

# Non-Abelian Gerbes with Connections

and with an explicit cocycle description

Dominik Rist

Based on joint work with Christian Saemann and Martin Wolf.  
arXiv:2203.00092

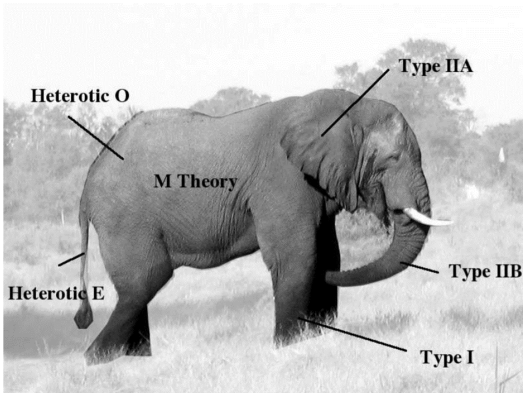
Maxwell Institute for Mathematical Sciences  
Heriot-Watt University, Edinburgh, UK

Srní, January 19, 2023

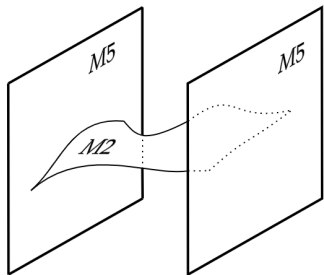
Gauge fields are everywhere:

- Gauge fields in the **Standard Model** and in **Yang–Mills theory**
- **Chern–Simons (matter) theories**
  - Importantly, M2-brane models: BLG- and ABJM-model
- **Kalb–Ramond  $B$ -field** in string theory
- $B$ -field and connection in **heterotic supergravity**
- **Tensor hierarchies** in gauged supergravity
- **T-duality**:  $B$ -fields on top of circle bundles with connection
- **Interacting M5-branes**:
  - **self-dual strings**
  - **(2,0)-theory**

# Motivation: M-theory



*“One (superst)ring to rule them all ...”*



The picture so far:

- M5 branes interact via M2 branes, boundaries = **self-dual strings**
- 6D SCFT from M-theory with  $(2, 0)$  SUSY
- **No Lagrangian exists** – irreducibly quantum?
- Field content:  
 $(2, 0)$  tensor multiplet  
includes 2-form  **$B$ -field**
- This  $B$ -field satisfies the **self-duality equation**:  
$$H := dB = \star H$$

## What we need:

- to go beyond **connections** on **principal bundles**
- a framework to describe higher connection forms, e.g. for **parallel transport** of extended objects

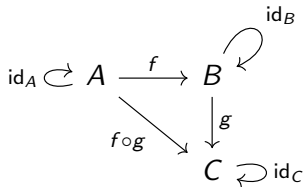
**Good news!** There is such a framework – **higher gauge theory**.

# Categories, Higher Structures, and All That

# What are categories?

A **category**  $\mathcal{C} = (\mathcal{C}_0 \rightrightarrows \mathcal{C}_1)$  consists of

- a collection  $\mathcal{C}_0$  of **objects**,
- a collection  $\mathcal{C}_1$  of **morphisms** between objects such that



When all morphisms are invertible  $\implies$  **groupoid**.

Group = one-object groupoid,  $BG = (G \rightrightarrows *)$ .

Morphisms between categories = **functors**.

**Principal bundles** = functors from  $\check{C}(\mathcal{U})$  to BG.

- Cover  $\mathcal{U} = \sqcup_a U_a$  of a manifold  $M$  yields **Čech groupoid**  $\check{C}(\mathcal{U})$ :

$$\begin{array}{ccccc}
 (x, a) & \xleftarrow{(x, a, b)} & (x, b) & \xleftarrow{(x, b, c)} & (x, c) \\
 & & \searrow & \swarrow & \\
 & & & & (x, a, c)
 \end{array}$$

- 

$$\begin{array}{ccc}
 \sqcup_{a,b} U_{ab} & \xrightarrow{g_{ab}} & G \\
 \Downarrow & & \Downarrow \\
 \sqcup_a U_a & \xrightarrow{*} & *
 \end{array}$$

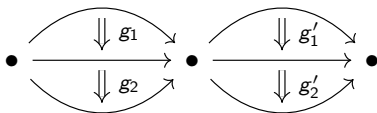
**Transition functions**  $g_{ab}$ ,  
 cocycle cond.  $g_{ab}g_{bc} = g_{ac}$   
 cobndries.:  $g_{ab}\gamma_b = \gamma_a\tilde{g}_{ab}$



# Categories not enough!

**Parallel transport of extended objects:** replace paths by (higher-dimensional) surfaces.

Consider the parallel transport of one-dimensional strings along a two-dimensional surface



This means that

- $(g_2 g_1)(g'_2 g'_1) = (g_2 g'_2)(g_1 g'_1)$ .
- Setting  $g_2 = 1$  and  $g'_1 = 1$  yields  $g_1 g'_2 = g'_2 g_1$ .

$\implies$  Forces  $G$  to be abelian = **Eckmann-Hilton argument**.

**Problem!**

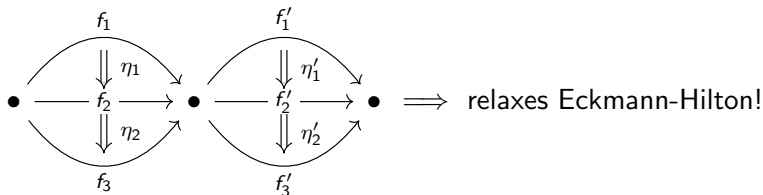
String/M- theory requires the framework of higher category theory.

## 2-categories to the rescue

Recall a category  $\mathcal{C} = (\mathcal{C}_1 \rightrightarrows \mathcal{C}_0)$  has  $\mathcal{C}_1$  as the collection of morphisms. To construct a (strict) **2-category**

- take  $\mathcal{C}_1$  to be a category itself, i.e. introduce morphisms between morphisms = **2-morphisms**,
- introduce associative **horizontal** composition  $\otimes$  between 2-morphisms (the other being **vertical**  $\circ$ ) such that the following **interchange law** holds

$$(\eta_2 \circ \eta_1) \otimes (\eta'_2 \circ \eta'_1) = (\eta_2 \otimes \eta'_2) \circ (\eta_1 \otimes \eta'_1)$$



Morphisms of 2-categories = **2-functors**.

# Constructing higher structures

A mathematical structure (“Bourbaki-style”) consists of

- Sets
- Structure Functions
- Structure Equations

**Categorification:** “*adding morphisms between morphisms*”

Sets  $\rightarrow$  Categories

Structure Functions  $\rightarrow$  Structure Functors

Structure Equations  $\rightarrow$  Structure Isomorphisms

Example: Group(oid)  $\rightarrow$  2-Group(oid)

Note: Process not unique, variants: weak/strict/...

# Strict 2-groups

Only need **strict** Lie 2-groups = **crossed modules of Lie groups**:

- a pair of Lie groups  $(G, H)$  with an automorphism action  $\triangleright$  of  $G$  on  $H$
- a morphism of Lie groups  $t : H \rightarrow G$  such that

$$t(g \triangleright h_1) = gt(h_1)g^{-1} \quad \text{and} \quad t(h_1) \triangleright h_2 = h_1 h_2 h_1^{-1}$$

for all  $g \in G$  and for all  $h_1, h_2 \in H$ .

- Written as  $\mathcal{G} := (H \xrightarrow{t} G, \triangleright)$ .
- Play the role of **structure groups** for principal **2-bundles** a.k.a. **gerbes**.

**Examples:**

- $(U(1) \longrightarrow 1) \cong BU(1)$  underlies an **abelian gerbe**.
- $(1 \hookrightarrow G, \text{id})$  underlies a principal  $G$ -bundle (**flat?**)
- $\mathcal{L}G := (L_0G \hookrightarrow P_0G, \text{Ad})$

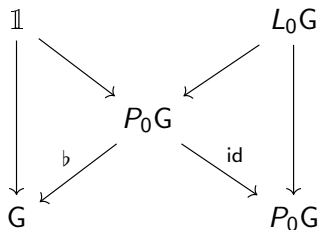
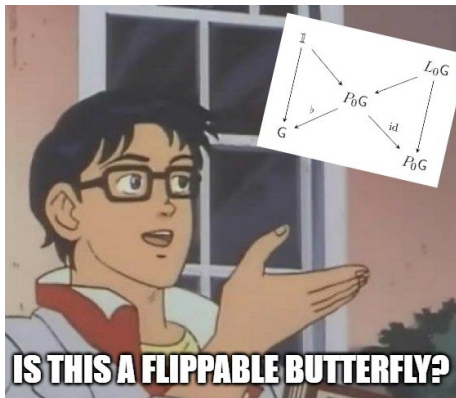
Equivalences between crossed modules given by **flippable butterflies**:

$$\begin{array}{ccccc} & H_1 & & & H_2 \\ & \searrow^{\lambda_1} & & \swarrow_{\lambda_2} & \\ t_1 \downarrow & & E & & \downarrow t_2 \\ & \swarrow_{\gamma_1} & & \searrow^{\gamma_2} & \\ & G_1 & & & G_2 \end{array}$$

where  $E$  is a Lie group,  $\lambda_i, \gamma_i$  are morphisms of Lie groups and both diagonals are short exact sequences.

# Butterflies

Recall crossed modules  $\mathcal{L}G = (L_0G \hookrightarrow P_0G, \text{Ad})$  and  $(\mathbb{1} \hookrightarrow G, \text{id})$ .  
Are they equivalent?



Here,  $b : P_0G \rightarrow G$  is the  
endpoint evaluation map.  
They are **equivalent!**

# Gerbes without connections

Principal  $\mathcal{G}$ -bundle = 2-functor from  $\check{\mathcal{C}}(\mathcal{U})$  to  $B\mathcal{G}$ .

- $\mathcal{G} := (\mathbb{H} \xrightarrow{t} \mathbb{G}, \triangleright)$
- Trivially regard  $\check{\mathcal{C}}(\mathcal{U})$  as a 2-groupoid by adding identity 2-morphisms
- 

$$\begin{array}{ccccc}
 \sqcup_a U_a & \xleftarrow{\quad} & \sqcup_{a,b} U_{ab} & \xleftarrow{\quad} & \sqcup_{a,b} U_{ab} \\
 \downarrow & & \downarrow & & \downarrow \\
 * & \xleftarrow{\quad} & \mathbb{G} & \xleftarrow{\quad} & \mathbb{G} \rtimes \mathbb{H}
 \end{array}$$

- Transition functions  $(g_{ab}, h_{abc})$  satisfying cocycle conditions:

$$t(h_{abc})g_{ab}g_{bc} = g_{ac} \quad , \quad h_{acd}h_{abc} = h_{abd}(g_{ab} \triangleright h_{bcd})$$

- Equivalent when related by coboundaries  $(g_a, h_{ab})$ :

$$g_a g'_{ab} = t(h_{ab})g_{ab}g_b \quad , \quad h_{ac}h_{abc} = (g_a \triangleright h'_{abc})h_{ab}(g_{ab} \triangleright h_{bc})$$



## The Local Picture: $L_\infty$ -algebras and Higher Connections

# Crossed modules of Lie algebras

Differentiating a crossed module of Lie groups yields a **crossed module of Lie algebras**:

- given by a pair  $(\mathfrak{g}, \mathfrak{h})$  of Lie algebras,
- automorphism action  $\triangleright$  of  $\mathfrak{g}$  on  $\mathfrak{h}$ ,
- morphism of Lie algebras  $t : \mathfrak{h} \rightarrow \mathfrak{g}$  such that

$$t(X \triangleright Y_1) := [X, t(Y_1)] \quad \text{and} \quad t(Y_1) \triangleright Y_2 := [Y_1, Y_2].$$

- Can be viewed as a (strict 2-term)  **$L_\infty$ -algebra**:
  - $\mathbb{Z}$ -graded vector space  $\mathfrak{L} = \underbrace{\mathfrak{L}_{-1}}_{\mathfrak{h}} \oplus \underbrace{\mathfrak{L}_0}_{\mathfrak{g}}$ ,
  - with differential  $t = \mu_1 : \mathfrak{L}_{-1} \rightarrow \mathfrak{L}_0$ ,
  - with graded anti-symmetric Lie bracket  $\mu_2 : \mathfrak{L}_i \times \mathfrak{L}_j \rightarrow \mathfrak{L}_{i+j}$ ,  
 $(\alpha_1, \alpha_2) \mapsto [\alpha_1, \alpha_2]$ ,  $(\alpha_1, \beta_1) \mapsto \alpha_1 \triangleright \beta_1$ ,
  - such that  $\mu_1$  is a derivation and  $\mu_2$  satisfies a graded Jacobi identity + higher maps

- Generalizations of (differential graded) Lie algebras
- Come with their own gauge theory:
  - elements  $a \in \mathfrak{L}_1$  of degree 1 are generalized **gauge potentials**
  - **curvature**:  $f := \mu_1(a) + \frac{1}{2}\mu_2(a, a) + \frac{1}{3!}\mu_3(a, a, a) + \dots \in \mathfrak{L}_2$ 
    - **Homotopy Maurer-Cartan equation**:  $f = 0$
  - **Bianchi identity**  
$$\mu_1(f) - \mu_2(f, a) + \frac{1}{2}\mu_3(f, a, a) - \frac{1}{3!}\mu_4(f, a, a, a) + \dots = 0$$
  - Elements  $\in \mathfrak{L}_0$  parametrize generalized **gauge transformations**.
- Ordinary gauge theory on manifold  $M$  modelled on  $\Omega^\bullet(M) \otimes \mathfrak{g}$
- **Higher gauge theory** modelled on  $\Omega^\bullet(M) \otimes \mathfrak{L}$ .

The tensor product  $\hat{\mathfrak{L}} = \Omega^\bullet(M) \otimes \mathfrak{L}$  is an  $L_\infty$ -algebra as well

- connection forms = gauge potentials

$$A + B + \dots \in \hat{\mathfrak{L}}_1 = (\Omega^1(M) \otimes \mathfrak{L}_0) \oplus (\Omega^2(M) \otimes \mathfrak{L}_{-1}) \oplus \dots$$

- higher products  $\hat{\mu}_1 = d + \mu_1, \mu_2, \mu_3, \dots$
- curvatures

$$F = dA + \frac{1}{2}\mu_2(A, A) + \mu_1(B) \rightarrow \text{fake curvature}$$

$$H = dB + \mu_2(A, B) - \frac{1}{3!}\mu_3(A, A, A) + \dots$$

$\vdots$

- Infinitesimal gauge transformations can be obtained from the definition of curvatures as partially flat homotopies. They are parametrised by

$$\alpha + \Lambda \in \hat{\mathfrak{L}}_0 = (\Omega^0(M) \otimes \mathfrak{L}_0) \oplus (\Omega^1(M) \otimes \mathfrak{L}_{-1}) \oplus \dots$$

**Problem:** consistency requires **fake flatness**

$$F = dA + \frac{1}{2}\mu_2(A, A) + \mu_1(B) = 0$$

- For  $\mu_3 \neq 0$  infinitesimal gauge transformations **do not close**:  
 $[\delta_{c_0}, \delta_{c_1}] = \delta_{[c_0, c_1]} + \mu_3(F, c_0, c_1)$ .
- For  $\mu_3 = 0$  finite gauge transformations **do not compose**.
- Self-duality  $H = \star H$  requires  $F = 0$  for **covariance**.
- Parallel transport requires  $F = 0$  for **reparametrisation invariance**.
- Can gauge away any non-Abelian part  $\implies$  theory **Abelian**.

**Problem!**

# The fake curvature condition

**Solution:** adjust the definition of curvatures:  $H \rightarrow H + \kappa(A, F)$   
where  $\kappa$  is called the **adjustment datum**.

**Examples:**

- $\mathcal{L}\mathfrak{g} = (L_0\mathfrak{g} \hookrightarrow P_0\mathfrak{g})$ :
  - connection given by 1- and 2-forms  
 $(A, B) \in \Omega^1(M, P_0\mathfrak{g}) \oplus \Omega^2(M, L_0\mathfrak{g})$ .
  - curvature forms

$$F := dA + \frac{1}{2}[A, A] + \mathfrak{t}(B) \in \Omega^2(M, P_0\mathfrak{g}) ,$$

$$H := dB + A \triangleright B - \kappa(A, F) \in \Omega^3(M, L_0\mathfrak{g}) ,$$

where  $\kappa(A, F) = (1 - \wp \cdot \flat)([A, F])$  for an arbitrary fixed path  
 $\wp \in \mathcal{C}^\infty([0, 1], \mathbb{R})$  such that  $\wp(0) = 0$  and  $\wp(1) = 1$ .

- **String Lie 2-algebra:**  $\text{string}(\mathfrak{G}) = (L_0\mathfrak{g} \oplus \mathfrak{u}(1) \rightarrow P_0\mathfrak{g})$ 
  - $\hat{\kappa}(A, F) = \left( \kappa(A, F), \frac{i}{2\pi} \int_0^1 dr \langle A_r, F \rangle \right)$

# The Global Picture: Non-Abelian Gerbes with Connections

# Non-Abelian gerbes with connections

Putting everything together to construct principal 1- and 2-bundles with connections.

- Manifold  $M$  with cover  $\mathcal{U} = (U_a)$
- Lie 2-group and 2-algebra: crossed modules  $H \rightarrow G$  and  $\mathfrak{h} \rightarrow \mathfrak{g}$  with adjustment datum  $\kappa$

Object	Principal G-bundle	Principal $(H \xrightarrow{t} G)$ -bundle
Cochains	$(g_{ab})$ valued in $G$	$(g_{ab})$ valued in $G$ , $(h_{abc})$ valued in $H$
Cocycle	$g_{ab}g_{bc} = g_{ac}$	$t(h_{abc})g_{ab}g_{bc} = g_{ac}$ $h_{acd}h_{abc} = h_{abd}(g_{ab} \triangleright h_{bcd})$
Coboundary	$g_a g'_{ab} = g_{ab} g_b$	$g_a g'_{ab} = t(h_{ab})g_{ab}g_b$ $h_{ac}h_{abc} = (g_a \triangleright h'_{abc})h_{ab}(g_{ab} \triangleright h_{bc})$
gauge pot.	$A_a \in \Omega^1(U_a) \otimes \mathfrak{g}$	$A_a \in \Omega^1(U_a) \otimes \mathfrak{g}$ , $B_a \in \Omega^2(U_a) \otimes \mathfrak{h}$
Curvature	$F_a = dA_a + \frac{1}{2}[A_a, A_a]$	$F_a = dA_a + \frac{1}{2}[A_a, A_a] + t(B)$ $H_a = dB_a + A_a \triangleright B_a - \kappa(A_a, F_a)$
Gauge trafos	$\tilde{A}_a := g_a^{-1}A_a g_a + g_a^{-1}dg_a$	$\tilde{A}_a := g_a^{-1}A_a g_a + g_a^{-1}dg_a - t(\Lambda_a)$ $\tilde{B}_a := g_a^{-1} \triangleright B_a + \tilde{A}_a \triangleright \Lambda_a + d\Lambda_a + \frac{1}{2}[\Lambda_a, \Lambda_a] - \kappa(g_a, F_a)$



# Cocycle description of gerbes

Consider a **surjective submersion**  $\sigma : Y \rightarrow M$  and an **adjusted crossed module** of Lie groups  $\mathcal{G} := (\mathbf{H} \xrightarrow{t} \mathbf{G}, \triangleright, \kappa)$  with the corresponding crossed module of Lie algebras  $(\mathfrak{h} \xrightarrow{t} \mathfrak{g}, \triangleright, \kappa)$ . An adjusted cocycle for a **principal  $\mathcal{G}$ -bundle** over  $M$  is given by the data

$$\begin{aligned}h &\in \mathcal{C}^\infty(Y^{[3]}, \mathbf{H}) , \\(g, \Lambda) &\in \mathcal{C}^\infty(Y^{[2]}, \mathbf{G}) \oplus \Omega^1(Y^{[2]}, \mathfrak{h}) , \\(A, B) &\in \Omega^1(Y^{[1]}, \mathfrak{g}) \oplus \Omega^2(Y^{[1]}, \mathfrak{h}) ,\end{aligned}$$

such that

$$h_{ikl}h_{ijk} = h_{ijl}(g_{ij} \triangleright h_{jkl}) \quad \text{and} \quad g_{ik} = t(h_{ijk})g_{ij}g_{jk}$$

hold for all appropriate  $(i, j, \dots) \in Y^{[n]}$ .

In addition, we have the following cocycle conditions

$$\Lambda_{ik} = \Lambda_{jk} + g_{jk}^{-1} \triangleright \Lambda_{ij} - g_{ik}^{-1} \triangleright (h_{ijk} \nabla_i h_{ijk}^{-1}),$$

$$A_j = g_{ij}^{-1} A_i g_{ij} + g_{ij}^{-1} dg_{ij} - \mathfrak{t}(\Lambda_{ij}),$$

$$B_j = g_{ij}^{-1} \triangleright B_i + d\Lambda_{ij} + A_j \triangleright \Lambda_{ij} + \frac{1}{2}[\Lambda_{ij}, \Lambda_{ij}] - \kappa(g_{ij}, F_i)$$

for all appropriate  $(i, j, \dots) \in Y^{[n]}$ , where  $\kappa$  needs to satisfy the **adjustment identity**

$$\begin{aligned} (g_2^{-1} g_1^{-1}) \triangleright (h^{-1}(X \triangleright h)) + g_2^{-1} \triangleright \kappa(g_1, X) \\ + \kappa(g_2, g_1^{-1} X g_1 - \mathfrak{t}(\kappa(g_1, X))) - \kappa(\mathfrak{t}(h) g_1 g_2, X) = 0 \end{aligned}$$

for all  $g_1, g_2 \in G$ , for all  $h \in H$ , and for all  $X \in \mathfrak{g}$ .

- Higher structures not useless!
- Have now a proper definition of non-Abelian gerbes with connections and explicit examples.
- Can accommodate string structures!

To do:

- understand the origin of the adjustment datum
- construct physically relevant examples

Thank you for your attention!

“It pays off to have the right connections.”

arXiv:2203.00092