

BRST and beyond

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Introduction

This talk will be discussing BRST formalism¹ and demonstrating it on two examples: mechanical system with $SO(3)$ symmetry and Yang-Mills theory. Afterwards, we will move on to the BV², again we will be using Yang-Mills as an example and finally we will take a look at the homological perturbation lemma (HPL).

¹C. Becchi, A. Rouet, and R. Stora, “Renormalization of Gauge Theories,” *Annals Phys.*, vol. 98, pp. 287–321, 1976.

²I. A. Batalin and G. A. Vilkovisky, “Quantization of Gauge Theories with Linearly Dependent Generators,” *Phys.*

BRST Introduction

We start this section by listing some ingredients and either introducing them or reminding ourselves of them. We will mostly follow book by Marián Fecko³ and notes by José Figueroa-O'Farrill⁴

³Fecko, M. (2006). Differential Geometry and Lie Groups for Physicists.

⁴Figueroa-O'Farrill, J. (2006). BRST Cohomology 

Ingredients

What we need:

- ▶ symplectic manifold $\mathcal{M} \equiv (\mathcal{M}, \omega)$
- ▶ Lie group G and its algebra $\mathcal{G}$
- ▶ moment map $\Phi : \mathcal{M} \rightarrow \mathcal{G}^*$
- ▶ Chevalley-Eilenberg complex
- ▶ Koszul resolution
- ▶ ghosts and antighosts

$\mathcal{M}$ & G

$\mathcal{M}$:

- ▶ a symplectic manifold with symplectic form ω
- ▶ the symplectic form – non-degenerate, closed 2-form

G :

- ▶ Lie group – a group that is also a smooth manifold
- ▶ has a Lie algebra $\mathcal{G}$ – a linear space with bilinear operation $\mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$ that is antisymmetric and satisfies Jacobi identity

Moment map

Moment map ($\Phi : \mathcal{M} \rightarrow \mathcal{G}^*$):

- ▶ $i_{\zeta_X} \omega = -d\Phi_X$, where i is the interior product, ζ_X is Hamiltonian vector field, ω is symplectic form and Φ_X is a zero form (also sometimes referred to as moment map)
- ▶ $\Phi_{X+\lambda Y} = \Phi_X + \lambda \Phi_Y$, where $X, Y \in \mathcal{G}$ and $\lambda \in \mathbb{C}$
- ▶ $\{\Phi_X, \Phi_Y\} = \Phi_{[X, Y]}$, where $X, Y \in \mathcal{G}$, $\{-, -\}$ is Poisson bracket defined $\omega(\zeta_f, \zeta_g) := \{f, g\}$ and $[-, -]$ is the Lie bracket of $\mathcal{G}$

Complex and resolution

Chevalley-Eilenberg chain complex $(C^p(\mathcal{G}, V), d_{CE})$:

- ▶ vector space $C^p = \Lambda^p \mathcal{G}^* \otimes V$, where V is the representation space of a representation ρ
- ▶ differential $d_{CE} = -\frac{1}{2} f_{ij}^k \varepsilon^i \varepsilon^j i_k \otimes \hat{1} + \varepsilon^i \otimes \rho(E_i)$, where $\varepsilon^i \alpha = E^i \wedge \alpha$, i is interior product, f_{ij}^k are structure constants and E_i (E^i) is a base of $\mathcal{G}$ ($\mathcal{G}^*$)
- ▶ $d_{CE}^2 = 0$

Koszul resolution:

- ▶ Koszul cochain complex (K^p, δ) , where $K^p = \Lambda^p \mathcal{G} \otimes C^\infty(\mathcal{M})$
- ▶ cohomology of this complex is $H^i(K^\bullet) = \begin{cases} C^\infty(\mathcal{M}_P), & \text{for } i=0 \\ 0, & \text{for } i \neq 0 \end{cases}$

Bigraded complex

Now we combine complex and resolution and get

$$C^p(\mathcal{G}, K^q) = \Lambda^p \mathcal{G}^* \otimes \Lambda^q \mathcal{G} \otimes C^\infty(\mathcal{M}) \equiv C^{p,q}, \quad (1)$$

which is Chevalley-Eilenberg complex where the representation space is chosen to be the Koszul complex. The differential for this bicomplex would be $D = d_{CE} + (-1)^p \delta$. However, this differential does not respect the bidegree, only the total degree $n = p - q$ and therefore the complex we will use is $\mathcal{C}^n = \bigoplus_{p-q=n} C^{p,q}$ with $D : \mathcal{C}^n \rightarrow \mathcal{C}^{n+1}$. As for the cohomology $H^i(\mathcal{C}^\bullet) \cong H^i(\mathcal{G}, C^\infty(\mathcal{M}_P))$.

In diagrams

The bidegree diagram:

$$\begin{array}{ccc}
 C^p(\mathcal{G}, K^q) & \xrightarrow{d_{CE}} & C^{p+1}(\mathcal{G}, K^q) \\
 \downarrow \delta & & \downarrow \delta \\
 C^p(\mathcal{G}, K^{q-1}) & \xrightarrow{d_{CE}} & C^{p+1}(\mathcal{G}, K^{q-1})
 \end{array} \tag{2}$$

The total degree diagram:

$$\mathcal{C}^n \xrightarrow{D} \mathcal{C}^{n+1}, \tag{3}$$

where $\mathcal{C}^n = C^{n,0} \oplus C^{n+1,1} \oplus \dots \oplus C^{N-1,N-n-1} \oplus C^{N,N-n}$

Bigraded complex diagram

$$\begin{array}{ccccccc}
 C^{0,0} & \xrightarrow{d} & C^{1,0} & \xrightarrow{d} & C^{2,0} & \xrightarrow{d} & C^{3,0} \xrightarrow{d} \dots \\
 \delta \uparrow & & \delta \uparrow & & \delta \uparrow & & \delta \uparrow \\
 C^{0,1} & \xrightarrow{d} & C^{1,1} & \xrightarrow{d} & C^{2,1} & \xrightarrow{d} & C^{3,1} \xrightarrow{d} \dots \\
 \delta \uparrow & & \delta \uparrow & & \delta \uparrow & & \delta \uparrow \\
 C^{0,2} & \xrightarrow{d} & C^{1,2} & \xrightarrow{d} & C^{2,2} & \xrightarrow{d} & C^{3,2} \xrightarrow{d} \dots \\
 \delta \uparrow & & \delta \uparrow & & \delta \uparrow & & \delta \uparrow \\
 C^{0,3} & \xrightarrow{d} & C^{1,3} & \xrightarrow{d} & C^{2,3} & \xrightarrow{d} & C^{3,3} \xrightarrow{d} \dots \\
 \delta \uparrow & & \delta \uparrow & & \delta \uparrow & & \delta \uparrow \\
 \dots & & \dots & & \dots & & \dots
 \end{array}$$

(For clarity of the diagram on this and the next slide $d \equiv d_{CE}$)

Bigraded complex diagram

$$\begin{array}{ccccccc}
 C^{0,0} & \xrightarrow{d} & C^{1,0} & \rightarrow & C^{2,0} & \rightarrow & C^{3,0} \rightarrow \dots \\
 \delta \uparrow & & \uparrow & & \uparrow & & \uparrow \\
 C^{0,1} & \rightarrow & C^{1,1} & \rightarrow & C^{2,1} & \rightarrow & C^{3,1} \rightarrow \dots \\
 \uparrow & & \uparrow & & \delta \uparrow & & \delta \uparrow \\
 C^{0,2} & \rightarrow & C^{1,2} & \rightarrow & C^{2,2} & \rightarrow & C^{3,2} \rightarrow \dots \\
 \uparrow & & \uparrow & & \uparrow & & \uparrow \\
 C^{0,3} & \rightarrow & C^{1,3} & \rightarrow & C^{2,3} & \rightarrow & C^{3,3} \rightarrow \dots \\
 \uparrow & & \uparrow & & \uparrow & & \uparrow \\
 \dots & & \dots & & \dots & & \dots
 \end{array}$$

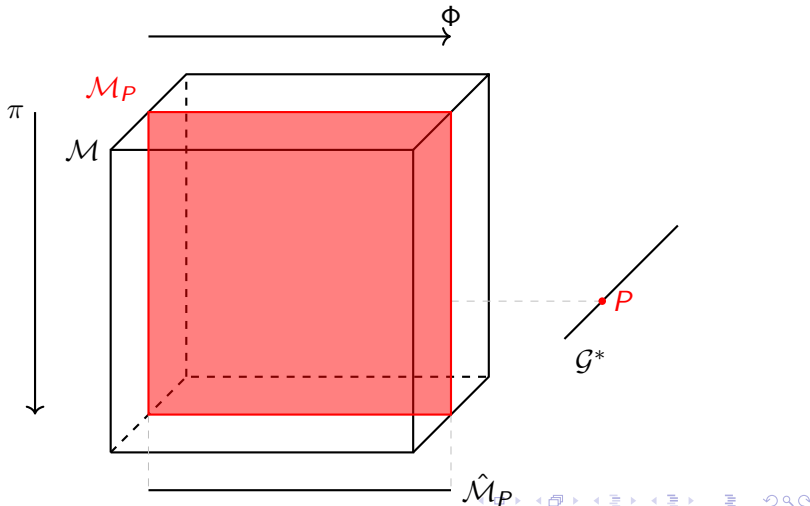
$$\mathcal{C}^0 = C^{0,0} \oplus C^{1,1} \oplus C^{2,2} \oplus \dots \quad (4)$$

Symplectic reduction

Symplectic reduction:

- ▶ takes the symplectic manifold $\mathcal{M}$ and reduces it to a smaller symplectic manifold $\hat{\mathcal{M}}_P$
- ▶ projection $\pi : \mathcal{M}_P \rightarrow \hat{\mathcal{M}}_P$
- ▶ $\mathcal{M}_P := \{m \in \mathcal{M} | \Phi(m) = P\}$, where $P \in \mathcal{G}^*$ and $\Phi : \mathcal{M} \rightarrow \mathcal{G}^*$ is the moment map
- ▶ symplectic form: $\pi^*\hat{\omega} = j^*\omega$, where $j : \mathcal{M}_P \rightarrow \mathcal{M}$ is an inclusion
- ▶ (notation often is $\hat{\mathcal{M}}_P \equiv \mathcal{M} // G$)

Symplectic reduction



BRST

Our complex $(\mathcal{C}^n, Q_{BRST})$ consists of:

- ▶ $C^{p,q} = \Lambda^p \mathcal{G}^* \otimes \Lambda^q \mathcal{G} \otimes C^\infty(\mathcal{M})$
- ▶ $Q_{BRST} = \{d_{CE}, -\}$, where d_{CE} in the ghost notation is $d_{CE} = c^i \Phi_i - \frac{1}{2} f_{ij}^k c^i c^j b_k$

The ghost notation:

- ▶ ghost c , Grassman odd variable, $gh(c) = 1$ (ghost number)
- ▶ antighost b , Grassman odd variable, $gh(b) = -1$
- ▶ $\{c^i, b_j\} = \delta_j^i$, $\{c, c\} = 0 = \{b, b\}$

Cohomology of BRST

Difficult and long algebra provides an isomorphism⁵:

$$H^0(\mathcal{G}, C^\infty(\mathcal{M}_P)) \cong C^\infty(\hat{\mathcal{M}}_P), \quad (5)$$

$$H^0(\mathcal{C}^\bullet) \cong C^\infty(\hat{\mathcal{M}}_P), \quad (6)$$

which tells us that instead of dealing with the algebraic problem of smooth functions on reduced symplectic manifold, we can simply focus on cohomologies of the BRST complex.

⁵This is explicitly derived in the notes of Figueroa-O'Farrill
(Figueroa-O'Farrill, J. (2006). BRST Cohomology)

SO(3) example

Let us have a mechanical system with SO(3) symmetry.⁶

- ▶ structure constants $f_{ij}^k = \epsilon_{ij}^k$
- ▶ moment map is the angular momentum $\Phi_i = L_i = \epsilon_{ij}^k x^j p_k$
- ▶ coordinates are orthogonal to moment map $\vec{x} \cdot \vec{L} = 0$
- ▶ $\{L_i, L_j\} = \epsilon_{ij}^k L_k \sim c_{ij} x^k L_k$ – structure constants are not unique
- ▶ solution is new ghost/antighost pair and additional term in the differential

⁶Hancharuk, A and Strobl, T. (2022) BFV extensions for mechanical systems with Lie-2 symmetry.

SO(3) example

The new ghost pair:

- ▶ ghost η , Grassman odd variable, $gh(\eta) = 2$
- ▶ antighost ρ , Grassman odd variable, $gh(\rho) = -2$

The new differential will be $Q_{BRST} = \{d_{new}, -\}$, where

$$d_{new} = c^i L_i - \frac{1}{2} \epsilon_{ij}^k c^i c^j b_k + \eta x^i b_i \quad (7)$$

Yang-Mills example

The Yang-Mills theory consists of:

- ▶ Action $S_{YM} = -\frac{1}{2} \int F \wedge *F$
- ▶ Field strength $F = dA + \frac{1}{2}[A \wedge A]$
- ▶ Covariant derivative $D_A = d + [A, -]$
- ▶ Potential $A \rightarrow A + D_A \lambda$

To get BRST we switch function λ with a ghost field c . This will also introduce the antighost field b and the Nakanishi-Lautrup field h

Yang-Mills example

The differential will act as:

- ▶ $Q_{BRST}c = -\frac{1}{2}[c, c]$
- ▶ $Q_{BRST}b = h$
- ▶ $Q_{BRST}h = 0$
- ▶ $Q_{BRST}A = D_A c$

The Yang-Mills action

- ▶ BRST-invariant $Q_{BRST}S_{YM} = 0$
- ▶ we have to add term $Q_{BRST}(bd^*A) = hd^*A + bd^*D_A c$
- ▶ action $S = S_{YM} + \int (hd^*A + bd^*D_A c)$
- ▶ partition function $Z = \int \mathcal{D}A \mathcal{D}c \mathcal{D}b \mathcal{D}h \ e^{iS_{YM}} e^{i \int (hd^*A + bd^*D_A c)}$

BV and HPL introduction

In this section we are going to show antifields and then take a look at action integral of Yang-Mills theory. In the last part we will talk about homotopy equivalence, deformation retract and HPL. We will follow shortened version of master thesis by Ján Pulmann⁷

⁷Pulmann, J. (2016). Effective action and homological perturbation lemma

Antifields

Let us start with the classification of the fields. We have fields that are Grassman odd/even, and we have ghosts/antighosts. To only have one grading let statistic of a field χ be $gh(\chi) \bmod 2$.

Antifields:

- ▶ antifield of χ will be $\chi^\dagger$
- ▶ opposite statistics as its field
- ▶ $gh(\chi) + gh(\chi^\dagger) = -1$

Statistics

The relation $gh(\chi) + gh(\chi^\dagger) = -1$ gives $gh(c^\dagger) = -2$, $gh(b^\dagger) = 0$ and $gh(h^\dagger) = -1$.

Grassman parity :	even	odd	even	odd
$gh(-)$:	-2	-1	0	1
fields	$c^\dagger$	$A^\dagger, b, h^\dagger$	$A, h, b^\dagger$	c

Yang-Mills

Starting from BRST of Yang-Mills action:

- ▶ $S[A, c, b, h] = S_{YM}[A] + Q_{BRST}\Psi[A, c, b, h]$, where $\Psi = \int bd^*A$
- ▶ using antifields⁸: $S[A, \chi, \chi^\dagger] = S_{YM}[A] + (Q_{BRST}\chi)^n \chi_n^\dagger$
- ▶ $\chi^\dagger$ is given by $\chi_n^\dagger = \frac{\delta\Psi}{\delta\chi^n}$
- ▶ classical master equation: $\frac{\delta_R S}{\delta\chi_n^\dagger} \frac{\delta_L S}{\delta\chi^n} = 0$

⁸For simplicity we will omit the integral in the last term but will keep in mind that it is there: $\int (Q_{BRST}\chi)^i \chi_i^\dagger \equiv (Q_{BRST}\chi)^n \chi_n^\dagger$

Quantum master equation

Quantum master equation:

- ▶ we generalize action so that it is no longer linear in antifields
- ▶ $\Delta e^{\alpha S[\chi, \chi^\dagger]} = 0 \implies \{S, S\} + \frac{2}{\alpha} \Delta S = 0$
- ▶ α is a parameter that is usually chosen to be $-\frac{1}{\hbar}$
- ▶ bracket $\{A, B\} = \frac{\delta_R A}{\delta \chi^n} \frac{\delta_L B}{\delta \chi_n^\dagger} - \frac{\delta_R A}{\delta \chi_n^\dagger} \frac{\delta_L B}{\delta \chi^n}$
- ▶ BV Laplacian $\Delta A = (-1)^{|A||\chi^n|+1} \frac{\delta_L}{\delta \chi^n} \frac{\delta_R A}{\delta \chi_n^\dagger}$
- ▶ for classical part of action: $\{S_{\text{class}}, S_{\text{class}}\} = 0$

Homotopy equivalence

The homotopy equivalence is given by:

$$h \circlearrowleft (V, d_V) \xrightleftharpoons[i]{p} (W, d_W) \quad (8)$$

- ▶ (V, d_V) and (W, d_W) are chain complexes
- ▶ p, i are quasi-isomorphisms, $i \circ p = 1 + d_V \circ h + h \circ d_V$
- ▶ for $p \circ i = \mathbb{1}$ on W – deformation retract
- ▶ if also $h \circ i = 0$, $p \circ h = 0$ and $h \circ h = 0$ – special deformation retract

Homological perturbation lemma

HPL: Let us have homotopy equivalence (8) and $\delta : V \rightarrow V$ such that $|d_V| = |\delta|$ and $(d_V + \delta)^2 = 0$, then for invertible $(1 - \delta h)$ there is a homotopy equivalence:

$$h' \circlearrowleft (V, d_V + \delta) \begin{matrix} \xrightarrow{p'} \\ \xleftarrow{i'} \end{matrix} (W, d'_W) \quad (9)$$

and if the original homotopy equivalence was a special deformation retract, this perturbed one is also.

HPL

$$h' \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} (V, d_V + \delta) \begin{array}{c} \xrightarrow{p'} \\ \xleftarrow{i'} \end{array} (W, d'_W) \quad (10)$$

$$h' = h + hA\delta h \quad (11)$$

$$h' = p + pA\delta h \quad (12)$$

$$i' = i + hA\delta i \quad (13)$$

$$d'_W = d_W + pA\delta i \quad (14)$$

where $A = (1 - \delta h)^{-1}$.

References

1. Fecko, M. (2006). Differential geometry and Lie groups for physicists. (book)
2. Figueroa-O'Farrill, J. (2006). BRST Cohomology (notes available online)
3. Boffo, E. (2024) TQFT course (notes available in my notebooks)
4. Hancharuk, A and Strobl, T. (2022) BFV extensions for mechanical systems with Lie-2 symmetry.
5. Pulmann, J. (2016). Effective action and homological perturbation lemma