

What is a homotopy equivalence?

Two spaces are homotopy equivalent if it is possible to transform one into another by operations: bending, shrinking and expanding.



Homeomorphism x homotopy equivalence

Let X and Y be topological spaces, and $f: X \rightarrow Y$ is a continuous map.

- The map f is a *homeomorphism* if there is a continuous map $g: Y \rightarrow X$ such that $f \circ g = \text{id}_Y$ and $g \circ f = \text{id}_X$. The spaces X and Y are said to be *homeomorphic* in such a case.
- The map f is a *homotopy equivalence* if there is a continuous map $g: Y \rightarrow X$ such that $f \circ g \sim \text{id}_Y$ and $g \circ f \sim \text{id}_X$. The spaces X and Y are *homotopy equivalent* in that case. For brevity, we denote $X \simeq Y$.

A historical overview of algorithms

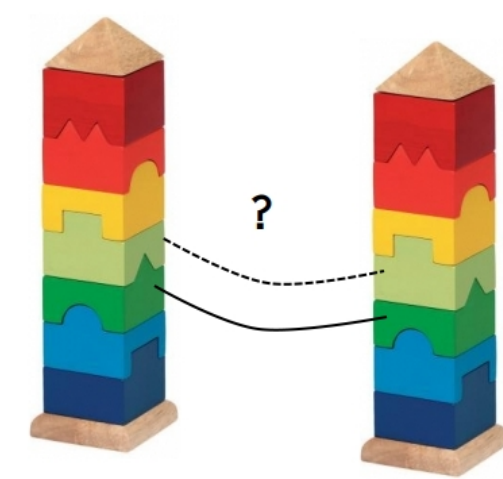
Assume that our input simplicial complexes are simply-connected and finite-dimensional. Are they homotopy equivalent?

- Brown [1] provides an algorithm under the finiteness of all higher homotopy groups. It's based on exhaustive searches as the Postnikov stages of both complexes are finite.
- Another approach presents Nabutovsky and Weinberger [3] under the weaker requirement of finitely generated homotopy groups. However, their exposition lacks implementation details and only sketches key ideas.

Data structure & Basic idea

- Simply-connected simplicial sets of dimension $d < \infty$.
- Simplicial sets with *effective homology*.
- Sergeraert et al. (e. g.[4]) introduced *effective homology framework* that mainly provides methods for computation of homology groups of even infinite simplicial sets.

Decompose the input objects into a bunch of simple simplicial sets in a suitable sense. Inductively try to construct homotopy equivalences from the bottom to the upper stage.



Postnikov tower

Let Y be a simply-connected simplicial set. A simplicial Postnikov tower for Y is a collection of maps and spaces as per the diagram such that for each $n \geq 0$:

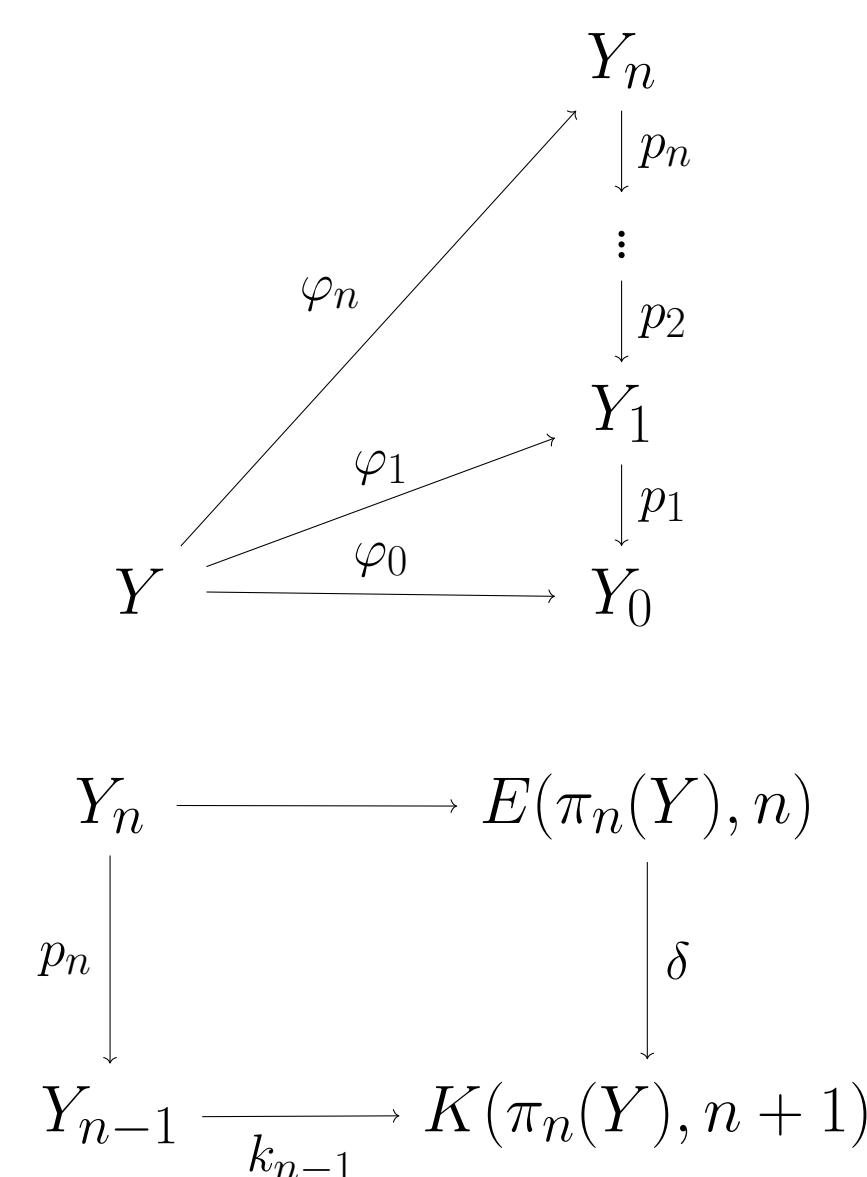
$$\begin{cases} \varphi_{n*}: \pi_k(Y) \rightarrow \pi_k(Y_n) \text{ are isomorphisms} & \text{for } 0 \leq k \leq n, \\ \pi_k(Y_n) = 0 & \text{for } k \geq n+1. \end{cases}$$

For all $n \geq 1$: Y_n is the pullback of the fibration δ along a map $k_{n-1}: Y_{n-1} \rightarrow K(\pi_n(Y), n+1)$. There is the well-known bijection

$$\text{ev}: \text{SMap}(Y_{n-1}, K(\pi_n, n+1)) \rightarrow Z^{n+1}(Y_{n-1}, \pi_n).$$

Denote $\kappa_{n-1} := \text{ev}^{-1}(k_{n-1})$ and $[\kappa_{n-1}] \in H^{n+1}(Y_{n-1}; \pi_n(Y))$.

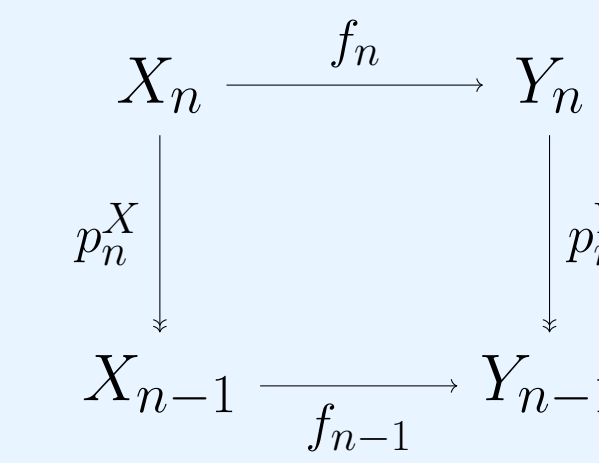
Note. In general, the simplicial set $K(\pi_n, n+1)$ is infinite, so effective homology of $K(\pi_n, n+1)$ and other derived simplicial sets need to be available for algorithmic construction of Postnikov tower (effective Postnikov tower).



Necessary condition

Let X and Y be simply connected simplicial sets with effective homology. Let $\{X_n\}$ and $\{Y_n\}$ be their effective Postnikov towers. If X and Y are homotopy equivalent then Postnikov stages X_n and Y_n are homotopy equivalent for all $n \leq d$. The right diagrams are strictly commutative. Furthermore, there is an isomorphism $\gamma: \pi_n(X) \rightarrow \pi_n(Y)$ such that the Postnikov classes satisfy the relation

$$\gamma_*[\kappa_{n-1}^X] = f_{n-1}^*[\kappa_{n-1}^Y].$$



Equivalence of Postnikov stages x equivalence of X & Y .

Let X and Y be finite simply connected simplicial sets of dimensions $\leq d$ with effective Postnikov towers $\{X_n\}$ and $\{Y_n\}$, respectively. Then $|X|$ and $|Y|$ are homotopy equivalent if and only if there is a homotopy equivalence $f_d: X_d \rightarrow Y_d$.

Necessary & sufficient condition

Let X and Y be simply connected simplicial sets with effective homology. Let $\{X_n\}$ and $\{Y_n\}$ be their effective Postnikov towers. Assume that there is a homotopy equivalence $g_{n-1}: X_{n-1} \rightarrow Y_{n-1}$. Then, X_n and Y_n are homotopy equivalent if and only if there is an isomorphism $\gamma: \pi_n(X) \rightarrow \pi_n(Y)$ and a homotopy selfequivalence $a_{n-1}: X_{n-1} \rightarrow X_{n-1}$ satisfying $\gamma_*[\kappa_{n-1}^X] = (g_{n-1}a_{n-1})_*[\kappa_{n-1}^Y]$. Moreover, if we assume that $g_{n-1} \in \text{iso}^{\text{ef}}(X_{n-1}, Y_{n-1})$ then $a_{n-1} \in \text{aut}^{\text{ef}}(X_{n-1})$.

Notation. The set $\text{iso}^{\text{ef}}(A, B)$ contains all effective homotopy equivalences $A \rightarrow B$, i.e., homotopy equivalences computed by a specific procedure. It is closed under composition, and every homotopy equivalence is homotopic to an effective homotopy equivalence.

Algorithmic group theory

The equation $\gamma_*[\kappa_{n-1}^X] = (g_{n-1}a)_*[\kappa_{n-1}^Y]$ indicates that we have to use algorithmic group theory. Let G be a group and let $\cdot: M \times G \rightarrow M$ be the *right action* of the group G on a set M . For a subgroup S of G , a subset $T \subseteq G$ is called a *right transversal* of S if T contains exactly one element of each coset from $G/S = \{S \cdot g \subseteq G; g \in G\}$. For every $y \in m^G$, denote a unique $g \in G$ such that $m^g = y$ as $g = \text{log } y$.

Schreier's lemma. Let G be a group generated by elements from a set P , $G = \langle P \rangle$. Let S be a subgroup of G with a finite right transversal T . Then the subgroup S is generated by the set

$$W = S \cap \{rp(\overline{rp})^{-1} \in G; r \in T, p \in P, rp \neq \overline{rp}\}.$$

The uniquely determined element in $T \cap Sg$ is \bar{g} .

Corollary. Let a finitely generated group G act on a finite set M , $m \in M$ and let $S := \text{Stab}_G(m)$. Then the subgroup S is finitely generated, and its generators are listed in the set W .

Orbit-Stabilizer algorithm.

Input: A finitely-generated group $G = \langle P \rangle$ with a right action on a finite set M , an element $m \in M$.

Output: Elements of the orbit m^G and a set Q of Schreier's generators of the stabilizer $\text{Stab}_G(m)$.

Spaces of finite k -type

A simply connected set X has finite k -type if its effective Postnikov tower $\{X_n\}$ has Postnikov class $[\kappa_n^X]$ of finite order for each $n \in \mathbb{N}$. We will say that X has finite k -type through dimension d if Postnikov classes $[\kappa_n^X]$ are of finite order for all $n \leq d-1$. Example of spaces:

- H -spaces, especially Lie groups, topological groups, and simplicial groups,
- m -connected spaces with dimension at most $2m$,
- H -spaces modulo the class of finite groups,
- spaces rationally homotopy equivalent to products of Eilenberg-MacLane spaces.

Main result

Let X and Y be simply connected finite simplicial set of dimension d . Suppose that they are of finite k -type through dimension d . Then the question of whether X and Y are homotopy equivalent is algorithmically decidable. If the spaces are homotopy equivalent, we can find a homotopy equivalence $f: X_d \rightarrow Y_d$ between their Postnikov stages in dimension d .

Proof of the main result - 1. phase: Pre-processing

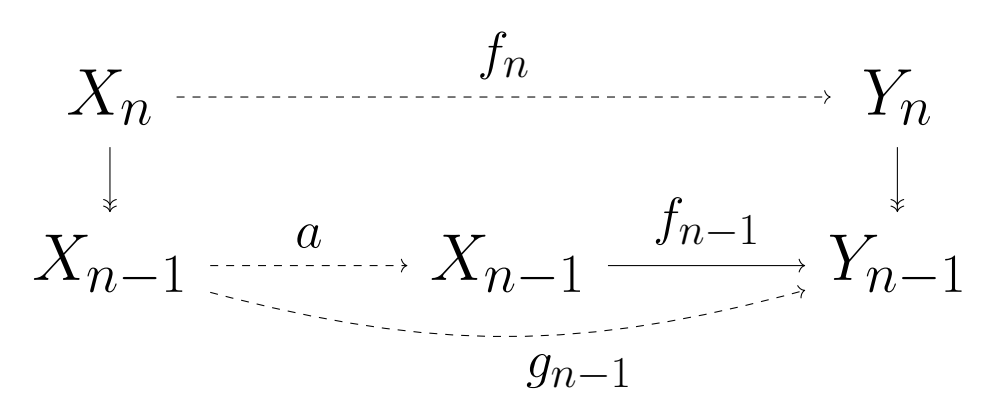
- Compute effective Postnikov towers for both sets X and Y according to the instructions in [2, Section 4].
- That algorithm provides suitable isomorphism types $\sigma: \pi_n(X) \rightarrow \pi_n(Y)$ of homotopy groups.
- If the homotopy groups $\pi_n(X)$ and $\pi_n(Y)$ have different isomorphism types, then $X \not\simeq Y$.
- Using Postnikov classes, check that both spaces are of finite k -type through dimension d .
- Compute inductively generators of $\text{Aut}^{\text{ef}}(X_n) = \text{Aut}(X_n)$ for $n \leq d$ via the algorithm:
Input: A finite set of generators of $\text{Aut}^{\text{ef}}(X_{n-1})$ and $[\kappa_{n-1}^X] \in \text{Tor}(H^{n+1}(X_{n-1}; \pi_n(X)))$.
Output: A finite set of generators of the group $\text{Aut}^{\text{ef}}(X_n)$.

Proof of the main result - 2. phase: Processing

Base step. Since $X_1 = Y_1$ is a point, so $\text{iso}^{\text{ef}}(X_1, Y_1) = \{\text{id}\}$.

For each $2 \leq n \leq d$ do:

Induction step. Find a certain lift $f_n \in \text{iso}^{\text{ef}}(X_n, Y_n)$ of $f_{n-1} \in \text{iso}^{\text{ef}}(X_{n-1}, Y_{n-1})$ using methods:



- Seek $a \in \text{aut}^{\text{ef}}(X_{n-1})$ such that g_{n-1} satisfies $\gamma_*[\kappa_{n-1}^X] = f_{n-1}^*[\kappa_{n-1}^Y]$ by the algorithm (A).
- Update all f_i to the maps $f_i \circ a_i$ for each $2 \leq i \leq n-1$ if the required a exists.

Algorithm A.

Input: $[\kappa_{n-1}^X] \in \text{Tor}(H^{n+1}(X_{n-1}; \pi_n(X)))$, $[\kappa_{n-1}^Y] \in \text{Tor}(H^{n+1}(Y_{n-1}; \pi_n(Y)))$ and $f_{n-1} \in \text{iso}^{\text{ef}}(X_{n-1}, Y_{n-1})$.

Output: A decision whether $X_n \simeq Y_n$. If yes, the algorithm provides a homotopy equivalence $f_n \in \text{iso}^{\text{ef}}(X_n, Y_n)$. If no, then $X \not\simeq Y$.

- Find if there is $(a, \alpha) \in \text{Aut}^{\text{ef}}(X_{n-1}) \times \text{Aut}(\pi_n(Y)) := G$ such that

$$\sigma_*[\kappa_{n-1}^X] = \alpha_*^{-1}a_*f_{n-1}^*[\kappa_{n-1}^Y].$$

- Consider the action $\text{Tor}(H^{n+1}(X_{n-1}; \pi_n(Y))) \times G \rightarrow \text{Tor}(H^{n+1}(X_{n-1}; \pi_n(Y)))$

$$([\kappa], [g], \gamma) \mapsto \gamma_*^{-1}g_*[\kappa].$$

- Apply the Orbit-Stabilizer algorithm and compute the orbit of the element $f_{n-1}^*[\kappa_{n-1}^Y]$.
- Go through the finite list of the orbit elements and decide if $\sigma_*[\kappa_{n-1}^X] \in (f_{n-1}^*[\kappa_{n-1}^Y])^G$.
- If it is missing in the list, then $X_n \not\simeq Y_n$. It implies that $X \not\simeq Y$.
- If $\sigma_*[\kappa_{n-1}^X] \in (f_{n-1}^*[\kappa_{n-1}^Y])^G$ then take $\text{log}(\sigma_*[\kappa_{n-1}^X]) = ([a], \alpha)$ and f_n is a lift of $g_{n-1} = f_{n-1}a$.

Corollary

Let X and Y be finite simplicial sets. Then the question, if X and Y are stably homotopy equivalent, is algorithmically decidable.

Proof:

- X and Y of finite dimension are stably homotopy equivalent if $\exists r \in \mathbb{N}: S^r X \simeq S^r Y$.
- The dimensions of multiple suspensions $S^r X$ and $S^r Y$ of X and Y are less than twice their connectivity for r sufficiently large.
- Both $S^r X$ and $S^r Y$ are of finite k -type through their dimension.
- The previous algorithm decides if $S^r X \simeq S^r Y$, i.e., if X and Y are stably homotopy equivalent.

References

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