

# On non-geometric augmentations of Legendrian submanifolds

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January 17, 2023

- Given a Legendrian submanifold of  $(\mathbb{R}^{2n+1}, dz - ydx)$ , Chekanov-Eliashberg algebra  $\mathcal{A}(\Lambda)$  gives a powerful Legendrian invariant. Linearizations of this algebra (called augmentations) are important in order to define the computable Legendrian invariants called linearized Legendrian contact (co-)homology groups.

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- These linearizations are very often **geometric**, i.e. **they are induced by Maslov 0 embedded exact Lagrangian fillings**.
- We are interested in **finding non-geometric augmentations in high dimensions**.

If  $\Lambda$  admits an exact Lagrangian filling  $L_\Lambda$  of Maslov number 0, then

- $tb(\Lambda) = (-1)^{\frac{(n-1)(n-2)}{2}+1} \chi(L)$ ,
- **Obstruction A:** there is an isomorphism of Seidel-Ekholm-Dimitroglou Rizell  $LCH_\varepsilon^i(\Lambda; \mathbb{Z}_2) \simeq H_{n-i}(L; \mathbb{Z}_2)$ ,
- the result of Ekholm-Lekili comparing the higher structures holds,
- **Obstruction B:** the result of Gao-Rutherford showing the existence of injective algebraic map  $f_L^* : Aug(L, \mathbb{F}) \rightarrow Aug(\Lambda, \mathbb{F})$  holds.

# Known obstructions

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We will use Obstruction A and Obstruction B in order to find non-geometric augmentations.

# Contact preliminaries

- A contact manifold  $(M; \xi)$  is a  $(2n + 1)$ -dimensional manifold  $M$  equipped with a smooth maximally nonintegrable hyperplane field  $\xi \subset TM$ , i.e.,  $\xi = \ker \alpha$ , where  $\alpha$  is a 1-form which satisfies  $\alpha \wedge (d\alpha)^n \neq 0$ .  $\xi$  is a contact structure and  $\alpha$  is a contact 1-form which defines  $\xi$ .

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## Example

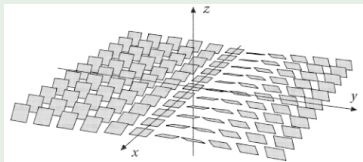


Figure:  $(\mathbb{R}^{2n+1}, \xi_{st} = \ker(dz - \sum_i y_i dx_i))$ , where  $n = 1$ .

- A Legendrian submanifold  $\Lambda$  of  $(\mathbb{R}^{2n+1}, \xi_{st})$  is an  $n$ -dimensional submanifold which is everywhere tangent to  $\xi_{st}$ .

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- A Reeb chord of  $\Lambda$  is a trajectory of  $\partial_z$  which starts and ends on  $\Lambda$ . The set of Reeb chords of  $\Lambda$  is denoted by  $\mathcal{Q}(\Lambda)$ .

# Chekanov-Eliashberg algebra

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- The differential on Reeb chords is defined in the following way:

$$\partial(c^+) = \sum_{\dim \mathcal{M}^J(c^+; c_1^-, \dots, c_k^-) = 1} \# \frac{M^J(c^+; c_1^-, \dots, c_k^-)}{\mathbb{R}} c_1^- \dots c_k^-,$$

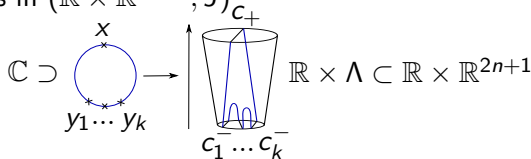
where  $J$  is an almost complex structure on  $(\mathbb{R} \times \mathbb{R}^{2n+1}, d(e^s \alpha_{st}))$  such that  $J : \xi \rightarrow \xi$ ,  $d\alpha_{st}(v, Jv) > 0$  for  $v \in \xi$ ,  $J$  is  $\mathbb{R}$ -invariant,  $J(\partial_s) = \partial_z$  and  $M^J(c^+; c_1^-, \dots, c_k^-)$  is a moduli space of punctured  $i$ - $J$  holomorphic disks in  $(\mathbb{R} \times \mathbb{R}^{2n+1}, J)$

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# Exact Lagrangian cobordisms and fillings

We say that  $\Lambda^-$  is exact Lagrangian cobordant to  $\Lambda^+$  if there is a smooth cobordism  $(L; \Lambda^-, \Lambda^+)$  and an exact Lagrangian embedding  $L \hookrightarrow S(\mathbb{R}_{st}^{2n+1})$ , where  $S(\mathbb{R}_{st}^{2n+1}) := (\mathbb{R} \times \mathbb{R}^{2n+1}, d(e^t \alpha_{st}))$  and  $t$  is the coordinate on the first  $\mathbb{R}$ -factor, satisfying the following conditions:

- $L|_{(-\infty, -T) \times \mathbb{R}_{st}^{2n+1}} = (-\infty, -T) \times \Lambda^-$  and  $L|_{(T, \infty) \times \mathbb{R}_{st}^{2n+1}} = (T, \infty) \times \Lambda^+$  for some  $T \gg 0$ ,
- $L^c := L|_{[-T, T] \times \mathbb{R}_{st}^{2n+1}}$  is compact.
- There exists  $f : L \rightarrow \mathbb{R}$  such that  $e^t \alpha_{st}|_L = df$  and  $f|_{(-\infty, -T) \times \Lambda^-}$ ,  $f|_{(T, \infty) \times \Lambda^+}$  are constant functions.

# Exact Lagrangian cobordisms and fillings

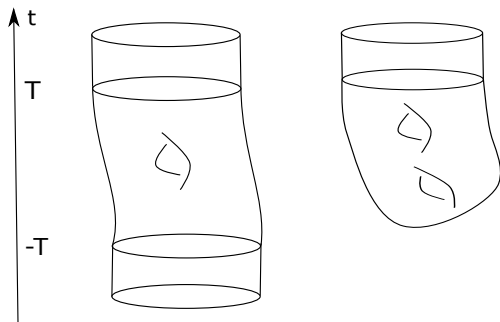
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If  $L$  is an exact Lagrangian cobordism with empty negative end and whose positive end is equal to  $\Lambda$ , then we say that  $L$  is an exact Lagrangian filling of  $\Lambda$ .



# Exact Lagrangian fillings and augmentations



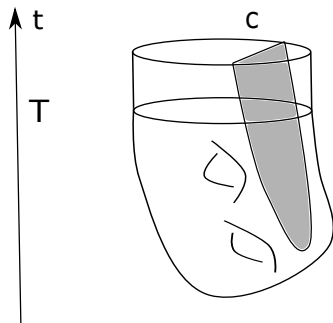
In general (by Ekholm-Honda-Kalman), having an exact Lagrangian cobordism  $L$  from  $\Lambda^-$  to  $\Lambda^+$ , there is a DGA homomorphism

$$\mathcal{A}(\Lambda^+) \rightarrow \mathcal{A}(\Lambda^-).$$

In particular, if  $\Lambda^- = \emptyset$ , then there is a DGA homomorphism that we call augmentation

$$\varepsilon_L : \mathcal{A}(\Lambda^+) \rightarrow (\mathbb{F}, 0).$$

# Exact Lagrangian fillings and augmentations



For  $c \in \mathcal{Q}(\Lambda)$ , it is defined by

$$\varepsilon_L(c) = \#\mathcal{M}_L^J(c).$$

# Isomorphism of Seidel–Ekholm–Dimitroglou Rizell, Obstruction A

## Theorem (Seidel–Ekholm–Dimitroglou Rizell)

Let  $\Lambda$  be a Legendrian submanifold of Maslov number 0 of  $\mathbb{R}_{st}^{2n+1}$ , which admits an exact Lagrangian filling  $L$  of Maslov number 0. Then

$$LCH_{\varepsilon}^i(\Lambda; \mathbb{Z}_2) \simeq H_{n-i}(L_{\Lambda}; \mathbb{Z}_2)$$

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The homology and cohomology groups in the above result are defined over  $\mathbb{Z}_2$ , but following the modern techniques due to Karlsson and Ekholm–Lekili, one can generalize the isomorphism of Seidel–Ekholm–Dimitroglou Rizell to an arbitrary field and  $\mathbb{Z}$ -coefficients, i.e.

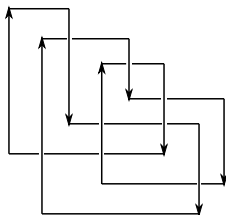
$$LCH_{\varepsilon}^i(\Lambda; \mathbb{Z}) \simeq H_{n-i}(L_{\Lambda}; \mathbb{Z}).$$

# Class A

In this class we consider Legendrian knots  $\Lambda$  in  $\mathbb{R}_{st}^3$  such that the Chekanov–Eliashberg algebra admits an augmentation

$$\varepsilon : \mathcal{A}(\Lambda) \rightarrow (\mathbb{Z}_2, 0)$$

satisfying that for some  $i \in \mathbb{Z}$ ,  $LCH_\varepsilon^i(\Lambda; \mathbb{Z}_2)$  is not isomorphic to  $H_{1-i}(L_\Lambda; \mathbb{Z}_2)$  for all exact Lagrangian fillings  $L_\Lambda$  of Maslov number 0, i.e.  $H_{1-i}(L_\Lambda; \mathbb{Z}_2)$  is different from 0 for  $1 - i < 0$  or  $1 - i > 1$ .



**Figure:** The grid diagram of the Legendrian representative of  $m(8_{21})$ .

We rely on the work of Chongchitmate–Ng. Legendrian representative of  $m(8_{21})$  has a vanishing rotation number, and hence Maslov number 0, and two Poincaré polynomials, one of which is of the form

$$P_{m(8_{21})}(t) = t^{-1} + 4 + 2t,$$

The augmentation  $\varepsilon_{m(8_{21})}$  which corresponds to this polynomial has the property that

$$LCH_{-1}^{\varepsilon_{m(8_{21})}}(\Lambda; \mathbb{Z}_2) \simeq LCH_{\varepsilon_{m(8_{21})}}^{-1}(\Lambda; \mathbb{Z}_2) \simeq \mathbb{Z}_2.$$

From Seidel's isomorphism it follows that  $\varepsilon_{m(8_{21})}$  is not induced by a Maslov number 0 exact Lagrangian filling  $L$ , since otherwise  $H_2(L; \mathbb{Z}_2) \simeq \mathbb{Z}_2$ , which is impossible from the topological point of view.

# Result of Gao-Rutherford, Obstruction B

Let  $L$  be an exact Lagrangian filling of a Legendrian submanifold  $\Lambda$ , then  $H_1(L; \mathbb{Z}) \simeq \mathbb{Z}^k \oplus \mathbb{Z}/k_1 \oplus \cdots \oplus \mathbb{Z}/k_s$ . We define

$$\text{Aug}(L; \mathbb{F}) \simeq (\mathbb{F}^*)^k \oplus C_{k_1}(\mathbb{F}) \oplus \cdots \oplus C_{k_r}(\mathbb{F}),$$

where  $C_{k_i}(\mathbb{F})$  is the group of  $k_i$ -th roots of unity in  $\mathbb{F}$ .

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## Theorem (Gao-Rutherford)

*Let  $L$  be an exact Lagrangian filling of a Legendrian submanifold  $\Lambda \subset (\mathbb{R}^{2n+1}, \alpha_{st})$  such that the Maslov number of  $L$  vanishes. If  $\mathbb{F}$  has a characteristic different from 2, assume that  $L$  is equipped with a choice of spin structure. Then, the map  $f_L^* : Aug(L, \mathbb{F}) \rightarrow Aug(\Lambda, \mathbb{F})$  is an injective, algebraic map, where  $f_L : \mathcal{A}(\Lambda, \mathbb{F}[\pi_1(\Lambda, x_0)]) \rightarrow \mathbb{F}[\pi_1(L, x_0)]$ .*



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For  $\Lambda_n$  and a certain algebraically closed  $\mathbb{F}$ , one gets an injective algebraic map  $\mathbb{F}^2 \rightarrow \{(a, b) \mid ab \neq -1\}$  with  $(0, 0)$  in its image which is impossible (Gao-Rutherford).

Consider the following Legendrian representatives of twist knots  $\Lambda_n$ ,  $n$  is odd and  $n > 3$ , investigated by Gao–Rutherford.

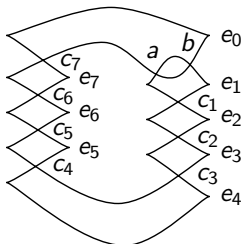


Figure: The front projection of  $\Lambda_7$ .

As proven by Gao–Rutherford, there is an augmentation  $\varepsilon_{\Lambda_n}$  to  $\mathbb{Z}_2$  defined by  $\varepsilon_{\Lambda_n}(a) = 0, \varepsilon_{\Lambda_n}(b) = 0, \varepsilon_{\Lambda_n}(c_i) = 1, \varepsilon_{\Lambda_n}(e_j) = 0$  that is not induced by any Maslov 0 exact Lagrangian filling.

# Spherical spinning

Let  $\Lambda$  be a closed, orientable Legendrian submanifold of  $\mathbb{R}_{st}^{2n+1}$  parameterized by  $f_\Lambda : \Lambda \rightarrow \mathbb{R}^{2n+1}$  with

$$f_\Lambda(p) = (x_1(p), y_1(p), \dots, x_n(p), y_n(p), z(p))$$

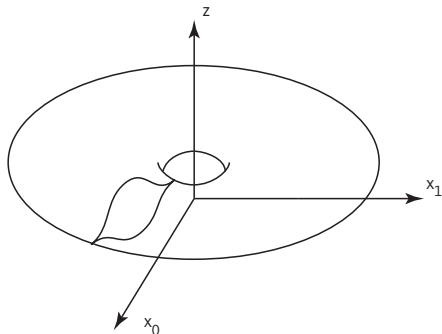
for  $p \in \Lambda$ . Without loss of generality assume that  $x_1(p) > 0$  for all  $p$ . We define the  **$S^m$ -spun  $\Sigma_{S^m}\Lambda$**  to be the Legendrian submanifold of  $\mathbb{R}^{2(m+n)+1}$  whose  $xz$ -projection is parametrized by  $\Phi : \Lambda \times S^m \rightarrow \mathbb{R}^{n+m+1}$  with

$$\Phi(p, \theta, \bar{\phi}) = (\tilde{x}_{-m+1}(p, \theta, \bar{\phi}), \dots, \dots, \tilde{x}_1(p, \theta, \bar{\phi}), x_2(p), \dots, z(p)),$$

where  $\theta \in [0, 2\pi)$ ,  $\bar{\phi} = (\phi_1, \dots, \phi_{m-1}) \in [0, \pi]^{m-1}$  and

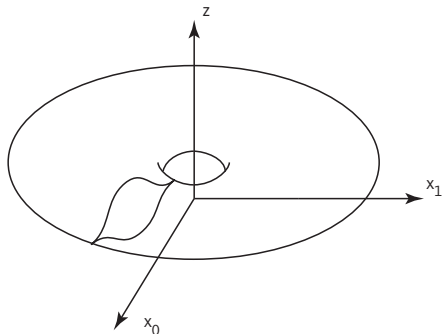
$$\begin{cases} \tilde{x}_{-m+1}(p, \theta, \bar{\phi}) = x_1(p) \sin \theta \sin \phi_1 \dots \sin \phi_{m-1}, \\ \tilde{x}_{-m+2}(p, \theta, \bar{\phi}) = x_1(p) \cos \theta \sin \phi_1 \dots \sin \phi_{m-1}, \\ \dots \\ \tilde{x}_1(p, \theta, \bar{\phi}) = x_1(p) \cos \phi_{m-1}. \end{cases}$$

# Spherical spinning



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# Spherical spinning



- From this definition it follows that  $\Sigma_{S^m}\Lambda$  is diffeomorphic to  $S^m \times \Lambda$ .
- One can apply spherical spinning in a similar way to exact Lagrangian cobordisms and fillings. In particular, given an exact Lagrangian filling  $L$  of a Legendrian  $\Lambda$ , following the older result of [G], one gets an exact Lagrangian cobordism (filling)  $\Sigma_{S^m}L$  of  $\Sigma_{S^m}\Lambda$  such that  $\Sigma_{S^m}L$  is diffeomorphic to  $S^m \times L$ .

# Class A in high dimensions

## Theorem (Dimitroglou Rizell-G)

There are dga maps  $i : \mathcal{A}(\Lambda) \hookrightarrow \mathcal{A}(\Sigma_{S^m}\Lambda)$  and its left inverse  $\pi : \mathcal{A}(\Sigma_{S^m}\Lambda) \rightarrow \mathcal{A}(\Lambda)$ .

We take  $\Lambda$  from Class A with the corresponding  $\varepsilon$  and consider  $\pi^*(\varepsilon)$ . Using the fact that the potential topology of a filling contradicts SEDR isomorphism and the following Kunneth-type formula

## Theorem (Dimitroglou Rizell-G)

$$LCH_i^{\pi^*\varepsilon}(\Sigma_S^1\Lambda) \simeq LCH_i^\varepsilon(\Lambda) \oplus LCH_{i-1}^\varepsilon(\Lambda).$$

we get that  $LCH_i^{\pi^*\varepsilon}(\Sigma_S^1\Lambda)$  contradicts the potential topology of a filling, and hence by SEDR  $\pi^*\varepsilon$  is non-geometric. We can repeat the same procedure.

## Class B in high dimensions

Here we take  $\Sigma_{S^m} \Lambda_n$ , where  $\Lambda_n$  is from Class B, and  $m$  is high enough.

### Proposition (G)

$Aug(\Lambda, \mathbb{F}) \simeq Aug(\Sigma_{S^m}, \mathbb{F})$  for  $m \geq 2$ .

### Proposition (G)

For a certain  $m$  large enough ("out of the grading window")  
 $LCH_i^{\pi^* \varepsilon}(\Sigma_{S^m} \Lambda, \mathbb{Z}) \simeq LCH_i^\varepsilon(\Lambda, \mathbb{Z}) \oplus LCH_{i-m}^\varepsilon(\Lambda, \mathbb{Z})$ .

Then we need to redo the computations of  $LCH_\varepsilon^0(\Lambda_n)$  for  $\mathbb{Z}$ -coefficients in order to show that  $LCH_i^m(\Sigma_{S^m} \Lambda_n, \mathbb{Z}) \simeq \mathbb{Z}^2$ . This using SEDR isomorphism implies that  $H_1(\text{potential filling for } \pi^* \varepsilon) \simeq \mathbb{Z}^2$ . Hence the map of Gao-Rutherford must be  $\mathbb{F}^2 \rightarrow \{ab \neq -1\}$  with  $(0, 0)$  in the image, where  $\mathbb{F}$  is algebraically closed. Gao-Rutherford proved that it is impossible, **and hence  $\pi^* \varepsilon$  is non-geometric**. Then we can do the same for multiple spuns.

Thank you for your attention!