

# Higher order symplectic structures on shape spaces of space curves

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**Abstract:** For  $c \in \text{Imm}(S^1, \mathbb{R}^3)$  the 2- form

$$\Omega_c^{MW}(h, k) = \int_{S^1} \det(D_s c, h, k) ds,$$

where  $ds = |c'(\theta)|d\theta$  and  $D_s = \frac{1}{|c'(\theta)|}\partial_\theta$ , induces the Marsden-Weinstein symplectic structure<sup>1</sup> on the shape space  $\text{Imm}(S^1, \mathbb{R}^3)/\text{Diff}(S^1)$ , corresponding to a Kähler structure. The Hamiltonian flow for the length functional is the binormal flow. In this talk I will present other symplectic structures related to this.

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<sup>1</sup>Marsden, J., and Weinstein, A. Coadjoint orbits, vortices, and Clebsch variables for in- compressible fluids. *Physica D: Nonlinear Phenomena* 7, 1 (1983), 305-323.

# The space

of regular space curves

$$\text{Imm} = \text{Imm}(S^1, \mathbb{R}^3) := \{c \in C^\infty(S^1, \mathbb{R}^3) : |c'| \neq 0\}.$$

is open subset in  $C^\infty(S^1, \mathbb{R}^3)$ , a manifold with tangent space

$$T_c \text{Imm} = T_c \text{Imm}(S^1, \mathbb{R}^3) = C^\infty(S^1, \mathbb{R}^3).$$


Consider the action of the reparametrization group  $\text{Diff} = \text{Diff}(S^1)$  by composition from the right and the quotient (shape) space

$$B_i = B_i(S^1, \mathbb{R}^3) := \text{Imm}(S^1, \mathbb{R}^3) / \text{Diff}(S^1),$$

which is an infinite dimensional orbifold; the isotropy groups are finite cyclic groups.<sup>2</sup>

The vertical fibers consist exactly of all fields  $h$  that are tangent to it's foot point  $c$ , i.e.,  $h = a \cdot c'$  with  $a \in C^\infty(S^1)$ .

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<sup>2</sup>V.Cervera, F.Mascaro, PM: The action of the diffeomorphism group on the space of immersions. Diff. Geom. Appl. 1 (1991), 391–401. 

# Reparametrization invariant Riemannian metrics

on  $\text{Imm}$  are Riemannian metrics of the form:

$$G_c^L(h, k) = \int_{S^1} \langle L_c h, k \rangle |c'| d\theta = \int_{S^1} \langle h, L_c k \rangle |c'| d\theta, \quad \text{where}$$
$$L \in \Gamma(\text{End}(T\text{Imm})), \quad L_c : T_c \text{Imm} = C^\infty(S^1, \mathbb{R}^3) \rightarrow T_c \text{Imm},$$

called *inertia operator*, is an elliptic, pseudo differential operator that is equivariant under the right action of  $\text{Diff}(S^1)$  and also under left action of  $SO(3)$ , and which is also selfadjoint with respect to the  $L^2$ -metric, i.e.,

$$L_{c \circ \varphi}(h \circ \varphi) = (L_c(h)) \circ \varphi \quad \text{and} \quad \int \langle L_c h, k \rangle ds = \int \langle h, L_c k \rangle ds .$$

The class of Sobolev  $H^k$ -metrics, where  $L = (1 - (-1)^k D_s^{2k})$ . For  $k = 0$  we have  $G_c^{\text{id}}(h, k) = \int \langle h, k \rangle ds$ .

# The induced Liouville one form

corresponding to the metric  $G^L$  is the one-form:

$$\Theta_c^L(h) := G_c^L(c \times D_s c, h) = \int \langle c \times D_s c, L_c h \rangle ds = \int \det(c, D_s c, L_c h) ds$$

for  $h \in T_c \text{Imm}$ , where  $\times$  denotes the vector cross product on  $\mathbb{R}^3$ .

We have:

*For any inertia operator  $L$ , that is equivariant under the right action of Diff and the left action of  $SO(3)$ , the induced Liouville one-form  $\Theta^L$  is invariant under the right action of Diff and the left action of  $SO(3)$ , i.e., for any  $c \in \text{Imm}$ ,  $h \in T_c \text{Imm}$ ,  $\varphi \in \text{Diff}$  and  $O \in SO(3)$  we have*

$$\Theta_{O \circ c \circ \varphi}^L(O \circ h \circ \varphi) = \Theta_c^L(h).$$

## The induced pre-symplectic form on Imm is

$$\Omega_c^L(h, k) := -d\Theta_c^L(h, k) = -D_{c,h}\Theta_c^L(k) + D_{c,k}\Theta_c^L(h) + \Theta_c^L([h, k]),$$

$$\Omega_c^L(h, k) = \int \left( \langle D_s c, L_c h \times k + h \times L_c k \rangle - \langle c, D_s h \times L_c k - D_s k \times L_c h \rangle - \langle c \times D_s c, (D_{c,h} L_c) k - (D_{c,k} L_c) h \rangle \right) ds.$$

$\Omega^L$  is invariant under the actions of Diff and  $SO(3)$ . For the invariant  $L^2$ -metric, i.e.,  $L = \text{id}$ , one obtains three times the Marsden-Weinstein pre-symplectic structure, i.e.,

$$\Omega_c^{\text{id}}(h, k) = 3 \int_{S^1} \langle D_s c \times h, k \rangle ds = 3 \int \det(D_s c, h, k) ds =: \Omega_c^{\text{MW}}(h, k).$$

Its kernel consists exactly of all vector fields along  $c$  which are tangent to  $c$ , so by reduction it induces a pre-symplectic structure on shape space  $\text{Imm}(S^1, \mathbb{R}^3)/\text{Diff}$  which is easily seen to be non-degenerate and thus is a symplectic structure there.

# Theorem

The form  $\Omega^L$  factors to a (pre)-symplectic form  $\bar{\Omega}^L$  on  $B_i$  if the inertia operator  $L$  maps vertical tangent vectors to  $\text{span}\{c, c'\}$ , i.e., if for all  $c \in \text{Imm}$  and  $a \in C^\infty(S^1)$  we have  $L_c(a \cdot c') = a_1 c' + a_2 c$  for some functions  $a_i \in C^\infty(S^1)$ .

**Proof.** The 1-form  $\Theta^L$  on  $\text{Imm}$  factors to a 1-form  $\bar{\Theta}^L$  on shape space  $B_i$  with  $\Theta^L = \pi^* \bar{\Theta}^L$  if and only if  $\Theta^L$  is invariant under  $\text{Diff}$  and is *horizontal* in the sense that it vanishes on each vertical tangent vector  $h = a \cdot c'$  for  $a$  in  $C^\infty(S^1, \mathbb{R})$ .

The condition on  $L$  such that  $\Theta^L$  vanishes on all vertical  $h$  is

$$\Theta_c^L(ac') = \int \langle c \times D_s c, L_c(ac') \rangle ds = 0.$$

From here it is clear that this holds if  $L_c(a \cdot c') = a_1 c' + a_2 c$  for some functions  $a_i \in C^\infty(S^1)$ .

In that case also its exterior derivative satisfies

$$\Omega^L = -d\Theta^L = -d\pi^* \bar{\Theta}^L = -\pi^* d\bar{\Theta}^L =: \pi^* \bar{\Omega}^L$$

for the presymplectic form  $\bar{\Omega}^L = -d\bar{\Theta}^L$  on  $B_i$ . □

# Inertia operators that satisfy these conditions are

$L_c(h) = F(c).h$  for  $F \in C^\infty(\text{Imm}, \mathbb{R}_{>0})$ , for example

$$L_c(h) = \Phi(\ell_c)h, \quad \text{or } L_c(h) = (1 + A\kappa_c^2)h.$$

Sobolev metrics do not satisfy the conditions. By using a projection in the definition one can, however, modify them to still respect the vertical bundle:

$$L_c h = \left( \text{pr}_c (1 - (-1)^k D_s^{2k}) \text{pr}_c + (1 - \text{pr}_c) (1 - (-1)^k D_s^{2k}) (1 - \text{pr}_c) \right) h,$$

where  $\text{pr}_c h = \langle D_s c, h \rangle D_s c$  is the  $L^2$ -orthogonal projection to the vertical bundle; see [Bauer, Harms, 2015], where metrics of this form were studied.



## Horizontal $\Omega^L$ -Hamiltonian vector fields.

If the inertia operator  $L \in \Gamma(\text{End}(T\text{Imm}(S^1, \mathbb{R}^3)))$  induces a weak symplectic structure on  $B_i$ , and is weakly non-degenerate in the sense that  $\bar{\Omega}^L : T\text{Imm} \rightarrow T^*\text{Imm}$  is injective then the kernel of  $\Omega_c^L : T_c\text{Imm} \rightarrow T_c^*\text{Imm}$  equals  $T_c(c \circ \text{Diff})$  for all  $c$ . Thus  $\Omega_c^L$  restricted to the  $G^L$ -orthogonal complement of  $T_c(c \circ \text{Diff})$  is injective. Let us suppose that  $H$  is a Diff-invariant smooth function on  $\text{Imm}$ . The 2-form  $\Omega^L$  on  $\text{Imm}$  is still only presymplectic, but if each  $dH_c$  lies in the image of  $\Omega^L : T\text{Imm} \rightarrow T^*\text{Imm}$ , then a unique smooth *horizontal Hamiltonian vector field*  $X \in \mathfrak{X}(\text{Imm})$  is determined by

$$dH = i_X \Omega^L = \Omega^L(X, \cdot), \quad G_c^L(X_c, T_c Y) = 0 \forall Y \in \mathfrak{X}(S^1)$$

which we will denote by  $\text{hgrad}^{\Omega^L}(H)$ . Obviously we then have

$$\text{grad}^{\bar{\Omega}^L}(\bar{H}) \circ \pi = T\pi \circ \text{hgrad}^{\Omega^L}(H), \quad \text{where } \bar{H} \circ \pi = H.$$

# Momentum mappings

Since  $\Theta^L$  is invariant for a pre-symplectic group action with fundamental vector field mapping  $\zeta : \mathfrak{g} \rightarrow \mathfrak{X}(\text{Imm}(S^1, \mathbb{R}^3))$ , the momentum mapping is given as follows, for  $Y \in \mathfrak{g}$ ,

$$\langle J(c), Y \rangle = \Theta^L(\zeta_Y)_c = \int \langle c \times D_s c, L_c(Y \circ c) \rangle ds,$$

where we denote the duality as  $\langle \cdot, \cdot \rangle : \mathfrak{g}^* \times \mathfrak{g} \rightarrow \mathbb{R}$ . Namely,

$$d\Theta^L(\zeta_Y) = di_{\zeta_Y} \Theta^L = \mathcal{L}_{\zeta_Y} \Theta^L - i_{\zeta_Y} d\Theta^L = 0 - i_{\zeta_Y} \Omega^L.$$

Thus we have for  $X \in \mathfrak{X}(S^1) = C^\infty(S^1)\partial_s$  and  $Y \in \mathfrak{so}(3)$

$$\langle J^{\text{Diff}}(c), X.D_s c \rangle = \Theta^L(X.D_s c) = \int \langle c \times D_s c, L_c(X.D_s c) \rangle ds$$

reparameterization momentum.

$$\langle J^{SO(3)}(c), Y \rangle = \Theta^L(Y \circ c) = \int \langle c \times D_s c, L_c(Y \circ c) \rangle ds$$

angular momentum.

$Y \in \mathbb{R}^3 \cong \mathfrak{so}(3) \cong L_{\text{skew}}(\mathbb{R}^3, \mathbb{R}^3)$  acts via  $X \mapsto 2Y \times X$ .

## Special case: length weighted metrics

Let  $\Phi : \mathbb{R}_{>0} \rightarrow \mathbb{R}_{>0}$  and  $L_c = \Phi(\ell_c)$ , where  $\ell_c = \int_{S^1} |c'| d\theta$ .

**Theorem.** Let  $\Phi \in C^1(\mathbb{R}_{>0}, \mathbb{R}_{\geq 0})$ . The induced (pre)-symplectic structure of the  $G^{\Phi(\ell)}$ -metric is given by:

$$\begin{aligned} \Omega_c^L(h, k) = \int \left( \langle D_s c, L_c h \times k + h \times L_c k \rangle - \langle c, D_s h \times L_c k + L_c h \times D_s k \rangle \right. \\ \left. + \langle c \times D_s c, (D_{c,k} L_c) h - (D_{c,h} L_c) k \rangle \right) ds \end{aligned}$$

Furthermore, we have:

1. If  $\Phi(\ell) \neq \text{Const. } \ell^{-3}$  then the presymplectic structure  $\bar{\Omega}^{\Phi(\ell)}$  on  $B_i(S^1, \mathbb{R}^3)$  is non-degenerate and thus symplectic.
2. In the scale invariant case, i.e., if  $\Phi(\ell) = C\ell^{-3}$  with  $C > 0$ , then the Liouville form  $\Theta^{C\ell^{-3}}$  is also invariant under the scaling action  $c \mapsto a.c$  for  $a \in \mathbb{R}_{>0}$  and vanishes on all scaling vectors. In that case  $\Omega^{C\ell^{-3}} = \pi^*(d\bar{\Theta}^{C\ell^{-3}})$ , and  $\bar{\Omega}^{C\ell^{-3}} = d\bar{\Theta}^{C\ell^{-3}}$  turns out to be a symplectic structure on  $\text{Imm}(S^1, \mathbb{R}^3)/\text{Diff} \times \mathbb{R}_{>0}$ .

# Proposition

If  $\Phi(l) \neq \text{Const. } l^{-3}$ , For a Diff-invariant Hamiltonian  $H: \text{Imm}(S^1, \mathbb{R}^3) \rightarrow \mathbb{R}^3$ , we have

$$\begin{aligned} \text{hgrad}^{\Omega^{\Phi(l)}}(H) = & \frac{1}{3\Phi(l_c)} \left\{ -D_s c \times \text{grad}^{G^{\text{id}}} H \right. \\ & + \frac{\Phi'(l_c)}{3\Phi(l_c) + \Phi'(l_c)l_c} \left[ \langle D_s^2 c, D_s c \times \text{grad}^{G^{\text{id}}} H \rangle_{L^2_{ds}(S^1)} D_s c \times (D_s c \times c) \right. \\ & \left. \left. + \langle D_s c \times c, D_s c \times \text{grad}^{G^{\text{id}}} H \rangle_{L^2_{ds}(S^1)} D_s c \times D_s^2 c \right] \right\} \end{aligned}$$

The scale invariant case

$$\Phi(l) = \text{Const. } l^{-3} \iff 3\Phi(l_c) + \Phi'(l_c)l_c = 0$$

still offers difficulties.

## Example: Length

Suppose  $H(c) = f \circ \ell$  for some function  $f$ . Then:

$$dH_c(k) = f'(\ell_c) \int \langle D_s k, D_s c \rangle ds = -f'(\ell_c) \int \langle D_s^2 c, k \rangle ds,$$

$$\text{grad}^{\text{Gid}} H = -f'(\ell_c) D_s^2 c.$$

$$\text{hgrad}^{\Omega^{\Phi(\ell)}} H = \frac{f'(\ell_c)}{3\Phi(\ell_c)} \left( 1 + \frac{\Phi'(\ell_c)\ell_c}{3\Phi(\ell_c) + \Phi'(\ell_c)\ell_c} \right) D_s c \times D_s^2 c.$$

If  $f'(\ell_c) = 0$  then  $c$  is a fixed point of the Hamiltonian flow. If  $f'(\ell_c) \neq 0$ , then the length  $\ell_c$  is conserved along the flow as  $H = f \circ \ell$  is conserved. Note that  $\text{hgrad}^{\Omega^{\Phi(\ell)}} H$  is a constant multiple of the binormal equation (also known as the vortex filament equation),

$$\text{hgrad}^{\Omega^{\text{MW}}} \ell = D_s c \times D_s^2 c$$

using the Marsden-Weinstein symplectic structure.

## Example: Kinetic energy

as a Hamiltonian function:  $E(c) \frac{1}{2} \int |c|^2 ds$ , Using  $\text{grad}^{\text{Gid}} E = c$ , the horizontal Hamiltonian field is:

$$\begin{aligned} \text{hgrad}^{\Omega^{\Phi(\ell)}} E &= \frac{1}{3\Phi(\ell_c)} \left\{ -D_s c \times c + \frac{\Phi'(\ell_c)}{3\Phi(\ell_c) + \Phi'(\ell_c)\ell_c} \right. \\ &\quad \cdot \left[ \Theta^{D_s^2 c}(c) D_s c \times D_s c \times c - \int |D_s c \times c|^2 ds D_s c \times D_s^2 c \right] \\ &= \frac{1}{3\Phi(\ell_c)} \left\{ \text{hgrad}^{\Omega^{\text{MW}}} E + \frac{\Phi'(\ell_c)}{3\Phi(\ell_c) + \Phi'(\ell_c)\ell_c} \right. \\ &\quad \cdot \left[ \Theta^{D_s^2 c}(c) (\text{pr}_c - 1) \text{grad}^{\text{Gid}} E - \int |D_s c \times c|^2 ds \text{hgrad}^{\Omega^{\text{MW}}} \ell \right] \end{aligned}$$

## Special case: curvature weighted metric

The presymplectic form  $\Omega^{1+\kappa^2} = -d\Theta^{1+\kappa^2}$  descends to  $\bar{\Omega}^{1+\kappa^2}$  by the general Theorem above. It seems that  $\bar{\Omega}^{1+\kappa^2}$  is non-degenerate, but the computations are not completely done.

Thank you for your attention