological form becomes  $\theta : X \times (\mathbb{R}^n \oplus \mathfrak{g}) \rightarrow \mathbb{R}^n$ ;
- the connection form becomes  $\omega : X \times (\mathbb{R}^n \oplus \mathfrak{g}) \rightarrow \mathfrak{g}$ ;
- the  $G$ -action on  $A$  becomes  $(x, u, \alpha) g = (x g, g^{-1} u, \text{Ad}_{g^{-1}} \cdot \alpha)$ ;

Moreover, the anchor and bracket on constant sections become:

$$\begin{aligned} \rho(u, \alpha) &= F(u) + \psi(\alpha), \\ [(u, \alpha), (v, \beta)] &= (\alpha \cdot v - \beta \cdot u - c(u, v), [\alpha, \beta]_{\mathfrak{g}} - R(u, v)), \end{aligned}$$

where  $c : X \rightarrow \text{Hom}(\wedge^2 \mathbb{R}^n, \mathbb{R}^n)$ ,  $R : X \rightarrow \text{Hom}(\wedge^2 \mathbb{R}^n, \mathfrak{g})$  and  $F : X \times \mathbb{R}^n \rightarrow TX$  are  $G$ -equivariant maps.



# Construction of solutions

Conclusion:

$$\left\{ \begin{array}{l} \text{Cartan Data} \\ (G, X, c, R, F) \end{array} \right\} \xleftrightarrow{1-1} \left\{ \begin{array}{l} G\text{-structure algebroids} \\ \text{with connection } A \rightarrow X \end{array} \right\}$$

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## Theorem

Given Cartan Data with associated  $G$ -structure algebroid with connection  $(A, \theta, \omega) \rightarrow X$ , let  $(\Gamma, \Theta, \Omega) \rightrightarrows X$  be a  $G$ -structure groupoid integrating it. Then for each  $x \in X$

$$(\mathfrak{t}^{-1}(x), \Theta|_{\mathfrak{t}^{-1}(x)}, \Omega|_{\mathfrak{t}^{-1}(x)})$$

is a  $G$ -structure with connection over  $M = \mathfrak{t}^{-1}(x)/G$  which solves Cartan's realization problem with  $h := \mathfrak{s} : \mathfrak{t}^{-1}(x) \rightarrow X$ .

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$\implies$  integrations gives rise to family of solutions

# Easy example: Metrics of constant sectional curvature

Associated  $SO(n)$ -structure algebroid with connection:

$$A = \mathbb{R}^n \times (\mathbb{R}^n \oplus \mathfrak{so}(n, \mathbb{R})) \longrightarrow X = \mathbb{R}$$

(with global coordinate  $K$ )

Lie bracket:  $[(u, \alpha), (v, \beta)]|_K := (\alpha v - \beta u, [\alpha, \beta] - K(\langle \cdot, v \rangle u - \langle \cdot, u \rangle v))$

Anchor:  $\rho(u, \alpha)|_K := 0$

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Associated  $SO(n)$ -structure groupoid with connection:

Bundle of Lie groups  $p = \mathbf{s} = \mathbf{t} : \Gamma \rightarrow \mathbb{R}$  with fibers

$$\mathbf{t}^{-1}(K) \simeq \begin{cases} SO(n+1), & \text{if } K > 0 \\ SO(n) \ltimes \mathbb{R}^n, & \text{if } K = 0 \\ SO^+(n, 1), & \text{if } K < 0 \end{cases}$$

These  $SO(n)$ -structures are the oriented orthogonal frame bundles of the 1-connected space forms:

$$\mathbf{t}^{-1}(x)/SO(n) \simeq \begin{cases} \mathbb{S}^n, & \text{if } K > 0 \\ \mathbb{R}^n, & \text{if } K = 0 \\ \mathbb{H}^n, & \text{if } K < 0 \end{cases}$$

# Construction of solutions: dictionary

Several important questions left:

- ▶ Do we get all solutions in this way?
- ▶ Do integrations/solutions all exist?
- ▶ What can we say about symmetries of solutions and their moduli spaces?
- ▶ Can this be used in “real” problems?

... to be discussed in the next lecture.

# Solving Cartan's Realization Problem

## **Lecture 3**

# Overview

Starting from the classical correspondence:

Geometric structures  $\longleftrightarrow$   $G$ -structures (with connection)

The main steps of the program:

Classification problem for a  
finite type class  
of geometric structures

$\longleftrightarrow$

Cartan's realization problem  
(Cartan Data)

Cartan Data

$\longleftrightarrow$

$G$ -structure algebroid  
(with connection)

Solutions to  
classification problem

$\longleftrightarrow$

Integrate  $G$ -structure algebroid to  
 $G$ -structure groupoid (with connection)



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# Plan

## Lecture 1:

- ▶ Recollection of  $G$ -structures
- ▶ Finite type vs infinite type through examples
- ▶ Cartan's Realization Problem and algebroids

## Lecture 2:

- ▶ Algebroids and groupoids
- ▶  $G$ -structure groupoids
- ▶  $G$ -structure algebroids
- ▶ Construction of solutions

## Lecture 3:

- ▶  $G$ -integrability
- ▶ Solving Cartan's Realization Problem
- ▶ The example of extremal Kähler metrics on surfaces
- ▶ ~~Moduli space of solutions~~

# 1) $G$ -Integrability

## Theorem (Lie I)

Let  $\Gamma$  be a Lie groupoid with Lie algebroid  $A$ . There exists a unique (up to isomorphism) source 1-connected Lie groupoid  $\tilde{\Gamma}$  with Lie algebroid  $A$ .

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... **Lie III** does not hold!

# Obstructions to integrability

## Theorem [Crainic & RLF, 2003]

For a Lie algebroid  $A$ , there exist *monodromy groups*  $N_x \subset A_x$  such that  $A$  is integrable iff the groups  $N_x$  are uniformly discrete for  $x \in X$ .

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**Example.** For prequantization algebroid  $A$  defined by  $\omega \in \Omega_{\text{cl}}^2(M)$ :

$$N_x = \left\{ \int_{\sigma} \omega : [\sigma] \in \pi_2(M) \right\} \subset \mathbb{R} = \mathfrak{g}_x.$$

So  $A$  is integrable if and only if  $\omega$  has discrete spherical periods.

# Lie Functor for $G$ -principal groupoids/algebroids

## Theorem (Lie I)

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**Note:** Lie III fails even when  $A$  is integrable. In general,

$A$  is integrable  $\not\Rightarrow$   $A$  is  $G$ -integrable

# $G$ -Integrability

**Problem.** When is a  $G$ -principal algebroid  $A \rightarrow X$   **$G$ -integrable**?

We are looking for:

- ▶ a Lie groupoid  $\Gamma \rightrightarrows X$  which integrates  $A$ ;
- ▶ a morphism  $\iota : X \times G \rightarrow \Gamma$  which integrates  $i : X \times \mathfrak{g} \rightarrow A$ .

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**Remark.** We only care about  $G$ -principal groupoids: if  $A$  has a tautological form or a connection form they “integrate for free”.

# Extended $G$ -Monodromy

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## Definition.

The **extended  $G$ -monodromy at  $x \in X$**  is the image  $\tilde{\mathcal{N}}_x^G$  of the map

$$\partial_x^G : \pi_1(G) \rightarrow \tilde{\Gamma}_x, \quad g \mapsto \tilde{i}(x, g).$$

These groups assemble to a normal sub-bundle of groups contained in the center of the isotropy groups:

$$\tilde{\mathcal{N}}^G = \bigcup_{x \in X} \tilde{\mathcal{N}}_x^G.$$



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In this case the **canonical  $G$ -integration of  $A$**  is:

$$\tilde{\Gamma}_G = \tilde{\Gamma} / \tilde{\mathcal{N}}^G.$$

## Computing $G$ -Monodromy

The  $G$ -**monodromy** at  $x \in X$  is the subgroup  $\mathcal{N}_x^G \subset Z(\ker \rho_x)$  such that

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A  $G$ -**splitting** along a leaf  $L$  is a splitting of the short exact sequence:

$$0 \longrightarrow \text{Ker } \rho|_L \longrightarrow A|_L \begin{array}{c} \xrightarrow{\rho} \\ \xleftarrow{\sigma} \end{array} TL \longrightarrow 0.$$

*compatible* with the action morphism  $i : X \times \mathfrak{g} \rightarrow A$  and with center-valued curvature 2-form:

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**Proposition.** If the action is locally free at  $x$  and the leaf  $L \subset X$  admits a  $G$ -splitting  $\sigma : TL \rightarrow A|_L$  then

$$\mathcal{N}_x^G = \left\{ \int_c \Omega_\sigma \mid c : D^2 \rightarrow L, c|_{\partial D^2} \subset x \cdot G \right\}.$$

## 2) Solving Cartan's Realization Problem

### Theorem (local solutions).

Let  $(G, X, c, R, F)$  be Cartan Data defining a  $G$ -structure Lie algebroid with connection  $A \rightarrow X$ . For each  $x \in X$  there exists a  $G$ -invariant, open neighborhood  $x \in U \subset L$  such that  $A|_U$  is  $G$ -integrable.

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In particular, there exists a solution  $(F_G(M), (\theta, \omega), h)$  with  $x \in \text{Im } h$  and:

- the germ of solutions at  $x$  is unique up to equivalence;
- if  $x$  and  $x'$  belong to same leaf of  $A$ , the germs of solutions at  $x$  and  $x'$  are isomorphic;
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**Remark.** According to Bryant, local existence was known to E. Cartan. I am not so sure...

# Complete solutions

Restrict to the metric type (but there is a general theory!):

A  $G$ -structure algebroid with connection (=Cartan data  $(G, X, c, R, F)$ ) is said to be of **metric type** if  $G \subset O(n, \mathbb{R})$  and  $c = 0$ .

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In the metric case,  $A \simeq X \times (\mathbb{R}^n \oplus \mathfrak{g})$  carries the canonical fiberwise metric:

$$K_A((u, \alpha), (v, \beta)) := \langle u, v \rangle_{\mathbb{R}^n} + \langle \alpha, \beta \rangle_{\mathfrak{g}} \quad (u, \alpha), (v, \beta) \in A,$$

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**Lemma.** The metric  $K_A$  induces a Riemannian metric on the leaves of  $A$  so that anchor induces for each  $x \in X$  an isometry

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- (i) If  $M = P/G$  is metric complete and 1-connected, then  $P$  is isomorphic to a fiber  $\mathfrak{t}^{-1}(x)$  of the canonical  $G$ -integration of  $A|_L$  for some leaf  $L \subset X$ . Moreover, this leaf is metric complete.

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- (ii) Conversely, if a leaf  $L$  is metric complete and  $A|_L$  is  $G$ -integrable, then any  $\mathfrak{t}$ -fiber of the canonical  $G$ -integration of  $A|_L$  yields a metric complete solution.



### 3) Example: Extremal Kähler Metrics

- ▶  $X = \mathbb{R} \times \mathbb{C} \times \mathbb{R}$  - Coordinates:  $(K, T, U)$ ;
- ▶  $U(1)$ -Action:  $(K, T, U) \cdot g = (K, g^{-1}T, U)$ ;
- ▶  $A = X \times (\mathbb{C} \oplus i\mathbb{R})$ ;
- ▶ Bracket of constant sections:

$$[(z, \alpha), (w, \beta)]|_{(K, T, U)} := (\alpha w - \beta z, -\frac{K}{2}(z\bar{w} - \bar{z}w));$$

- ▶ Anchor:

$$\rho(z, \alpha)|_{(K, T, U)} := \left( -T\bar{z} - \bar{T}z, Uz - \alpha T, -\frac{K}{2}T\bar{z} - \frac{K}{2}\bar{T}z \right).$$

### 3) Example: Extremal Kähler Metrics

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This Lie algebroid is not  $U(1)$ -integrable!

Need to investigate  $U(1)$ -integrability of  $A|_L$ , for each leaf  $L$ .

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In real coordinates:  $\alpha = i\lambda$ ,  $z = a + ib$ ,  $T = X + iY$ :

$$\begin{aligned} \rho(z, \alpha)|_{(K, T, U)} &= a \left(-2X \frac{\partial}{\partial K} + U \frac{\partial}{\partial X} - KX \frac{\partial}{\partial U}\right) + \\ &\quad + b \left(-2Y \frac{\partial}{\partial K} + U \frac{\partial}{\partial Y} - KY \frac{\partial}{\partial U}\right) + \lambda \left(Y \frac{\partial}{\partial X} - X \frac{\partial}{\partial Y}\right) \end{aligned}$$

For constant sections  $e_1 = (1, 0)$ ,  $e_2 = (i, 0)$ ,  $e_3 = (0, i)$ :

$$[e_1, e_2] = Ke_3, \quad [e_1, e_3] = -e_2, \quad [e_2, e_3] = e_1.$$

Action morphism  $\iota : X \times iu(1) \rightarrow A$ :

$$\iota(x, i\lambda) = \lambda e_3.$$

# Leaves and Isotropy of $A$

Functions constant on the leaves of  $A$ :

$$I_1 = \frac{K^2}{4} - U, \quad I_2 = X^2 + Y^2 + KU - \frac{1}{6}K^3,$$

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## Leaves and Isotropy Lie algebras:

- ▶ the points  $(K, 0, 0, 0)$  with isotropy Lie algebra  $\mathfrak{so}(3, \mathbb{R})$  (if  $K > 0$ ),  $\mathfrak{sl}(2, \mathbb{R})$  (if  $K < 0$ ) and  $\mathfrak{so}(2, \mathbb{R}) \ltimes \mathbb{R}^2$  (if  $K = 0$ );
- ▶ the 2-dimensional submanifolds of  $\mathbb{R}^4$  given by the connected components of the common level sets of  $I_1$  and  $I_2$ , with isotropy Lie algebra  $\mathbb{R}$ .



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It remains to analyze the 2-dimensional leaves...

## 2-d Leaves of $A$

- ▶  $l_1$  and  $l_2$  only depend on the radius  $|T|^2 = X^2 + Y^2$ ;
- ▶ Leaves are  $U(1)$ -rotations of level sets of  $l_1$  and  $l_2$  (curves in  $\mathbb{R}^3$ ).

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$$\begin{cases} l_1 = c_1 \\ l_2 = c_2 \end{cases} \Leftrightarrow \begin{cases} U = \frac{K^2}{4} - c_1 \\ |T|^2 = -\frac{1}{12}K^3 + c_1K + c_2 \end{cases}$$

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- ▶ Use  $K$  as a parameter;
- ▶ Depending on the values of  $c_1$  and  $c_2$ , the shape of the curve will be determined if leaves have topology and hence also monodromy and/or  $G$ -monodromy;
- ▶ Note that the cubic

$$p(K) = -\frac{1}{12}K^3 + c_1K + c_2$$

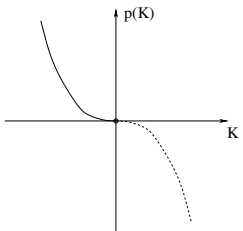
has discriminant:

$$\Delta = \frac{1}{48}(16c_1^3 - 9c_2^2).$$

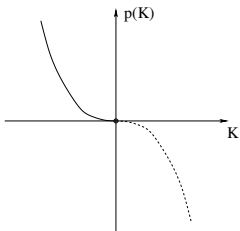
- ▶ A 0-dimensional leaf  $(K, 0, 0, 0)$  belongs to a common level set  $l_1 = c_1$ ,  $l_2 = c_2$ , if and only if

$$\begin{cases} K^2 = 4c_1 \\ 0 = -\frac{1}{12}K^3 + c_1K + c_2 \end{cases} \Rightarrow 16c_1^3 - 9c_2^2 = 0 \Leftrightarrow \Delta = 0.$$

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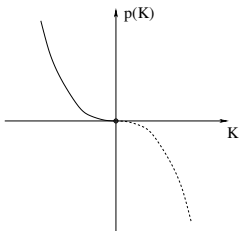
$p(K)$  has triple root: Level set consists of one single leaf obtained by rotating the curve

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The value  $K = 0$  is excluded since the origin  $(0, 0, 0, 0)$  is a 0-dim leaf.



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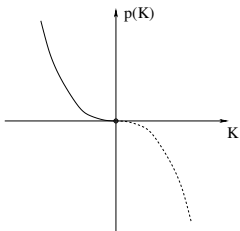
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Leaf is topological a cylinder:

$$\pi_1(L) = \mathbb{Z}, \quad \pi_2(L) = 1.$$

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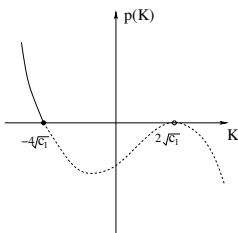
Leaf is topological a cylinder:

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The extended monodromy is trivial,  $\tilde{\Gamma}_x^0 \simeq \mathbb{R}$  and  $\pi_0(\tilde{\Gamma}_x) = \mathbb{Z}$ .

The restricted  $U(1)$ -monodromy is also trivial, so  $A|_L$  is  $U(1)$ -integrable.

$$\Delta = 0, c_2 < 0$$

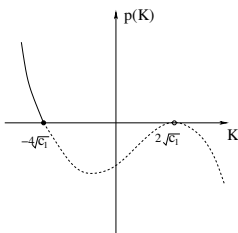


$p(K)$  has 1 single real root  $-4\sqrt{c_1}$  and 1 double real root  $2\sqrt{c_1}$ .

Level set consists of isolated point  $(2\sqrt{c_1}, 0, 0)$  and 2-d leaf obtained by rotation of:

$$\begin{cases} U = \frac{1}{4}K^2 - c_1 \\ |T|^2 = -\frac{1}{12}(K - 2\sqrt{c_1})^2(K + 4\sqrt{c_1}) \end{cases} \quad K \in ]-\infty, -4\sqrt{c_1}].$$

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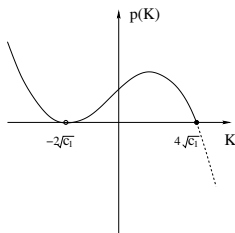
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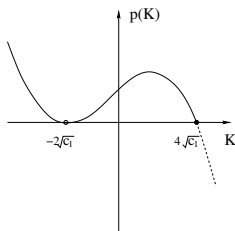
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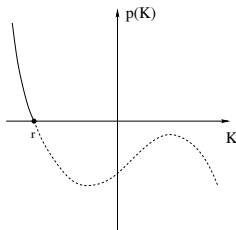
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One leaf is a cylinder and the other is a plane:

$$\pi_1(L) = 1 \text{ or } \mathbb{Z}, \quad \pi_2(L) = 1.$$

$A|_L$  is  $U(1)$ -integrable.

$$\Delta < 0$$

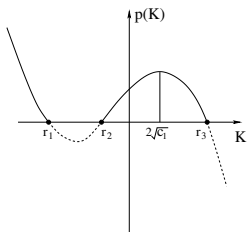


$p(K)$  has 1 real root  $r$  and two complex conjugate roots. The level set consists of a 2-dimensional leaf obtained by rotating the curve

$$\begin{cases} U = \frac{1}{4}K^2 - c_1 \\ |T|^2 = -\frac{1}{12}(K-r)(K^2 + rK + r^2 - 12c_1) \end{cases} \quad K \in ]-\infty, r].$$

Leaf is a plane, so  $A|L$  is  $U(1)$ -integrable.

$$\Delta > 0$$



$p(K)$  has 3 real roots  $r_1 < r_2 < r_3$ . The level set consists of two 2-dimensional leaves obtained by rotating the curve

$$\begin{cases} U = \frac{1}{4}K^2 - c_1 \\ |T|^2 = -\frac{1}{12}(K - r_1)(K - r_2)(K - r_3) \end{cases} \quad K \in ]-\infty, r_1] \cup [r_2, r_3].$$

One leaf is a plane  $L_1$  and the other leaf  $L_2 \simeq \mathbb{S}^2$ .

$L_1$  is  $U(1)$ -integrable.

$L_2$  could fail to be  $U(1)$ -integrable.



# G-integrability over $L_2$

Parameterization of  $L_2$ :

$$\gamma(K, \theta) = (K, \rho(K)^{\frac{1}{2}} e^{i\theta}, K^2/4 - c_1), \quad (K, \theta) \in [r_2, r_3] \times [0, 2\pi],$$

G-Splitting:

$$\begin{aligned}\sigma\left(\frac{\partial\gamma}{\partial K}\right) &= \left(-\frac{1}{2}\rho(K)^{-\frac{1}{2}}e^{i\theta}, 0\right) \\ \sigma\left(\frac{\partial\gamma}{\partial\theta}\right) &= \frac{1}{\rho(K)+(K^2/4-c_1)^2} \left(\rho(K)^{\frac{1}{2}}(K^2/4-c_1)ie^{i\theta}, -\rho(K)i\right)\end{aligned}$$

Curvature:

$$\Omega_\sigma\left(\frac{\partial\gamma}{\partial K}, \frac{\partial\gamma}{\partial\theta}\right) = \left[\sigma\left(\frac{\partial\gamma}{\partial K}\right), \sigma\left(\frac{\partial\gamma}{\partial\theta}\right)\right] = \frac{\partial}{\partial K} \left(\frac{K^2/4-c_1}{\rho(K)+(K^2/4-c_1)^2}\right) s_0$$

Monodromy:

$$\mathcal{N} = 8\pi\mathbb{Z} \left(\frac{1}{r_3^2-4c_1} + \frac{1}{4c_1-r_2^2}\right) s_0.$$

G-Monodromy:

$$\mathcal{N}^{U(1)} = \mathcal{N} \cup 2\pi\mathbb{Z} \left(\frac{1}{r_3^2/4-c_1}\right) s_0 = \left\{ 8\pi \left(\frac{n_1}{r_3^2-4c_1} + \frac{n_2}{4c_1-r_2^2}\right) s_0 : n_1, n_2 \in \mathbb{Z} \right\}.$$

$A|_L$  is  $U(1)$ -integrable if and only if  $\frac{4c_1-r_2^2}{r_3^2-4c_1} \in \mathbb{Q}$ .

**Table:** 1-connected extremal Kähler surfaces

Conditions	$U(1)$ -frame bundle: $\mathfrak{s}^{-1}(x)$	Solutions: $\mathfrak{s}^{-1}(x)/U(1)$
$K = 0$	$SO(2) \times \mathbb{R}^2$	$\mathbb{R}^2$
$K = c > 0$	$\mathbb{S}^3$	$\mathbb{S}^2$
$K = c < 0$	$SO(2, 1)$	$\mathbb{H}^2$
$\Delta = 0, c_1 = c_2 = 0$	$(\mathbb{R}^2 \times \mathbb{R})/\mathbb{Z}$	$\mathbb{R}^2$
$\Delta = 0, c_2 < 0$	$\mathbb{R}^2 \times \mathbb{S}^1$	$\mathbb{R}^2$
$\Delta = 0, c_2 > 0$	$(\mathbb{R}^2 \times \mathbb{R})/\mathbb{Z}$ $(\mathbb{R}^2 \times \mathbb{S}^1)$	$\mathbb{R}^2$
$\Delta < 0$	$\mathbb{R}^2 \times \mathbb{S}^1$	$\mathbb{R}^2$
$\Delta > 0$ (if $\frac{4c_1 - r_2^2}{r_2^2 - 4c_1} = \frac{p}{q}$ )	$\mathbb{R}^2 \times \mathbb{S}^1$ $\mathbb{S}^3$	$\mathbb{R}^2$ $\mathbb{C}P_{p,q}^1$

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The 1-connected complete extremal Kähler metrics on a surface are the constant scalar curvature metrics  $\mathbb{R}^2$ ,  $\mathbb{S}^2$ ,  $\mathbb{H}^2$ , and two special families of metrics: one on a disk  $\mathbb{D}^2$  and the other on the weight projective space  $\mathbb{C}\mathbb{P}_{p,q}^1$ .

**Note:**  $\mathbb{D}^2$  corresponds to the branch  $] - 2\sqrt{c_1}, 4\sqrt{c_1}]$  in the case  $\Delta = 0$ ,  $c_2 > 0$ .

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**BIG OPEN QUESTION:** What about infinite type?